

11-18-2008

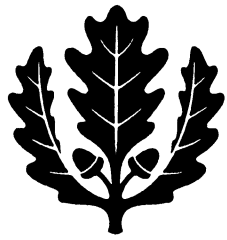
## Agenda and attachments, November 18, 2008

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University of  
Connecticut

*Board of*



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TRUSTEES

VOL. 85 NOVEMBER 18, 2008

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

November 18, 2008

**OPEN SESSION**

Call to order at 11:00 a.m.

**EXECUTIVE SESSION**

1. Executive Session anticipated to discuss preliminary drafts and notes.
2. Close Executive Session and recess for lunch.

**OPEN SESSION**

Reconvene in Open Session at 1:00 p.m.

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meeting of September 23, 2008
  - (c) Consent Agenda Items:
    - (1) Contracts and Agreements for the Storrs-based programs and the Health Center (Attachment 1)
    - (2) Extension to Memorandum of Agreement (MOA) Between the University of Connecticut and the American Association of University Professors (Attachment 2)
    - (3) Discontinuation of Bachelor of Arts in Sociology Degree in the College of Liberal Arts and Sciences at the Stamford Campus (Attachment 3)
    - (4) Appointment of Dr. Kathleen Segerson to the Philip E. Austin Endowed Chair (Attachment 4)
    - (5) Renaming the Department of Natural Resources Management and Engineering to Department of Natural Resources and the Environment in the College of Agriculture and Natural Resources (Attachment 5)
    - (6) Approval of Proposed Amendments to the *University of Connecticut Laws and By-Laws* (Article V, Committees of the Board of Trustees) (Attachment 6)
  - (d) Personnel matters (Storrs-based programs) (Attachment 7)
    - (1) Promotion, Tenure and Reappointment List
    - (2) Designation of Emeritus Status
    - (3) Informational matters

3. President's Report
  - (a) Nomination of Honorary Degree
  - (b) Presenter: Associate Vice President for Diversity and Equity Dana R. McGee  
Presentation: Overview of Diversity Reorganization
  - (c) Other matters
4. Academic Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Informational Item:
    - 1) Establishment of the Institute for Urban School Improvement (Attachment 8)
5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Project Budget (Planning) for Residential Life Facilities – Holcomb, Whitney, Sprague Masonry Repairs (Attachment 9)
    - (2) Project Budget (Design) for Recreational Sport Field Repair/Replacement Depot Campus (Attachment 10)
    - (3) Project Budget (Design) for the Replacement of Two Water Towers (Attachment 11)
    - (4) Project Budget (Final) for Residential Life Facilities – Shippee Hall Elevator Replacement (Attachment 12)
    - (5) Project Budget (Final) for West Hartford Campus Renovations/Improvements – Phase I (Attachment 13)
    - (6) Project Budget (Final) for the University of Connecticut Health Center Negative Pressure Room, Building 4 Renovations (Attachment 14)
  - (c) Informational Item:
    - (1) Project Budget (Revised Final) for Residential Life Window Replacement Project for Hale Hall, Ellsworth Hall, Brien McMahon Hall and West Campus (Attachment 15)
6. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
7. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
8. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
9. Health Center Report
  - (a) Report on Health Center activities
10. Student Life Committee Report
  - (a) Report on Committee activities

11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
  - (b) Development Progress Executive Summary (Attachment 16)
12. Other
13. Adjournment

The next meeting of the Board of Trustees is scheduled for Tuesday, January 27, 2009 at 1:00 p.m. at the Rome Commons Ballroom (South Campus Complex), Storrs, Connecticut.

**PLEASE NOTE:** *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at 486-2333 prior to the meeting.*

PROCUREMENT - NEW						
No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Duke University	\$891,661.00	09/30/08-08/31/13	Research Fund	Michael M. Crouch, Executive Director, Office for Sponsored Programs	Collaborate with UCONN's Dr. Kalichman of the Center for Health, Intervention, and Prevention; in the local Cape Town, South African community to research alcohol related HIV risks in women.
2	FEI Company	\$1,025,000.00	11/19/08-11/19/08	Research Fund	Suman Singha, Senior Vice Provost	Purchase of a Focused Ion Beam/Scanning Electron Microscope. The instrument is to be operated as a central user facility and is critical to the education, research and outreach activities of the Institute for Materials Science.
3	Hispanic Health Council	\$716,849.00	10/01/08-09/30/09	Research Fund	Michael M. Crouch, Executive Director, Office for Sponsored Programs	Collaborate with UCONN's Dr. Perez-Escamilla of the Department of Nutritional Sciences in the education and outreach aspect of the Hispanic Family Nutrition Project.
4	Roche Diagnostics	\$519,600.00	11/19/08-11/19/08	Research Fund	Jeremy Teitelbaum, Dean, College of Liberal Arts and Sciences	Purchase of a Genome Sequencer FLX Instrument for Molecular and Cell Biology.
5	Short's Travel Management	\$500,000.00 for initial 1 year term	01/01/09-12/31/09	Multiple Sources	Thomas Callahan Associate VP Administration and Operations	Provide travel services for the University and Health Center faculty, staff and students traveling on official university business. Initial 1 year term 01/01/2009-12/31/09 with four potential one-year extensions for a total of five years.
6	Stellenbosch University Medical School	\$1,076,650.00	09/30/08-08/31/13	Research Fund	Michael M. Crouch, Executive Director, Office for Sponsored Programs	Collaborate with UCONN's Dr. Kalichman of the Center for Health, Intervention, and Prevention; in the local Cape Town, South African community to research alcohol related HIV risks in women.
7	Willmantic Waste Paper Co. Inc.	\$3,000,000.00 for initial 3 year term	12/01/08-11/30/11	Multiple Sources	Thomas Callahan Associate VP Administration and Operations	Provide trash and recycling services at the Storrs/Depot and Avery Point Campuses. Initial 3 year term 12/01/2008-11/30/11 with an option to extend for an additional 3 year term ending 11/30/14.
8	Worldtek Travel Management	\$3,000,000.00 for initial 1 year term	01/01/09-12/31/09	Multiple Sources	Thomas Callahan Associate VP Administration and Operations	Provide travel services for the University and Health Center faculty, staff and students traveling on official university business. Initial 1 year term 01/01/2009-12/31/09 with four potential one-year extensions for a total of five years.
PROCUREMENT - AMENDMENTS						
No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Purpose
1	Corporate Express	\$860,000.00 [Total Contract Amt. \$1,732,000.00]	01/01/09-12/31/09	Multiple Sources	Thomas Callahan Associate VP Administration and Operations	Extension of Exclusive agreement with office supply dealer to supply and deliver general office supplies to the University, Storrs campus, regional campuses and extension offices located throughout the State of CT. Original term of contract 07/01/07-06/30/08 with four one-year extensions for a total of 5 years. (Original BOT contract approval of \$860K annually on 06/19/07) <b>This is the first of the one-year extensions.</b>
2	Genesis Capital	\$2,800,000.00 [Total Contract Amt. \$4,000,000.]	05/15/07-05/14/10	Multiple Sources	Christopher Earley, Dean, School of Business	Mobile computer lease program for students in the School of Business, School of Education, and CANR Landscape Architecture. (Original BOT contract approval of \$1.2M on 04/10/07.) <b>This amendment provides an increase to the contract amount for expenditures during the remaining two years of the contract term.</b>

PROCUREMENT - AMENDMENTS (Continued)									
No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY08	Expenditures FY07	Purpose
3	Connecticut Natural Gas	\$2,500,000.00	09/01/08-08/31/09	Operating Fund-General	Thomas Callahan Associate VP Administration and Operations	\$0.00	\$0.00	\$0.00	Provide natural gas for University of Connecticut Health Center. Gas purchased at market prices pursuant to the University's existing hedging program. Transportation costs negotiated annually based on market conditions.
4	NAS Recruitment Communications	\$300,000.00 [Total Contract Amt. \$1,486,000.00]	02/01/09-01/31/10	Multiple Sources	Thomas Callahan Associate VP Administration and Operations	\$1,186,000.00	\$209,000.00	\$277,000.00	Extension of Master Contract to provide employment advertising services as required by the University (including ad placement, copy writing, proofreading, publication/media research, complete ad design & formatting, itemized invoices with tear sheets, etc.). Initial term of contract: 01/30/05-01/31/06; FY05 expenditures \$365,000.00; FY06 expenditures \$335,000.00. <b>This is the fourth and final extension.</b>

## LEASES

LEASES						
UNIVERSITY AS LESSOR						
No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
4	JMS Naval Architects & Salvage-Engineers REMOVE FROM APPROVALS	\$23,295.96	04/01/09-12/31/11	Auxiliary Services	Thomas Callahan, Associate Vice-President for Administration and Operations Services	Renewal of a lease for approximately 1,664 square feet of office space located in rooms 107, 108, 109, 111, and 113 of building known as the Community & Professional Building, located on the University of Connecticut, Avery Point campus, Groton, CT. The leased premises shall be used solely for the purpose of a specialty maritime engineering facility.
2	Northeast Research and Education Network (NEREN)	\$79,500.00	12/01/08-11/30/12	Multiple Sources	Jeffrey Reynolds, Executive Director, CPCA	New lease for space to store computer equipment. The space is comprised of seven cabinets and will allow campuses to deploy computer equipment used in an emergency. The plan is to provide up to a half rack (24 Units) for each of up to 14 Connecticut Education Network (CEN) members. The CEN is to lease rack space from NEREN for CEN member institutions. This is the first year of a four-year term. (Years 2,3,4 - \$72,000.00 for a total anticipated contract value of \$295,500.00).
UNIVERSITY AS LESSEE						
No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Baltimore Row	\$44,550.00	10/01/08-02/28/13	Research Fund	Jeffrey Reynolds, Executive Director, CPCA	New lease for approximately 2,700 square feet of office and lab space located at One Baltimore Place, Suite 180, Atlanta, GA. The lease agreement is for a total of 5 years with 4% annual increases to the rent. Four (4) on-site parking spaces are included in the rent. The leased premises shall serve as a University of Connecticut Psychology Department community-based research site. The program of research is currently funded by \$44+ million in National Institute of Health support for HIV/AIDS prevention and treatment research. This is the first year of seven.
2	New Boston Exchange Limited Partnership	\$84,850.00	01/01/09-12/31/13	CT Managed Health Care	Daniel Upton, Chief Financial Officer, UCHC	Lease of approximately 4,526 square feet of space at Suite 108, Exchange, Farmington, CT. The leased premises shall be used for the purpose of operating a pharmacy for the provision of pharmaceuticals to the inmate population of the State of Connecticut Department of Corrections

## MULTIPLE AWARD CONTRACTS

MULTIPLE AWARD CONTRACTS - NEW						MULTIPLE AWARD CONTRACTS	
MULTIPLE AWARD CONTRACTS - NEW							
No.	Contractor	Total Authorized Amount	Term	Fund Source	Program Director	Purpose	
	NONE						

## **ON-CALL CONTRACTOR PROGRAM CONTRACTS**

ON-CALL CONTRACTS						
ON-CALL CONTRACTOR PROGRAM CONTRACTS						
ON-CALL CONTRACTS						
No.	Contractor	Total Authorized Amount	Term	Fund Source	Program Director	Purpose
	NONE					

CORPORATE SPONSORSHIPS					
No.	Sponsor	Amount Receivable	Term	Fund Credited	Program Director Purpose
1	Whelen Engineering	\$500,000 <i>Approximately</i>	01/01/09-12/31/12	Auxiliary Services	Jeffrey Hathaway, Director, Division of Athletics  To provide charter aviation services to the Division of Athletics for business related activities. The University will provide game recognition and ticket consideration.





University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

ATTACHMENT 2

November 18, 2008

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

RE: Extension to Memorandum of Agreement (MOA) between the University of Connecticut and the American Association of University Professors

RECOMMENDATION:

That the Board of Trustees approve the MOA extension (attached) of the 2005-2008 Intersession agreement through January 2009. This extension replicates the previous intersession compensation agreement and administrative procedures negotiated in the 2005-2008 agreement.

BACKGROUND:

Compensation for teaching courses during the summer and winter sessions (referred to as Intersession) is covered in a Memorandum of Agreement with the AAUP that is expiring. Negotiations are underway for the successor agreement. However, they will not be completed in time for the Intersession of 2009. As a result, the University wishes to extend the terms of the current agreement for the Intersession of 2009.

The programs are completely self-supporting and are offered at Storrs as well as all of the regional campuses.

**PROPOSED MOA  
SUMMARY OF TERMS**

**INTERSESSION**

- Faculty will be paid at a rate between the adjunct faculty stipend rate (currently \$1,385 per load credit) and up to 60% of the fee revenue for the course, but no more than double the stipend. The enrollment number used to determine the final compensation rate is set at the end of the add/drop period.

*Attachment  
An Equal Opportunity Employer*

352 Mansfield Road Unit 2014  
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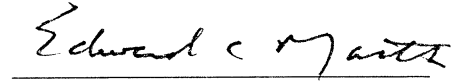
**Memorandum of Agreement**  
**Between**  
**The University of Connecticut (University)**  
**And**  
**The American Association of University Professors (AAUP)**  
**At the**  
**University of Connecticut**

The parties agree to extend the 2005-2008 Intersession agreement through January of 2009. This extension replicates all previous intersession compensation arrangements and administrative procedures negotiated in the 2005-2008 agreement.



For the University

10/28/8  
Date



For the AAUP

10/28/08  
Date



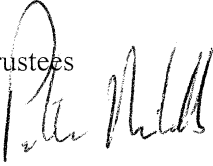
University of Connecticut  
*Office of the Provost*

ATTACHMENT 3

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

November 18, 2008

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost 

RE: Discontinuation of Bachelor of Arts in Sociology Degree in the College of Liberal Arts and Sciences at the Stamford Campus

RECOMMENDATION:

That the Board of Trustees approve the discontinuation of the Bachelor of Arts in Sociology Degree in the College of Liberal Arts and Sciences at the Stamford Campus.

BACKGROUND:

The University of Connecticut Academic Plan 2009-2014 has identified areas of excellence at each of the regional campuses. Each regional campus has a special place in the mission of the University of Connecticut and each has a unique focus that complements the work carried out at the Storrs Campus and the Health Center. The Stamford Campus focus is International, Business and Selected Arts and Science Programming.

In order to maintain the strength of the Selected Arts and Sciences focus at the Stamford Campus, the faculty and staff began to examine the eight undergraduate majors that are currently offered in the College of Liberal Arts and Sciences, with the goal of determining where faculty resources would best be maintained or enhanced to provide quality education.

After reviewing the eight majors in CLAS, the analysis showed that inconsistent enrollment patterns and chronic shortages of full-time teaching faculty have contributed to the Sociology Major's decline in quality and viability during the past decade. The Stamford Campus faculty, staff and administration have concluded that the Sociology major does not meet the standards of excellence and viability for it to be continued as a major at the Stamford Campus, congruent with the goals of the University of Connecticut Academic Plan.

The Stamford Campus conclusion was presented to the Head of the Sociology Department, who consulted with the Sociology faculty at the Storrs Campus, and it was also shared with the Associate Dean, College of Liberal Arts and Sciences. There is concurrence by both the department head and associate dean with the conclusion reached by the Stamford Campus.

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web: <http://provost.uconn.edu>



University of Connecticut  
*Office of the Provost*

ATTACHMENT 4

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

November 18, 2008

TO: Members of the Board of Trustees  
FROM: Peter J. Nicholls, Provost  
RE: Appointment of Kathleen Segerson to the Philip E. Austin Endowed Chair

RECOMMENDATION:

That the Board of Trustees approve the appointment of Kathleen Segerson to the Philip E. Austin Endowed Chair.

BACKGROUND:

Dr. Kathleen Segerson is an internationally renowned scholar specializing in the field of public policy related environmental economics. This field of study has emerged as an area of emphasis in the Academic Plan. In addition to her outstanding scholarship, Dr. Segerson is a first-rate teacher at the graduate and undergraduate level and has also made major contributions to university services such as Chairing the Faculty Review Board and Chairing the Academic Plan Steering Committee on the Environment.

I am recommending that Dr. Segerson be appointed to the Philip E. Austin Endowed Chair for a period of three years. At the conclusion of the three year appointment, a national search will be conducted to attract a distinguished scholar to the University of Connecticut in some field of the social sciences that is consonant with the contributions of Dr. Austin.

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web: <http://provost.uconn.edu>

**Kathleen Segerson**  
**May 2008**

**Address:**

Department of Economics  
University of Connecticut  
U-63, Rm. 314  
341 Mansfield Road  
Storrs, CT 06269-1063  
[segerson@uconnvm.uconn.edu](mailto:segerson@uconnvm.uconn.edu)  
(860) 486-4567

**Fields of Research Specialization:**

Environmental and Natural Resource Economics  
Law and Economics  
Applied Microeconomics

**Education:**

Ph.D.	1984	Cornell University, Ithaca, New York
B.A.	1977	Dartmouth College, Hanover, New Hampshire

**Professional Experience:**

**Academic Experience:**

1996-Present	Professor of Economics, Dept. of Economics, University of Connecticut
2001-2005	Head, Dept. of Economics, University of Connecticut
2002-2003	Director of Graduate Studies, Dept. of Economics, University of Connecticut
1990-1996	Associate Professor of Economics, Dept. of Economics, University of Connecticut
Fall, 1993 & Spring, 1992	Visiting Associate Professor, School of Forestry and Environmental Studies, Yale University
1987-1990	Assistant Professor of Economics, Dept. of Economics, University of Connecticut
1986-1987	Visiting Assistant Professor of Economics, Dept. of Economics, University of Connecticut
1984-1987	Assistant Professor of Natural Resource Economics, Dept. of Agricultural Economics, University of Wisconsin

**Non-Academic Experience:**

- 1978-1979      Research Analyst, U.S. House of Representatives, Committee on Interstate and Foreign Commerce, Subcommittee on Energy and Power, Washington, D.C.
- 1977-1978      Researcher, Research Program on Technology and Public Policy, Dartmouth College

**Awards/Honors:**

- 2008              Fellow, American Agricultural Economics Association
- 2008,             Fellow, Association of Environmental and Resource Economists
- 2007              AAUP (University of Connecticut Chapter) Research Excellence Award
- 2007              Appointment to Connecticut Academy for Arts and Sciences
- 2006              University of Connecticut Alumni Association Award for Excellence in Graduate Teaching
- 1994, 1997, 2003      Most Appreciated Faculty Award, Association of Graduate Economics Students, University of Connecticut.
- 1985              American Agricultural Economics Association Award for Outstanding Doctoral Dissertation
- 1985              Taylor-Hibbard Award for Excellence in Teaching, Department of Agricultural Economics, University of Wisconsin – Madison
- 1982-1983      Mary Bates Stecker Fellowship, Cornell University
- 1981-1982      Sage Graduate Fellowship, Cornell University

**Editorial Positions and Board Memberships:**

- Member, Editorial Council, *Journal of Environmental Economics & Management*, 1994-1995, 2008-Present
- Member, Editorial Board, *International Review of Environmental and Resource Economics*, 2008-Present
- Co-editor, Ashgate Studies in Environmental and Natural Resource Economics, 2000-2005
- Member, Editorial Board, *International Yearbook of Environmental and Resource Economics*, 1999-2007.
- Member, Editorial Board, *Contemporary Economic Policy*, 1996-Present.
- Special Issue Editor, Real Estate and the Environment, *Journal of Real Estate Finance and Economics*, March/May 2001.
- Co-editor, *American Journal of Agricultural Economics*, 1996-1999
- Associate Editor, *American Journal of Agricultural Economics*, 1991-1993.

Associate Editor, *Journal of Environmental Economics and Management*, 1989-1991.

### **Federal/National Advisory Committees:**

U.S. General Accounting Office, Expert Panel on Climate Change Economics, 2007-2008.

U.S. Environmental Protection Agency, Science Advisory Board, Chartered Executive Board, 2005-Present.

U.S. Environmental Protection Agency, Science Advisory Board, Committee on Valuing the Protection of Ecological Services and Systems (Vice-Chair), 2003-Present.

U.S. Environmental Protection Agency, Science Advisory Board, Ecological Research Plan Advisory Committee, 2008.

U.S. Environmental Protection Agency, Science Advisory Board, Environmental Economics Advisory Committee (standing committee), 2002-2005.

National Academy of Sciences, National Research Council, Committee on Assessing and Valuing the Services of Aquatic and Related Terrestrial Ecosystems, 2002-2004.

National Academy of Sciences, National Research Council, Committee on The Causes and Management of Coastal Eutrophication, 1998-2000.

### **Federal/National Review Panels:**

Member, External Review Committee, USDA/CSREES Review, College of Agriculture and Environmental Sciences, Environmental Programs, University of Georgia, November 2007.

Member, External Review Committee, USDA/Economic Research Service, Resource and Rural Economics Division, October 2007.

National Science Foundation, Site Review Committee, Climate Decision Making Center, Carnegie Mellon University, March 2007.

Member, External Review Committee, USDA/CSREES Review, Department of Agricultural and Applied Economics, University of Wisconsin, November 2006.

U. S. Environmental Protection Agency, Ad Hoc Committee on Superfund Benefits Assessment, 2004-Present.

National Science Foundation, Peer Review Panel, Competition for Science and Technology Centers, Arlington, VA, May 2004.

Member, External Review Committee, USDA/CSREES Review, Department of Agricultural, Environmental and Development Economics, Ohio State University, May 2004.

U.S. Environmental Protection Agency, Peer Review Panel, STAR Competition on Market Mechanisms and Incentives, Washington, D.C., January 2004.

National Science Foundation, Peer Review Panel, Competition on Centers for Decision Making Under Uncertainty, Arlington, VA, October 2003.

Member, External Review Committee, USDA/CSREES Review, Department of Resource Economics and Policy, University of Maine, May 2003.

U.S. Environmental Protection Agency, Peer Review Panel, STAR Competition on Corporate Environmental Behavior: Examining the Effectiveness of Government Interventions and Voluntary Initiatives, Washington, D.C., November 2002.

Member, External Review Committee, USDA/CSREES Review, Department of Economics, Iowa State University, February 2002.

U.S. Environmental Protection Agency, Peer Review Panel, STAR Competition on Corporate Environmental Performance and the Effectiveness of Government Interventions, Washington, DC, October 2000.

U.S. Environmental Protection Agency, Peer Review Panel, STAR Competition on Market Mechanisms and Incentives for Environmental Management, Washington, DC, May, 2000.

## **Publications:**

### **Books and Monographs:**

Segerson, Kathleen, ed. *Economics and Liability for Environmental Problems*, Ashgate Publishing Co., Aldershot, UK and Burlington, VT, 2002.

Miceli, Thomas J. and Kathleen Segerson, *Compensation for Regulatory Takings: An Economic Analysis*, JAI Press, Greenwich, CT, 1996.

Bromley, Daniel W. and Kathleen Segerson, eds. *The Social Response to Environmental Risk: Policy Formation in an Age of Uncertainty*, Boston: Kluwer Academic Publishers, 1992.

"*Unilateral Transfrontier Pollution and Economic Interdependence: A Methodological Study*," unpublished Ph.D. Dissertation, Department of Agricultural Economics, Cornell University, 1984.

### **Journal Publications and Book Chapters:**

Dawson, Na Li, and Kathleen Segerson, "Voluntary Agreements with Industries: Participation Incentives with Industry-wide Targets," *Land Economics*, Volume 84(1), February 2008: 97-114.

Suter, Jordan F., Christian A. Vossler, Gregory L. Poe, and Kathleen Segerson, "Experiments on Damage-Based Ambient Taxes for Nonpoint Source Polluters," *American Journal of Agricultural Economics*, Volume 90(1), February 2008: 86-102.

Segerson, K. "Regulation and Compliance: Discussion," in Todd Cherry, Stephan Kroll, and Jason Shogren, eds., *Environmental Economics, Experimental Methods*, Rutledge Publishers, 2008, pp. 324-328.

Segerson, Kathleen, "Resources and Rural Communities: Looking Ahead," in Junjie Wu, Paul W. Barkley, and Bruce A. Weber, eds., *Frontiers in Resource and Rural Economics*, Resources for the Future Press, 2008.

Miceli, Thomas J. and Kathleen Segerson, "The Economics of Eminent Domain: Private Property, Public Use and Just Compensation," *Foundations and Trends in Microeconomics*, Volume 3(4), 2007: 275-329.



- Segerson, K. "An Assessment of Legal Liability as a Market-Based Instrument," in Jody Freeman and Charles D. Kolstad, eds. *Moving to Markets in Environmental Regulation: Lessons from Twenty Years of Experience*, Oxford University Press, 2007, pp. 250-270.
- Miceli, T.J. and K. Segerson, "A Bargaining Model of Holdouts and Takings," *American Review of Law and Economics*, Volume 9(1), Spring 2007: 160-174.
- Miceli, T. J. and K. Segerson, "Punishing the Innocent along with the Guilty: The Economics of Individual versus Group Punishment," *Journal of Legal Studies*, Volume 36(1), January 2007: 81-106.
- Miceli, T. and K. Segerson, "Should Victims of Exposure to a Toxic Substance have an Independent Claim for Medical Monitoring?" *Research in Law and Economics*, Volume 22, 2007: 217-231.
- Vossler, C.A., G.L. Poe, W.D. Schulze and K. Segerson, "Communication and Incentive Mechanisms Based on Group Performance: An Experimental Study of Nonpoint Pollution Control," *Economic Inquiry*, Volume 44(4), October 2006: 599-613.
- Segerson, K. and Junjie Wu, "Voluntary Approaches to Nonpoint Pollution Control: Inducing First Best Outcomes through the Use of Threats," *Journal of Environmental Economics and Management*, Volume 51(2), March 2006: 165-184
- Ray, T. and K. Segerson, "Clean Charles 2005 Initiative: Why the "Success"? in C. Coglianese and J. Nash, eds., *Leveraging the Private Sector*, Washington, D.C.: Resources for the Future Press, 2006, pp: 201-227.
- Miceli, T. J., and K. Segerson, "A Tort for Risk and Endogenous Bankruptcy," in M. Boyer, Y. Hiriart and D. Martimort, eds., *Frontiers in the Economics of Environmental Regulation and Liability*, Ashgate Publishing, 2006, pp. 191-206.
- Segerson, K., A. Plantinga, and E. Irwin, "Theoretical Background," in K. P. Bell, K. J. Boyle, and J. Rubin, eds., *Economics of Rural Land-Use Change*, Ashgate Publishing Co., Aldershot, 2006: 79-112.
- Miceli, T. J. and K. Segerson, "Do Exposure Suits Produce a Race to File? An Economic Analysis of a Tort for Risk," *Rand Journal of Economics*, Volume 36(3), Autumn 2005: 613-627.
- Poe, G., W. D. Schulze, K. Segerson, J. Suter, and C.A. Vossler, "Exploring the Performance of Ambient-Based Policy Instruments when Nonpoint Source Polluters Can Cooperate," *American Journal of Agricultural Economics*, Volume 86(5), December 2004: 1203-1210.
- Segerson, K. and K. Roti Jones, "Do Voluntary Approaches to Climate Change Lead to Efficient Environmental Protection?" in A. Baranzini and P. Thalmann, eds., *Voluntary Agreements in Climate Change*, Edward Elgar, 2004. pp. 67-88.
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"The Role of Economic Interdependence in Inter-jurisdictional Acid Rain Disputes: The U.S. -- Canadian Case," contributed paper presented at the American Agricultural Economics Association Annual Meeting, East Lansing, Michigan, August 1987.

"Risk-Sharing in Pollution Control: Some Policy Issues," invited paper presented at the Southern Natural Resource Economics Workshop on Risk and Natural Resources, Atlanta, October 1986.

"Trade Modeling: The Applied General Equilibrium Approach," invited paper presented at the American Agricultural Economics Association Conference on Modeling for the Analysis of International Trade," Reno, July 1986.

"Risk-Sharing in the Design of Environmental Policy," contributed paper presented at the American Agricultural Economics Association Annual Meeting, Reno, July 1986.

"Risk-Sharing and Liability in the Control of Stochastic Externalities," contributed paper presented at the Association of Environmental and Resource Economists Workshop, Narragansett, R.I., June 1986.

"Uncertainty and Incentives for Non-point Pollution Control," contributed paper presented at the American Agricultural Economics Association Annual Meeting, Ames, Iowa, August 1985.

"Economic Incentives for the Control of Non-point Pollution," contributed paper presented at the Non-Point Pollution Symposium, Milwaukee, April 1985.

### **Seminar Presentations (since 1990):**

University of Minnesota, 2008  
University of New Hampshire, 2008  
Iowa State University, 2008  
University of California, Santa Barbara, 2008  
University of Maryland, 2007  
University of Guelph, 2006  
University of Central Florida, 2006  
University of Rhode Island, 2005  
Yale University, 2005  
University of Laval, 2003  
Michigan State University, 2001  
Columbia University, 2000  
Clark University, 2000  
Colby College, 1999  
University of Nebraska, 1999  
Harvard University, 1998  
University of Illinois, 1998  
University of Indiana, 1998  
University of Michigan, 1998  
Academia Sinica (Taipei, Taiwan), 1998  
Chung-Hua Institution for Economic Research (Taipei, Taiwan), 1998  
CIRANO (Montreal), 1997  
Oregon State University, 1997  
University of California-Davis, 1997  
Yale University, 1997  
University of Minnesota, 1997  
Ohio State University, 1997  
University of Connecticut, Dept. of Agricultural Economics, 1997

North Carolina State University, 1995  
 Iowa State University, 1994  
 Cornell University, 1994  
 University of Wisconsin, 1993  
 University of Guelph, 1993  
 University of Maryland, 1993  
 University of Rhode Island, 1993  
 Washington University, 1993  
 Yale University, 1993

### **Grants/Contracts/Consultancies:**

- 2005-2008      "Improved Valuation of Ecological Benefits Associated with Aquatic Living Resources: Development and Testing of Indicator-Based Stated Preference Valuation and Transfer," U.S. Environmental Protection Agency STAR Grant (Robert Johnston, P.I.).
- 2007            "Voluntary Programs to Protect Pacific Sea Turtles: An Economic Evaluation"
- 2004-2006      "Optimal Regulation and Choice of Policy Instruments for Sea Turtles in the Pacific Ocean, Accounting for Risk of Detection and Effectiveness," National Oceanographic and Atmospheric Administration.
- 2003-2005      "An Experimental Economics Examination of Incentive Mechanisms for Reducing Ambient Water Pollution Levels from Agricultural Non-Point Source Pollution," Cornell University sub-contract, U.S. Environmental Protection Agency STAR Grant (Greg Poe, P.I.)
- 1995            "Environmental Liability Reform in Transition Economies," Harvard Institute for International Development.
- 1994            "Treatment vs. Prevention: An Analysis of Ex Ante vs. Ex Post Approaches to Food Safety," Food Marketing Policy Center, Department of Agricultural and Resource Economics, University of Connecticut.
- 1993-1995      "Climate Change and Agriculture: The Role of Farmer Adaptation," Electric Power Research Institute.
- 1993-1994      "Redesigning CERCLA Liability: An Analysis of the Issues," Reason Foundation, Los Angeles, CA.
- 1991-1993      "Regulations and Economic Incentives for Achieving Groundwater Quality," with C.R. Harper, C. Willis, and J.T. Finn (University of Massachusetts), Sub-awards of grant to University of Massachusetts from U.S. Department of Agriculture, Cooperative State Research Service.
- 1990-1991      "Measuring the Impacts of Non-Uniform Product Liability Laws on the Cost of U.S. Goods: Phase I: Methodology and Study Design," Contract with Arthur W. Wright and Associates, U.S. Small Business Administration, Office of Advocacy, Contract No. SBA 5647-OA-90.
- 1989-1993      "Evaluating the National/Regional Impacts of Policies to Control Agricultural Sources of Water Pollution: The Link to the Resource Base," Co-Operative Agreement with the U.S. Department of Agriculture, Economic Research Service.
- 1988-1989      "Liability for Groundwater Contamination: Economics Incentive and Distributional

Effects," Institute of Water Resources, University of Connecticut.

- Summer 1988 "Theoretical and Empirical Extensions of Cost/Benefit Measures Under Uncertainty," Research Foundation, University of Connecticut.
- 1985-1989 "Uncertainty and Irreversibility in Natural Resource Use," Agricultural Experiment Station, University of Wisconsin.
- 1985-1986 "Economic and Institutional Aspects of Risk and Liability in the Control of Groundwater Pollution," Water Resource Center, University of Wisconsin.

**External Promotion/Tenure Evaluations:** Brandeis University, Dartmouth College, Indiana University, INSEAD, North Carolina State University, Ohio State University, Oregon State University (2), Penn State University (2), Portland State University, Queens University, Resources for the Future, San Diego State University, University of Arizona, University of California at San Diego, University of California at Santa Barbara, University of Central Florida, University of Illinois (2), University of Michigan, University of Nevada, University of Victoria, Yale University

**Journal/Manuscript Reviews:** *Agribusiness: An International Journal, Agricultural and Resource Economics Review, American Economic Review, American Journal of Agricultural Economics, American Journal of Economics and Sociology, Canadian Journal of Agricultural Economics, Choices, Climate Change, Climate Research, Contemporary Economic Policy, Economic Inquiry, Environment and Development Economics, Environmental and Resource Economics, European Economic Review, European Review of Agricultural Economics, European Review of Law and Economics, International Journal of the Economics of Business, International Journal of Production Economics, International Review of Law and Economics, Journal of Agricultural and Applied Economics, Journal of Agricultural and Food Industrial Organization, Journal of Agricultural and Resource Economics, Journal of Cleaner Production, Journal of Economic Education, Journal of Economics and Business, Journal of Economics and Management Strategy, Journal of Environmental Economics and Management, Journal of Industrial Economics, Journal of Institutional and Theoretical Economics, Journal of Law and Economics, Journal of Legal Studies, Journal of Marine Environmental Engineering, Journal of Policy Analysis and Management, Journal of Public Economics, Journal of Real Estate Finance and Economics, Journal of Regulatory Economics, Land Economics, Law and Policy, Managerial and Decision Economics, Marine Resource Economics, Oxford Economic Papers, Policy Studies Journal, Rand Journal of Economics, Resource and Energy Economics, Review of Agricultural Economics, Review of Economics and Statistics, Review of Environmental Economics and Policy, Review of Law and Economics, Scandinavian Journal of Economics, Southern Economic Journal, Urban Affairs Review, Water Resources Research, World Development.*

**Grant Reviews:** AKF, Institute of Local Government Studies, Blackwell Publishers, Kluwer Academic Publishers, Mansholt Institute, National Academy of Sciences, National Oceanic and Atmospheric Administration, National Science Foundation, Ohio State University Sea Grant Program, Research Council of Canada, South-Western College Publishing, Swiss National Science Foundation, U.S. Department of Energy, U.S. State Department, University of Maryland Agricultural Experiment Station, Minnesota Sea Grant Program, University of Rhode Island Experiment Station, Wisconsin Sea Grant Program.

**Other Professional Service:**

President-elect, Association of Environmental and Resource Economists, 2008

Member, Nominating Committee, Association of Environmental and Resource Economists, 2005

Member, American Agricultural Economics Association Selected Papers Committee, 2004, 2008

Member, American Agricultural Economics Association Foundation Board, 2002-2005.

Outstanding Doctoral Dissertation Award Committee, American Agricultural Economics Association, 2002-2004 (Chair in 2003).

Member, Ad Hoc Committee on Name and Image Change, American Agricultural Economics Association, 2002-2003.

Member, Paper Selection Committee, Second World Congress of Environmental and Resource Economists, 2002.

Outside Dissertation Evaluator, University of Copenhagen, 2002

Member, Nominating Committee, Association of Environmental and Resource Economists, 2000-2001

Member, Program Committee, World Congress of Environmental and Resource Economists, 1998.

Member, Association of Environmental and Resource Economists Workshop Committee, 1993-1995 (Chair in 1995).

Member, American Agricultural Economics Association's Selected Papers Committee, 1995.

Vice-President, Association of Environmental and Resource Economists, 1994-1995.

Member, American Agricultural Economics Association's Selected Papers Committee, 1993.

Member, Board of Directors, Association of Environmental and Resource Economists 1991-1993.

Member, American Agricultural Economics Association Awards Committee (Professional Publications – Quality of Research Award), 1990-1993.

Member, American Agricultural Economics Association Selected Papers Committee, 1991-1992

### **Other Professional Activities (since 1990):**

Philip M. Raup Lecture on Land and Environmental Policy, “Ecosystem Services: A New or Old Paradigm within Environmental Economics,” University of Minnesota, May 1, 2008.

Invited discussant, Economics, Law and the Environment Program, Symposium on “Property Rights in Environmental Assets: Economic and Legal Perspectives,” University of Arizona, October 26, 2007.

Invited discussant, Yale University, School of Management, Environmental Economics Conference, November 16, 2007.

4<sup>th</sup> Galloway Lecture, “Using Economics to Preserve Endangered Species: The Case of the Pacific Sea Turtle,” University of Central Florida, March 23, 2006.

Invited discussant, NBER Workshop of Environmental Economics Working Group, Cambridge, MA, April 8, 2006.

Invited discussant, session on Evaluating Pollution Prevention Policies, American Agricultural Economics Association Meeting, Providence, RI, July 25, 2005.

Invited discussant, commentator in session on EPA's Environmental Economic Research Needs and EPA's Research Strategy, American Agricultural Economics Association Meeting, Providence, RI, July 25, 2005.

Invited discussant, session on "Voluntary Environmental Initiatives: Implications for Environmental and Financial Performance," American Agricultural Economics Association Meeting, Denver, CO, August 2004.

Invited participant, symposium on "Who Will Help the Social Planner?" American Agricultural Economics Association Meeting, Denver, CO, August 2004.

Research Fellow, Rural Development Research Consortium, University of California, Berkeley, 2003-Present.

Invited participant, National Science Foundation sponsored Workshop on Developing a Research Agenda for Linking Ecological and Socioeconomic Systems, Tempe, AZ, June 2000.

Invited panelist, EPA Workshop on Climate Change and Agriculture, Washington, D.C., May 1998

Invited discussant, National Bureau of Economic Research (NBER) Conference on Trade, the Environment, and Natural Resources, Cambridge, MA, May 1998

Invited discussant, Allied Social Science Association (ASSA) Meeting, Chicago, January 1998

Invited discussant, American Agricultural Economics Association (AAEA) Meeting, Toronto, August 1997.

Invited discussant for session at "Flexible Incentives for the Adoption of Environmental Technologies in Agriculture," University of Florida, Gainesville, FL, June 1997.

Moderator/discussant at session on "Environmental Federalism," Association for Public Policy Analysis and Management, Pittsburgh, PA, November 1996.

Invited discussant for session at "Economics of Sustainable Agriculture," U.S. Department of Agriculture, Economic Research Service, Washington, D.C., October 1996.

Invited attendee at a conference on "Environmental Reform: The Next Generation Project," at Yale University, Yale Center for Environmental Policy and Law, September 1996.

Invited discussant, "Environmental Auditing and Corporate Environmental Performance," Allied Social Science Association Meeting, Washington, D.C., January 1995.

Invited discussant, "The Benefits of Groundwater Protection," Annual Meeting of the American Agricultural Economics Association, San Diego, CA, August 1994.

Invited discussant, "Exploiting Spatial Data in Agriculture: New Theories, New Technologies," Annual Meeting of the American Agricultural Economics Association, San Diego, CA, August 1994.

Member, Technical Review Committee for "Livestock and the Environment: A National Pilot Project," Iowa State University, 1994.

Member, Editorial Board, CIESIN Thematic Guides on Global Warming, 1994.

Member, Technical Review Committee, "Livestock and the Environment: A National Pilot Project," Iowa State University, 1993.

Invited discussant, "Budget Balancing Incentive Mechanisms," American Agricultural Economics Association Annual Meeting, Baltimore, MD, August 1992.

Discussant, "Regulatory Systems and Systems Dynamics: An Institutional Analysis of Superfund," American



Economic Association Annual Meeting, December 1990.

### **Memberships in Professional Societies:**

American Economic Association

American Agricultural Economics Association

Association of Environmental and Resource Economists

**PhD Advisees (16):** Rasha Ahmed, Bobur Alimov, James Barrett, James Bathgate, Joel Corona, Na Li Dawson, Katalin Demeter, Deepak Joglekar, Kristin Roti Jones, Surabhi Kadambe, Sung-Woen Kim, Zinnia Mukherjee, Tapas Ray, Ishita Sengupta, Lih-Chyi Wen, Junjie Wu

## **Service Contributions**

### **University Service (since 1990)**

Search Committee, Department Head Search, Department of Agricultural and Resource Economics, 2008

Academic Center/Institute Review Committee, 2007-present

Board of Trustees Distinguished Professor Nomination Committee, 2007-present

Center for Environmental Sciences and Engineering Subcommittee on Multidisciplinary Environmental Research Grants for Graduate Students, co-chair, 2007, 2008

Provost's Academic Plan Implementation Committee for the Environment, 2007-2008

Environmental Leadership Awards Selection Committee, 2007

Reappointment Review Committee for Associate Dean James Henkel, 2006

Faculty Review Board, 2005-2008 (Chair, 2007, 2008)

Center for Environmental Sciences and Engineering Faculty Advisory Council, 2006-Present.

Information Technology Steering Committee, 2005-2007

Environmental Policy Advisory Committee, 2005-Present

Environmental Literacy Workgroup, 2005-Present

Teale Lectures on Nature and the Environment Organizing Committee, 1996-Present (chair, 2005-present)

Alumni Association Distinguished Professor Selection Committee, 2004

Reappointment Review Committee for Dean Kirk Kerr, 2002-2003

Graduate Task Force on Admissions and Records, 2002-2003

External Review Committee, Finance Department External Review, 2000-2001

Faculty Senate Representative, 1999-2000

Environmental Studies Curriculum Group, 1999-2000

Advisory Council, Center for Environmental Health, 1995-1997

Executive Board, Institute of Water Resources, University of Connecticut, 1988-1993.

Advisory Council, Center for Environmental Health, University of Connecticut, 1988-1993.

Social Sciences Area Review Committee, 1990-1992.

### **College of Liberal Arts and Sciences (CLAS) Service (since 1990)**

Search Committee, CLAS Dean Search, 2007-2008

CLAS Dean's Academic Advisory Council, 2006-2007

CLAS Dean's Strategic Planning Committee, 2005-2006

CLAS Committee on Committees, 2005-Present.

CLAS Social Science Building Committee, 2004-2005

Search Committee, CLAS Associate Dean, 2003-2004

CLAS Program Review Committee, 2002-2003

Department Head Search Committee, Department of Sociology, 2000-2001

Dean's Advisory Council, 1996-1999

Department Head Search Committee, Department of Political Science, 1993-1994

### **Department of Economics Service (since 1990)**

Department Head, 2001-2004

Faculty Nominations, Awards and Joint Appointments, 2005-2007.

Departmental Newsletter, 2005-2006.

Recruiting Committee, 2001-2005, 1993-1994, 1990-1991.

Preliminary Exam Committee, 2006-2008 (chair), 1990-2004.

Director of Graduate Studies, 2002-2003

Promotion, Tenure and Review Committee, 1990-Present.

Chair, Graduate Fields Committee, 1998-1999

Undergraduate Affairs Committee, 1995-1997

Graduate Program Committee, 1990-1993.



University of Connecticut  
*Office of the Provost*

ATTACHMENT 5

Peter J. Nicholls  
Provost & Executive  
Vice President for  
Academic Affairs

November 18, 2008

TO: Members of the Board of Trustees

FROM: Peter J. Nicholls, Provost

RE: Renaming the Department of Natural Resources Management and Engineering to  
Department of Natural Resources and the Environment in the College of Agriculture and  
Natural Resources

RECOMMENDATION:

That the Board of Trustees approve the renaming of the Department of Natural Resources Management and Engineering to Department of Natural Resources and the Environment in the College of Agriculture and Natural Resources.

BACKGROUND:

The Department of Natural Resources Management and Engineering (NRME) received its current name in 1988 with the merger of two Departments: Renewable Natural Resources and Agricultural Engineering. The vision of NRME is: *"....to contribute to the solution of environmental problems, to increase the understanding of natural resources systems, and to enhance the wise management of these resources. Over the years, the department has become a leader in the natural resources and environmental sciences."* The vision no longer incorporates agricultural engineering and our undergraduate students do not receive an engineering curriculum, although we do maintain strengths in engineering related to natural resources and environmental problem solving. Thus, as a faculty we have unanimously agreed that we should change our current name, which represents a legacy of long-past Departmental reorganizations, to the Department of Natural Resources and the Environment. The new name better reflects:

- Our mission and vision statement;
- The curriculum and degree our students receive (BS in "natural resources");
- Our current faculty composition;
- Our future faculty hires;
- Our prominence, expertise and excellence in natural resources and the environment, a burgeoning field both nationally and internationally.

The new name will open up more research and educational opportunities in an increasingly interdisciplinary environment. The name strongly resonates with students, provides a label broad enough for future growth, and embodies the teaching, research and extension mission of the Department.

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e-mail: [peter.nicholls@uconn.edu](mailto:peter.nicholls@uconn.edu)  
web: <http://provost.uconn.edu>




University of Connecticut  
*Board of Trustees*

ATTACHMENT 6

November 18, 2008

TO: Members of the Board of Trustees

FROM: John W. Rowe, M.D. Chairman 

SUBJECT: Approval of Proposed Changes to the *University of Connecticut Laws and By-Laws*

RECOMMENDATION:

That the Board of Trustees approve the recommended change to the *University of Connecticut Laws and By-Laws* Article V – Committees of the Board of Trustees.

BACKGROUND:

Regularly scheduled meetings of the Board typically occur between six and seven times a year. On rare occasions the business of the University requires Board action between regularly scheduled meetings. The proposed amendment to the By-laws will permit the Executive Committee of the Board to promptly address urgent University business, the delay of which could result in significant risk, expense or disruption to the University or its operations.

The *University of Connecticut Laws and By-Laws* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. Notice was provided at the September 23 Board of Trustees meeting.

The final revised language in Article V follows.

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Gulley Hall  
352 Mansfield Road Unit 2048  
Storrs, Connecticut 06269-2048

Telephone: (860) 486-2337  
Facsimile: (860) 486-2627

## Article V – Committees of the Board of Trustees

(New language underlined)

1. The Board shall act as a committee of the whole on all matters requiring action with the exception of those listed in items 2, 4, and 5 below.
2. Executive Committee.
  - a. Membership. The Executive Committee shall consist of the Board Chair and the chairs of all the board's standing committees. The President shall serve as an ex officio nonvoting member. The Chair of the Board shall chair this committee. A simple majority shall constitute a quorum. It shall meet at such times as deemed necessary at the Chair's discretion.
  - b. The Executive Committee shall exercise in emergencies all the authority of the Board of Trustees, consistent with the policies of the Board or with any action taken earlier by the Board. For purposes of executive committee action, a matter shall be deemed an emergency circumstance when delaying action until the full Board's next meeting could result in significant risk, expense or disruption to the University or its operations.
  - c. The Committee shall not preempt the Board except in those emergency circumstances that do not permit the handling of a matter in the normally prescribed manner by the Board, and shall report to the full Board any action taken at the next regular meeting of the Board.
3. The Board may appoint from time to time such special or standing committees as may be necessary to make studies or preliminary investigations necessary for determining Board action. Special committees are automatically discharged when their reports are acted upon by the Board.
4. The committee of the Board of Trustees having cognizance over financial matters is authorized to buy and sell securities and the chairman of this committee is authorized to instruct the Vice President and Chief Financial Officer or the University Controller to execute the necessary assignment.
5. A standing committee shall be established with jurisdiction over the University of Connecticut Health Center including its schools, the John Dempsey Hospital, and its core administrative units.

**PROMOTION, TENURE AND REAPPOINTMENT RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT  
PRESENTED TO THE BOARD OF TRUSTEES – November 18, 2008**

### APPOINTMENTS WITH TENURE:

**Effective January 1, 2009:**

**School of Engineering**

## APPOINTMENT AS ASSOCIATE PROFESSOR WITH TENURE

Brian G. Willis                      Chemical, Materials & Biomolecular Engineering

**APPOINTMENT AS PRATT & WHITNEY CHAIR PROFESSOR WITH TENURE**

Robert X. Gao Mechanical Engineering

**College of Liberal Arts and Sciences**

## APPOINTMENT AS ASSOCIATE PROFESSOR WITH TENURE

Steven Wall Philosophy

Colin W. Leach Psychology

Eric Brunner

**PROMOTIONS:**

**Effective August 23, 2008:**

**School of Engineering**

## PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Ranjan Srivastava                      Chemical, Materials & Biomolecular Engineering

**University of Connecticut Department of Human Resources**  
**Emeritus Retirees**  
**November 18, 2008 Board of Trustees Meeting**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>RETIREMENT DATE</b>
Broderick, Stephen H.	Sr. Coop. Ext. Educator	Extension	Agriculture & Natural Resources	10/1/2008
Cournoyer, David E.	Associate Professor	Instruction & Research	Social Work	9/1/2008
Jeffrey, Roy F.	Coop. Extension Educator	Extension	Agriculture & Natural Resources	6/1/2008
Smith, Erling A.	Professor	Civil & Environ. Engr.	Engineering	8/1/2008



**University of Connecticut Department of Human Resources**  
**New Hires Processed from September 9, 2008 to October 22, 2008**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Billings, Sandra	Asst Clin Prof	Education Dean Ofc	8/23/08
Harrington, Heather L.	Asst Coopexteduc Res	Allied Health Sciences	10/1/08
Joseph, Michael F.	Asst Prof In Res	Physical Therapy	8/23/08
Miller, Stephen F.	Asst Prof In Res	Mathematics	8/23/08
Shlapak, Yuriy	Asst Prof In Res	Mathematics	8/23/08
Ben-Ari, Iddo	Asst Professor	Mathematics	8/23/08
Risatti, Guillermo	Asst Professor	Pathobiology	8/23/04
Schiffler, Ralf	Asst Professor	Mathematics	8/23/08
Sealey Kent, Lisa	Ext Instr In Res	Educational Psychology	8/15/08
Mosakowski, Elaine	Professor	Management Dept	8/23/08
Antonyan, Tigran	Research Assistant I	Computer Science & Engr	9/26/08
Corr, Douglas J.	Research Assistant I	Social Work Instr & Res	10/6/08
Kravitz, Megan R.	Research Assistant I	Psychology	9/26/08
Patel, Komal M.	Research Assistant 2	Physiology & Neurobiology	9/15/08
Griffin-Fennell, Felicia	Research Associate 1	Social Work Instr & Res	9/1/08
Francisco, Janelle	Specialist IA	Athletics Training Room	9/15/08
Grove-Mcdonough, Andrea	Specialist IA	Athletics Wmns Intercul	10/8/08
Gu, Xinsheng	U Post Doc Fel I	Pharmaceutical Sci	9/6/08
He, Qingbo	U Post Doc Fel I	Mechanical Engr	9/15/08
Lyons, Mary-Marguer M.	U Post Doc Fel I	Marine Sciences/Mstc	9/1/08
Richmond, Julie P.	U Post Doc Fel I	Animal Science	8/29/08
Verma, Rajeev	U Post Doc Fel I	Molecular & Cell Biology	10/1/08
Yu, Dongmei	U Post Doc Fel I	Molecular & Cell Biology	9/22/08
Cartwright, Allison	U Staff Prof II	Ofc Of Diversity & Equity	9/26/08
Sassi, Joseph P.	U Staff Prof II	Ofc Of Diversity & Equity	9/26/08
Herman, Jacob	UCP 03 - Lab Technician I	Biology Central Services	8/25/08
Maloney, Mary P.	UCP 03 - Lab Technician I	Biology Central Services	8/29/08
Silva, Meghan	UCP 04 - Admin Serv Spec II	Registrar Ofc	9/26/08
Jean, Scott	UCP 04 - Athletic Equip Mgr	Athletics Equipment Ctr	9/24/08
Tatro, Nancy M.	UCP 04 - SHS Clinical Lab Tec	Student Health Services	9/26/08
Maldonado, Noemi	UCP 05 - Academic Advisor I	Education Dean Ofc	9/12/08
Moore, Jonathan	UCP 05 - Admin Serv Spec III	Student Aff IT	9/26/08

**University of Connecticut Department of Human Resources**  
**New Hires Processed from September 9, 2008 to October 22, 2008**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Santiago, Jacqueline F.	UCP 06 - Program Spec I	Ctr Students Disabilities	8/29/08
Spaderna, Summer	UCP 06 - Program Spec I	Sep-Study Abroad Programs	9/5/08
Sheahan, Robert J.	UCP 07 - Comp Tech Sup Cons 2	CCS IT Svcs	10/1/08
Hook, David	UCP 07 - Internal Auditor II	Audit, Compl. & Ethics	9/26/08
Skurkis, Jeffrey M.	UCP 07 - Program Manager	Business MBA Program	9/19/08
Rorrio, David	UCP 09 - Facilities Prof III	Design, Plan & Const. Mgt	9/26/08
Simao, Frank A.	UCP 10 - Facilities Prof IV	Facilities Management	9/12/08
Vollaro, Richard	UCP 10 - Facilities Prof IV	Design, Plan & Const. Mgt	9/26/08

**University of Connecticut Department of Human Resources**  
**Employee Separations Processed from September 9, 2008 to October 22, 2008**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPT.	SEPARATION REASON	SEPARATION DATE
Alex, Caitlin M.	Research Assistant I	Psyc	Resignation (Good Standing)	8/14/08
Chanana, Anisha K.	UC Professional 06 - Academic Advisor II	Stam	Resignation (Good Standing)	9/19/08
Glickman, Marcia	Lecturer 11Mo	Law	Resignation (Good Standing)	9/1/08
Goberman, Daniel G.	Goberman, Daniel G.	IMS	Resignation (Good Standing)	9/12/08
Goes, Paulo	Professor	Oper & Info Mgmt	Resignation (Good Standing)	8/22/08
Grey, Jennifer W.	Executive Director	Stamford MBA	Resignation (Good Standing)	10/9/08
Hill, Alexander S.	Acad Assistant I	Art	Resignation (Good Standing)	8/20/08
Lane, Shannon R.	Research Assistant 2	SSW	Resignation (Good Standing)	8/31/08
Leja, Sofiya Y.	UC Professional 01 - Financial Asst I	Law	Resignation (Good Standing)	10/3/08
Magendantz, Kristin	UC Professional 08 - Placement Dir-Law	Law	Resignation (Good Standing)	9/30/08
McLaughlin, Joseph	UC Professional 05 - Dng Serv Ar Ast Mgr	Food	Resignation (Good Standing)	8/15/08
Medina Rivera, Zahira L.	Research Assistant 1	Chip	Resignation (Good Standing)	9/11/08
Nketia, Adu K.	UC Professional 07 - Fiscal Manager	Food	Resignation (Good Standing)	9/30/08
Oakley, Barbara E.	UC Professional 11 - Area Head-Library	Library	Resignation (Good Standing)	10/9/08
Pavlova, Svitlana V.	U Post Doc Fel 1	Chem	Resignation (Good Standing)	7/18/08
Rivera, Maria	UC Professional 08 - Placement Dir-Law	Law	Resignation (Good Standing)	10/3/08
Santelices, Claudia	Research Associate 2	CHIP	Resignation (Good Standing)	9/29/08
Siu-Caldera, Mei-Ling	Asst Prof In Res	Nusc	Resignation (Good Standing)	8/22/08
Yin, Fang	Asst Professor	Oper & Info Mgmt	Resignation (Good Standing)	8/22/08
Zucker, Sarah	Research Assistant 1	SSW	Resignation (Good Standing)	8/31/08
Clark, Rande-Jeanne	UC Professional 05 - Admin Serv Spec III	VPRGE	Retirement (25+ Yrs Service)	9/30/08
Eaton, John W.	U Staff Prof III	Accounting	Retirement (25+ Yrs Service)	9/30/08

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 10/29/08**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATES</b>	<b>REASON FOR LEAVE</b>
Aston, Marjorie I.	UCP 3 - Program Asst. I	Economics	9/19/08-10/29/08	Medical leave without pay
Bartkowski, Rosine B	UCP-6 - SHS Nurse	Student Health Services	9/26/08-10/23/08	Medical leave with pay
Colwell, Theodore M	UCP 7- Business Manager II	Student Health Services	8/29/08-9/12/2008	Medical leave with pay
Cornell, Debra	UCP 5 - Dng Serv Ar Ast Mgr	Dining Services	9/23/08-10/29/08	Medical leave with pay
Costanzo, Lorraine F	UCP 5 - Admin Serv Spec III	Security Policy & QA	9/12/08-10/23/08	Medical leave with pay
Eldridge, Bruce	U Staff Prof III	Human Resources	8/28/08-9/11/08	Medical leave with pay
Hastillo, Abigail	UCP 4 - Program Assistant II	Integrative Geoscience	9/30/08-11/26/08	Maternity leave with pay
Hastillo, Abigail	UCP 4 - Program Assistant II	Integrative Geoscience	11/27/08-12/11/08	Parental leave with pay
Hastillo, Abigail	UCP 4 - Program Assistant II	Integrative Geoscience	12/12/08-3/31/09	Parental leave without pay
Hastillo, Megan	UCP 4 - Athletic Equip Mgr	Athletics Equipment CT	9/15/08-10/27/08	Maternity leave with pay
Hastillo, Megan	UCP 4 - Athletic Equip Mgr	Athletics Equipment CT	10/28/08-12/10/08	Parental leave with pay
Joy, Wanda M	UCP 5 - Admin Coordinator	Pharmacy Dean Ofc	11/3/08	Medical leave with pay
Kalinowski, Lori L	UCP 4 - Program Assistant II	Human Dev/Fam Studi&R	8/15/08-8/26/08	Medical leave with pay
Kucko, Sheila M	UCP 6 - Program Admin	Asian American Cntr	9/22/08-11/14/08	Medical leave with pay
Kula, Patricia A	Asst Athletic Trainer	Athletics Mens Interco	8/20/08-11/5/08	Parental leave without pay
Kupchunos, Walter J	UCP 7 - Dining Svcs Area Mgr	Dining Services	7/11/08-8/8/08	Parental leave with pay
Manis, Sherri O	UCP 4 - Admin ServSpec II	Accounting Ofc	9/16/08-11/12/08	Maternity leave with pay
Manis, Sherri O	UCP 4 - Admin ServSpec II	Accounting Ofc	11/13/08-12/29/08	Parental leave with pay
Melady, Barbara P	UCP 4 - Amin Serv Spec II	Student Health Service	9/15/08, 9/23/08	Medical leave with pay
M'lan, Cyr E	Asst Professor	Statistics	2/8/08-4/7/08	Medical leave with pay
Parekh McGushin, Serena M	Asst Professor	Philosophy	9/17/08-10/29/08	Maternity leave with pay
Peters, Thomas J	Professor	Computer Science & Eng	2/23/09-8/22/09	Leave with part-pay
Schaffhauser, Deborah P	UCP 4 - Admin Serv Spec II	Registrar Office	9/5/08-9/12/08, 9/17/08, 9/18/08, 9/22/08	Medical leave with pay
Schroeter, Florence	UCP 3 - Prog Assistant I	Social Work Instr & Re	9/11/08-9/17/08	Medical leave without pay
Schroeter, Florence	UCP 3 - Prog Assistant I	Social Work Instr & Re	9/18/08-9/30/08	Medical leave - reduced schedule
Shapiro, Lionel S	Asst Professor	Philosophy	1/1/09-12/31/09	Personal leave without pay
Sung, Chong S	Professor	Chemistry	8/23/08-2/28/09	Medical leave with pay
Sung, Chong S	Professor	Chemistry	3/1/09-8/22/09	Medical leave with part pay
Talvacchia, Bette	Distinguished Prof	Art & Art History	8/23/08-8/22/09	Leave with part-pay

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 10/29/08**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATES</b>	<b>REASON FOR LEAVE</b>
Tse, Suk Y	UCP 9 - Database Admin 2	Payroll Office	9/10/08-11/5/08	Maternity leave with pay
Tse, Suk Y	UCP 9 - Database Admin 2	Payroll Office	11/06/08-1/2/09	Parental leave - reduced schedule
Varnauskas, Diane T	UCP 1 - Program Aide	Social Work Instr & Re	10/1/08-1/1/09	Medical leave without pay
Vernal-Wright, Fiona	Asst Professor	History	8/1/08-9/12/08	Maternity leave with pay
Wan, William Y	UCP 7 - Comp Tech Sup Cons 2	Registrar Office	9/10/08-9/16/08	Paternity leave with pay
Wan, William Y	UCP 7 - Comp Tech Sup Cons 2	Registrar Office	9/17/08-2/17/09	Parental leave - reduced schedule
Wang, Guiling	Associate Professor	Civil & Environ Eng	7/2/08-8/26/08	Maternity leave with pay
Williams, Jennifer A	UCP 4 - Program Assistant II	Law Sch Dean Ofc	7/15/08-9/3/08	Parental leave with pay
Williams, Jennifer A	UCP 4 - Program Assistant II	Law Sch Dean Ofc	9/4/08-12/29/08	Maternity leave without pay
Yang, Xiangzhong	Professor	Animal Science	8/23/08-8/22/09	Medical leave with partial pay



University of Connecticut  
*Office of the Provost*

Attachment 8

Peter J. Nicholls  
*Provost & Executive  
Vice President for  
Academic Affairs*

November 18, 2008

TO: Members of the Board of Trustees  
FROM: Peter J. Nicholls, Provost  
RE: Establishment of the Institute for Urban School Improvement

I am writing to inform the Board of Trustees that pursuant to the *Laws, By-Laws and Rules of the University of Connecticut* I have approved the creation of the Institute for Urban School Improvement.

The Neag School of Education is ranked among the top schools and colleges of education in the country. In order to take the school to the next level of excellence, the faculty and staff have developed a targeted effort to build cross-departmental expertise in issues related to improving public education in our nation's most challenging urban schools. The proposed Institute for Urban School Improvement will act as the hub for both the school and university by supporting research aimed at improving student achievement in urban schools, and just as critical, translating that research into practice.

Traditionally, schools of education at major universities have conducted research with limited collaboration with public schools. This rift has led to limited translation of sound research into practice, and it has created an image of schools of education as disconnected from the realities of today's classrooms. The challenges facing both our nation's urban schools and our schools of education mandate this approach to school improvement change. The Institute for Urban School Improvement at UConn will change this paradigm by conducting research on practices that directly affect student achievement, and in turn, disseminate these findings and practices to schools across Connecticut and the nation.

The Neag School of Education is engaged in research projects in urban schools that range from changing student behavior to improving mathematics and reading achievement. Additionally, the Neag School is home to three prestigious school reform programs: CommPACT Schools Project, Accelerated Schools Plus and Atlas Learning Communities. These grants and outreach projects generate more than \$10 million a year in outside funding.

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e-mail: [peter.nicholls@uconn.edu](mailto:peter.nicholls@uconn.edu)  
web: <http://provost.uconn.edu>

The Institute will coordinate these programs, encourage efficiencies in grant management and focus on expanding research productivity in this area. The Neag School Academic Plan and UConn's new Academic Plan both emphasize the enhancement of research in the area of K-12 school reform, and the Institute for Urban School Improvement will be the vehicle for conducting and coordinating this important work. The Institute's goals include:

- Raise expectations and achievement of learners in urban environments
- Coordinate and maximize the efficiency and effectiveness of the research in urban school improvement at UConn
- Increase the number of effective teachers and other professionals recruited and retained in urban areas
- Provide expertise in urban education through field-based services, research, dissemination, and in local and national networking
- Develop the leadership capacity of urban school administrators to lead change efforts
- Double the amount of research dollars in urban school improvement within three years



University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

Attachment 9

November 18, 2008

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Planning) for the Residential Life Facilities – Holcomb, Whitney, Sprague Masonry Repairs

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget for masonry repairs at Marcus Holcomb Residence Hall, Edwina Whitney Residence Hall and M. Estella Sprague Residence Hall in the amount of \$675,000.

BACKGROUND:

Holcomb, Whitney and Sprague Halls are interconnected residential buildings constructed in 1942. They encompass over 125,000 gross square feet of floor area. These buildings form an attractive complex of traditional collegiate architecture located on the east side of Route 195.

Recent exterior building inspections show a degree of masonry problems normally identified with buildings over 60 years old. The primary cause of the problems is water intrusion into the façade structure. Limestone coping stones show signs of deterioration and water intrusion. It is important that these issues be addressed before the problems accelerate.

The purpose of this proposed project is to identify, specify, design and repair deteriorated exterior masonry for each building.

This Planning Budget is attached for your consideration and approval.

Attachment

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## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: PLANNING**

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES - HOLCOMB, WHITNEY, SPRAGUE  
MASONRY REPAIRS**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED PLANNING 11/18/2008</b>
CONSTRUCTION	\$ 450,000
DESIGN SERVICES	77,500
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	30,000
OTHER AE SERVICES (including Project Management)	7,500
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	5,000
MISCELLANEOUS	-
OTHER SOFT COSTS*	25,000
<b>SUBTOTAL</b>	<b>\$ 595,000</b>
PROJECT CONTINGENCY	80,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 675,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UConn 2000 PHASE III - FY09	\$ 250,000
UConn 2000 PHASE III - FY10	425,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 675,000</b>

\*Does not include Furniture, Fixtures and Equipment.

BOT 11/18/08

901471

RESIDENTIAL LIFE FACILITIES  
HOLCOMB, WHITNEY, SPRAGUE  
MASONRY REPAIR  
Project Budget (Planning)  
11/18/2008

RESIDENTIAL LIFE FACILITIES  
HOLCOMB, WHITNEY, SPRAGUE MASONRY  
REPAIR



TYPICAL PROBLEMS



DETERIORATED  
CAPSTONES



WATER  
INFILTRATION



University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

ATTACHMENT 10

November 18, 2008

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Design) for the Recreational Sport Field Repair/Replacement  
Depot Campus

RECOMMENDATION:

That the Board of Trustees approve the Design Budget for the Recreational Sport Field Repair and Replacement at the Depot Campus in the amount of \$867,900. An exception to the three-phase budget process is requested.

BACKGROUND:

The University Division of Student Affairs organizes and conducts a very large and well utilized Club Sports program. The program bridges the gap between intramural sports and varsity athletics by providing student athletes the opportunity to practice as a team and compete at various levels. The Club Sports program emphasizes student leadership as the club teams are organized, managed and operated exclusively by UCONN students.

One key resource in support of this program is the athletic playing field located at the Depot Campus. This full size lighted athletic field is used by the Club Sports as their primary field for activities such as men's and women's rugby, field hockey, lacrosse and soccer. One field at the Depot Campus is inadequate to meet the demands of these student athletic activities.

The purpose of this proposed project is to construct a second field adjacent to the first and to rehabilitate the original field. Provisions will be made for lighting.

The design for the work is complete. Initial estimates from the design firm indicated a total project cost less than \$500,000. The project was competitively bid; the subsequent bids received exceeded the funds available at the time. The University now desires to re-bid the project starting from the Design Budget. The schedule would be award the project early in 2009.

This Design Budget is attached for your consideration and approval.

*An Equal Opportunity Employer*

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## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: DESIGN**

**PROJECT NAME: RECREATIONAL SPORT FIELD REPAIR/REPLACEMENT - DEPOT CAMPUS**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED DESIGN 11/18/2008</b>
CONSTRUCTION	\$ 720,000
DESIGN SERVICES	58,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	10,500
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	1,000
MISCELLANEOUS	3,400
OTHER SOFT COSTS*	-
<b>SUBTOTAL</b>	<b>\$ 792,900</b>
PROJECT CONTINGENCY	75,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 867,900</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
DEPARTMENTAL FUNDS	\$ 867,900
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 867,900</b>

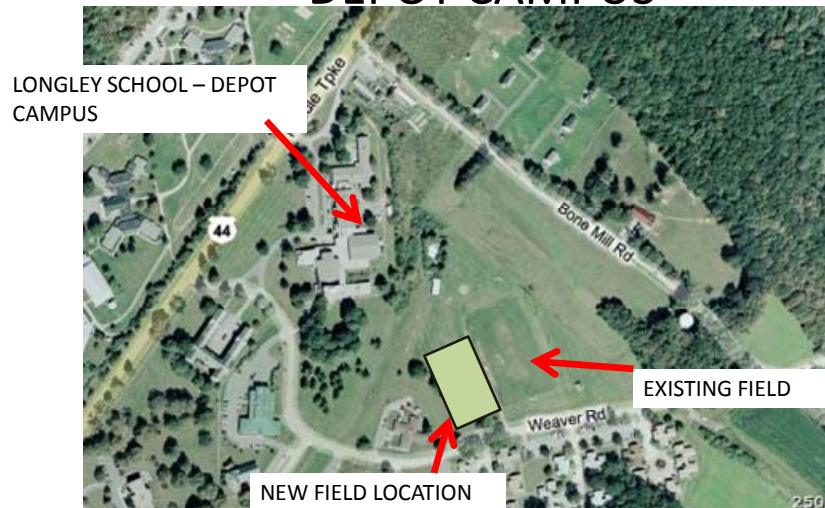
\*Does not include Furniture, Fixtures and Equipment.

BOT 11/18/08  
201439

# ATHLETIC FIELDS REPAIR/REPLACEMENT DEPOT CAMPUS

Project Budget (Design)  
11/18/2008

## ATHLETIC FIELD REPAIR/REPLACEMENT DEPOT CAMPUS





University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

ATTACHMENT 11

November 18, 2008

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Design) for the Replacement of Two Water Towers

RECOMMENDATION:

That the Board of Trustees approve the Design Budget for the replacement of two water towers (tanks) in the amount of \$2,500,000.

BACKGROUND:

The University operates an extensive water distribution system that allows the water from its well fields to be collected and distributed throughout the Storrs campus and portions of the immediate surrounding community. The distribution system is composed of water piping that flows under pressure to the campus. The water is used for domestic uses, fire fighting, and other campus uses, such as heat generation.

An important part of the distribution system is the infrastructure needed to collect and store water for future use and to maintain water pressure. There are three above ground towers (tanks) and one underground tank that serve this purpose. The three above grounds towers are located between Towers Residence Halls and Husky Village. That location is important since the elevation of the site allows for system pressurization.

A recent facility condition survey, as well as an operational analysis, confirm that the University must replace key components of the water system. This project replaces the two oldest towers with one new one million gallon storage tank. The oldest tower (300,000 gallon capacity) was constructed in 1914 with the second oldest (600,000 gallon capacity) constructed in 1936. The typical useful life of a steel water tower is approximately 50 to 75 years depending upon environmental conditions and maintenance. The University has performed periodic repairs and recoating to prolong the useful life of these tanks. However, these tanks must be replaced to avoid a potential failure.

This project is now under design. The most recent design estimate indicates that the project budget has increased to \$2,500,000 from the previously approved \$2,000,000.

This Design Budget is attached for your consideration and approval.

*An Equal Opportunity Employer*

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:    DESIGN**

**PROJECT NAME:        REPLACEMENT OF TWO WATER TOWERS**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>APPROVED PLANNING 9/25/2007</b>	<b>PROPOSED DESIGN 11/18/2008</b>
CONSTRUCTION	\$        1,600,000	\$        1,900,000
DESIGN SERVICES	150,000	150,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	50,000
OTHER AE SERVICES (including Project Management)	-	120,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	10,000
INSURANCE AND LEGAL	-	3,000
MISCELLANEOUS	-	17,000
OTHER SOFT COSTS*	50,000	-
<b>SUBTOTAL</b>	<b>\$        1,800,000</b>	<b>\$        2,250,000</b>
PROJECT CONTINGENCY	200,000	250,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$        2,000,000</b>	<b>\$        2,500,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UCONN 2000 PHASE III - FY08 DM	\$        2,000,000	\$        2,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$        2,000,000</b>	<b>\$        2,500,000</b>

\*Does not include Furniture, Fixtures and Equipment.

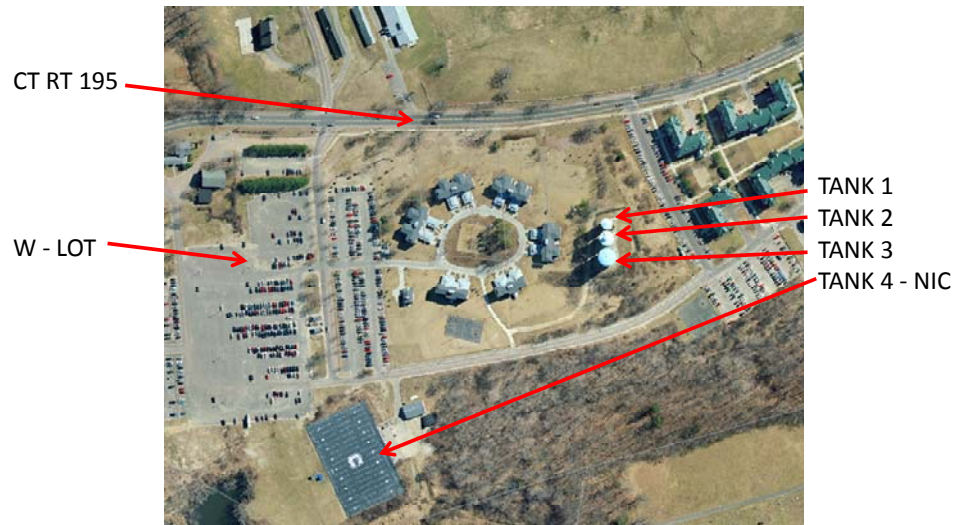
BOT 11/18/08  
901311



# CONSTRUCT ONE MILLION GALLON STORAGE TANK

Project Budget (Design)  
11/18/2008

## DETAILED LOCATION PLAN



## EXISTING WATER TANKS

TWO TANKS TO BE  
REMOVED AND BE  
REPLACED BY ONE NEW  
TANK







University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

ATTACHMENT 12

November 18, 2008

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

RE: Project Budget (Final) for Residential Life Facilities – Shippee Hall  
Elevator Replacement

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$600,000 for the planning, design and construction for the replacement of two elevators in the Lester E. Shippee Residence Hall. Because of the timing of this activity resulting in a Final Budget approval request, an exception to the three-phase budget process is also requested.

BACKGROUND:

There are two elevators in the Shippee Residence Hall. These are the original elevators and have had minor modifications since installation in 1962. The elevators service seven floors, are in continuous operation and have become increasingly difficult to maintain. The Department of Residential Life plans to replace these elevators with state of the art hydraulic elevators during the summer of 2009. The buildings must be vacant for the project to proceed. Proposals for the elevator replacement have been received.

There is a significant lead time for the delivery of the elevator equipment. The project must be awarded during December 2008 in order to meet the planned summer installation. This request is a waiver to the normal three step process by proceeding directly from the previously approved planning budget to a final budget.

This Final Budget is attached for your consideration and approval.

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES - SHIPPEE HALL ELEVATOR REPLACEMENT**

	<b>APPROVED PLANNING 9/23/2008</b>	<b>PROPOSED FINAL 11/18/2008</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 450,000	\$ 450,000
DESIGN SERVICES	45,000	45,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	25,000
OTHER AE SERVICES (including Project Management)	8,000	8,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	5,000	5,000
INSURANCE AND LEGAL	5,000	2,500
MISCELLANEOUS	-	9,500
OTHER SOFT COSTS*	32,000	-
<b>SUBTOTAL</b>	<b>\$ 545,000</b>	<b>\$ 545,000</b>
PROJECT CONTINGENCY	55,000	55,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 600,000</b>	<b>\$ 600,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 PHASE III - FY09	\$ 600,000	\$ 600,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 600,000</b>	<b>\$ 600,000</b>

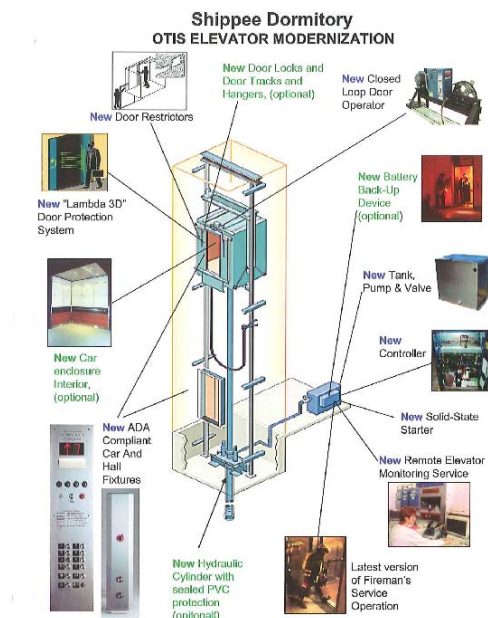
\*Does not include Furniture, Fixtures and Equipment.

BOT 11.18.08

901449

RESIDENTIAL LIFE FACILITIES -  
SHIPPEE HALL  
ELEVATOR REPLACEMENT  
Project Budget (Final)  
11/18/08

SHIPPEE HALL – AERIAL VIEW



SHIPPEE  
ELEVATOR



University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

ATTACHMENT 13

November 18, 2008

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Final) for West Hartford Campus  
Renovations/Improvements – Phase I

RECOMMENDATION:

That the Board of Trustees approve the Final Budget for West Hartford Campus Renovations/Improvements in the amount of \$1,500,000.

BACKGROUND:

The West Hartford Campus encompasses five buildings consisting of the “old” Law School Library, the School of Social Work, the Undergraduate Building, the Computer Center and the Maintenance Building.

The purpose of this project is to provide for several improvements at the campus, including a new roof and façade repair on the “old” law library; façade repair for the School of Social Work; and miscellaneous mechanical and plumbing system upgrades.

The planning budget for this project was approved by the Board of Trustees on June 24, 2008. Design for the project has progressed rapidly and the one key part of the project (replacement of the roof at 1800 Asylum Avenue – Old Law Library) is ready for bidding. The bids for the project will be received in November 2008. The University wishes to award the project as soon as possible. If the normal three phase approval process (Planning, Design and Final) was followed, the project would not be awarded until February 2009. Therefore, the University is requesting a deviation from the three phase by proceeding directly from the Planning to Final Budget.

The Final Budget is attached for your consideration and approval.

*An Equal Opportunity Employer*

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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: WEST HARTFORD CAMPUS RENOVATIONS/IMPROVEMENTS - PHASE I**

	<b>APPROVED PLANNING 6/24/2008</b>	<b>PROPOSED FINAL 11/18/2008</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 1,080,000	\$ 1,080,000
DESIGN SERVICES	120,000	120,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	50,000	50,000
OTHER AE SERVICES (including Project Management)	20,000	20,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	50,000	50,000
INSURANCE AND LEGAL	10,000	10,000
MISCELLANEOUS	-	20,000
OTHER SOFT COSTS*	20,000	-
<b>SUBTOTAL</b>	<b>\$ 1,350,000</b>	<b>\$ 1,350,000</b>
PROJECT CONTINGENCY	150,000	150,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,500,000</b>	<b>\$ 1,500,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UConn 2000 PHASE III - FY08	\$ 450,000	\$ 450,000
UConn 2000 PHASE III - FY09	1,050,000	1,050,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,500,000</b>	<b>\$ 1,500,000</b>

\*Does not include Furniture, Fixtures and Equipment.

BOT 11/18/08

901285

WEST HARTFORD CAMPUS  
RENOVATIONS/IMPROVEMENTS – PHASE I  
Project Budget (FINAL)  
11/18/2008

1800 ASYLUM (OLD LAW LIBRARY)



TYPICAL INTERIOR DAMAGE





University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

ATTACHMENT 14

November 18, 2008

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Final) for the UConn Health Center (UCHC) Negative Pressure Room, Building 4 Renovations

RECOMMENDATION:

That the Board of Trustees approve a Final Budget in the amount of \$590,000 for the UCHC Negative Pressure Room, Building 4 Renovation.

BACKGROUND:

The Board of Trustees approved the Design Budget for this project on September 23, 2008 in the amount of \$590,000.

Building 4 will be renovated to provide a negative pressure animal care suite meeting the guidelines and requirements set forth by the "American Association for Accreditation of Laboratory Animal Care". The modifications to create a "Negative Pressure Room" are being implemented to allow research performed as part of a Department of Defense grant. This \$1.8 million grant enables the research of the heat shock protein method of rapidly creating vaccines. The Negative Pressure Room is being created in building 4, remote from the main animal facilities to ensure that the other mouse colonies at UCHC will be protected from the pathogen that is to be studied in the Negative Pressure Room.

This project is funded from FY09 Research Use Allowance in the amount of \$590,000.

The Final Project Budget reflects the results of competitive bids for the work and will allow the University to award the contract and proceed with construction.

This Final Budget is attached for your consideration and approval.

*An Equal Opportunity Employer*

352 Mansfield Road Unit 2014  
Storrs, Connecticut 06269-2014

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web: www.uconn.edu

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCHC NEGATIVE PRESSURE ROOM - BUILDING 4 RENOVATIONS**

	<b>APPROVED DESIGN 9/23/2008</b>	<b>PROPOSED FINAL 11/18/2008</b>
<b><u>BUDGETED EXPENDITURES</u></b>		
CONSTRUCTION	\$ 358,000	\$ 327,000
DESIGN SERVICES	51,000	51,000
TELECOMMUNICATIONS	2,000	2,000
FURNITURE, FIXTURES AND EQUIPMENT*	90,000	90,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	2,000	2,000
ENVIRONMENTAL	13,000	13,000
INSURANCE AND LEGAL	2,000	2,000
MISCELLANEOUS	17,000	17,000
<b>SUBTOTAL</b>	<b>\$ 535,000</b>	<b>\$ 504,000</b>
PROJECT CONTINGENCY	55,000	86,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 590,000</u></b>	<b><u>\$ 590,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>		
UCHC RESEARCH USE ALLOWANCE - FY09	<u>\$ 590,000</u>	<u>\$ 590,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 590,000</u></b>	<b><u>\$ 590,000</u></b>

\*Does not include Furniture, Fixtures and Lab Equipment.

BOT 11/18/08

07-082





University of Connecticut  
*Office of the Vice President and  
Chief Operating Officer*

ATTACHMENT 15

November 18, 2008

TO: Members of the Board of Trustees

FROM: Barry M. Feldman  
Vice President and Chief Operating Officer

Richard D. Gray  
Vice President and Chief Financial Officer

SUBJECT: Project Budget (Revised Final) for the Residential Life Window  
Replacement Project for Hale Hall, Ellsworth Hall, Brien McMahon Hall  
and West Campus

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$3,071,600 for the replacement of exterior windows for four student residential facilities: Hale Hall, Ellsworth Hall, Brien McMahon Hall and West Campus.

BACKGROUND:

Hale and Ellsworth, multi-story student residential facilities, were first occupied in 1971, and the Brien McMahon Hall multi-story student residential facility was occupied in 1964. The West Campus student residential facility was occupied in 1955. The purpose of this project is to replace the exterior windows for four student residential facilities: Hale Hall, Ellsworth Hall, Brien McMahon Hall and West Campus. The Board of Trustees approved a final budget for this project on November 14, 2006 in the amount of \$3,050,000.

This project is now complete. The final project cost for this project was \$3,071,600 which is \$21,600 above the previously approved project budget; which is less than a 1% increase in the approved budget. As such, this project variance is less than the five percent threshold permitted by Board policy, enabling project completion.

This Revised Final Budget is attached for your information.

Attachment

*An Equal Opportunity Employer*

352 Mansfield Road Unit 2014  
Storrs, Connecticut 06269-2014

Telephone: (860) 486-4340

Facsimile: (860) 486-1070 C:\Documents and Settings\dearone\My Documents\Word\BOT\Financial Affairs\Project Budgets\Res Life Window\Replace RevFinal HaleEllsworth RevFinal 11.18.08.doc

web: www.uconn.edu

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: RESIDENTIAL LIFE WINDOW REPLACEMENT PROJECT FOR HALE HALL,  
ELLSWORTH HALL, WEST CAMPUS & BRIEN MCMAHON HALL**

	<b>APPROVED DESIGN 4/11/2006</b>	<b>APPROVED FINAL 11/14/2006</b>	<b>PROPOSED REVISED FINAL 11/18/2008</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ 970,000	\$ 2,652,000	\$ 2,966,046
DESIGN SERVICES	42,000	52,000	73,300
TELECOMMUNICATIONS	1,000	1,000	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	40,000	20,000	-
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	30,000	26,030
INSURANCE AND LEGAL	5,000	5,000	2,842
MISCELLANEOUS	10,000	20,000	3,382
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 1,068,000</b>	<b>\$ 2,780,000</b>	<b>\$ 3,071,600</b>
PROJECT CONTINGENCY	97,000	270,000	-
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,165,000</u></b>	<b><u>\$ 3,050,000</u></b>	<b><u>\$ 3,071,600</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
DEPARTMENTAL FUNDS-RESIDENTIAL LIFE	<u>\$ 1,165,000</u>	<u>\$ 3,050,000</u>	<u>\$ 3,071,600</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,165,000</u></b>	<b><u>\$ 3,050,000</u></b>	<b><u>\$ 3,071,600</u></b>

BOT 11/18/08  
UC201309

RESIDENTIAL LIFE FACILITIES –  
WINDOW REPLACEMENT PROJECT FOR HALE HALL,  
ELLSWORTH HALL, BRIEN MCMAHON HALL  
AND WEST CAMPUS  
Project Budget (Revised Final)  
11/18/2008

WINDOW REPLACEMENT



NEW WINDOWS



# The University of Connecticut Foundation, Inc.

## Development Progress Executive Summary

July 1, 2008 to October 31, 2008

Progress Toward Goals	FYTD08 Results	FY09 Goal	FYTD09 Results	% of Goal
<b><u>Commitment Results</u></b>				
Athletics	\$ 2.21 M	\$ 18.00 M	\$ 3.17 M	18%
UConn Health Center	\$ 1.80 M	\$ 15.75 M	\$ 2.38 M	15%
UConn General	\$ 5.05 M	\$ 26.25 M	\$ 6.06 M	23%
<b>Total Commitments</b>	<b>\$ 9.06 M</b>	<b>\$ 60.00 M</b>	<b>\$ 11.61 M</b>	<b>19%</b>
<b><u>Number of household donors</u></b>				
	9,994	30,000	8,965	30%
<b><u>Cash Results</u></b>				
Cash basis gift receipts	\$ 16.32 M	\$ 50.00 M	\$ 10.10 M	20%
Annual fund unrestricted receipts	\$ 295 K	\$ 1.00 M	\$ 325 K	33%

Campaign Commitments Progress	
<b>Fiscal Year 2009 Campaign Total (to Date)*</b> * This total reflects campaign write-offs and pre-campaign total of \$10.6 million, as appropriate.	\$ 142.70 M

Progress	CYTD07 Results	CY08 Limit	CYTD08 Results	% Received
<b><u>State Match – Calendar Year</u></b>				
Anticipated subscription of endowment state matching program (Current year receipts plus anticipated pledge payments)	\$ 6.67 M	\$ 30.00 M	\$ 7.16 M	24%

## AGENDA

Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
November 18, 2008 at 10:00 a.m.  
University of Connecticut

**Revised**

ATTACHMENT LOCATION	
<u>COMMITTEE</u>	<u>FULL BOARD</u>

- |   |   |
|---|---|
| 1) Approval of the Minutes of the Financial Affairs Committee Meetings of September 23, 2008, as circulated | A |
|---|---|

### **ACTION ITEM(S):**

- |   |   |
|---|---|
| 2) Contracts and Agreements for Approval – Revised  | 1 |
| 3) Extension to Memorandum of Agreement (MOA) Between the University of Connecticut and the American Association of University Professors | 2 |

### **Project Budgets for Approval:**

- |   |    |
|---|----|
| 4) Project Budget (Planning) Residential Life Facilities – Holcomb, Whitney, Sprague Masonry Repairs        | 9  |
| 5) Project Budget (Design) for Recreational Sport Field Repair/Replacement Depot Campus                     | 10 |
| 6) Project Budget (Design) for the Replacement of Two Water Towers  | 11 |
| 7) Project Budget (Final) for Residential Life Facilities – Shippee Hall Elevator Replacement               | 12 |
| 8) Project Budget (Final) for West Hartford Campus Renovations/Improvements – Phase I                       | 13 |
| 9) Project Budget (Final) for the UConn Health Center (UCHC) Negative Pressure Room, Building 4 Renovations | 14 |

### **DISCUSSION ITEM(S):**

- |                                    |                        |
|------------------------------------|------------------------|
| 10) State Budget Update            |                        |
| 11) Health Center Financial Update | (Under Separate Cover) |

**ATTACHMENT  
LOCATION  
COMMITTEE    FULL BOARD**

**INFORMATION ITEM(S):**

- |  |                        |
|--|------------------------|
| 12) Project Budget (Revised Final) Residential Life Window<br>Replacement Project for Hale Hall, Ellsworth Hall,<br>Brien McMahon Hall and West Campus | 15                     |
| 13) Construction Status Report   | (Under Separate Cover) |
| 14) Contracts and Agreements – Over \$100,000 – Revised  | B                      |
| 15) Master Schedule for UCONN 2000 Phases I, II & III<br>(as of 09/30/08)  | (Under Separate Cover) |

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**September 23, 2008**

TRUSTEES PRESENT: Bailey, Barry, Braun, Colon, Dennis-LaVigne, Drotch, Gatling, Gionfriddo, Jacobs, Lobo, Martinez, Prelli, Ritter, Rowe, and Shepperd

STAFF PRESENT: Aronson, Bradley, Brohinsky, Callahan, Carlson, Carone, Chiaputti, Comprone, Evanovich, Feldman, Grava, Gray, Griffith, Hathaway, Hogan, Laurencin, Locke, Munroe, Nicholls, Penney, Roy, Rubin, Saddlemire, Singha, Sullivan, Teal, Troyer, Upton, Urban, Whetstone and Wynn

SENATE PRESENT: Bull, Holsinger and Moisef

GUEST(S) PRESENT: Nancy Brady, Department of Higher Education  
Grace Merritt, Courant  
Zachary Jankowski, Journal Inquirer

Committee Chairman Peter Drotch convened the meeting at 10:45 a.m. in the Branford House on the campus of the University of Connecticut at Avery Point. He directed the committee to a revised agenda and documents. On a motion by Trustee Drotch and seconded by Trustee Martinez agenda ***Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of June 24, 2008*** were approved, as circulated.

Mr. Drotch stated that the Executive Session listed on the revised agenda was deferred until full Executive Session of the Board of Trustees later in the day.

Trustee Drotch directed the committee to agenda ***Item #3, Revised Contracts and Agreements for Approval***. He stated that the revised contract information is highlighted in bright yellow and drew committee attention to two agreements of note including the lease renewal for the Lodewick House which is occupied by the University President and an Athletics corporate sponsorship agreement. On a motion by Trustee Martinez and seconded by Trustee Barry the item was recommended to the full Board for approval as amended.

Trustee Drotch detailed agenda ***Item #4, Annual Report of the Endowed Chair Program Entitled "Aetna English Chair in Writing"***. On a motion by Trustee Martinez and seconded by Trustee Drotch the item was referred to the full Board for approval.

***Item #5, 2008-2009 Rental Rates for Residential Properties***, was introduced to the committee. Mr. Drotch stated that the memorandum addresses previously voiced concerns regarding comparable rates for other properties in the market. On a motion by Trustee Martinez and seconded by Trustee Barry the rental rates were recommended to the full Board for approval.

Richard Gray, Vice President and Chief Operating Officer, introduced agenda **Item #6, Revised Spending Plan for Fiscal Year 2009 for the University of Connecticut, Storrs and Regional Campuses**. He stated that this submission is a result of the Governor's 3% budget rescission. The University received cuts totaling approximately \$12 million. A concerted effort was made to avoid cuts to programmatic goals. On a motion by Trustee Martinez and seconded by Trustee Ritter the item was recommended to the full Board for approval.

Agenda **Item #7, Revised Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures for Fiscal Year 2008 Authorized Projects** was presented for approval. The presentation incorporates comments that the Financial Affairs committee voiced at previous meetings to maintain a focus on current spending and projects. Vice President Gray provided a detailed overview of the report. The total spending for deferred maintenance in FY08 was \$22 million; \$4.5 million on projects approved in the previous fiscal year and \$18 million on fiscal years earlier than FY08. He stated that completed projects are removed from the report. On a motion by Trustee Martinez and seconded by Trustee Ritter the item was recommended to the full Board for approval.

Agenda items 8-27 were presented to the committee for review: **Project Budget (Planning) Project Budget (Planning) for Atwater Exterior Window Replacement; Project Budget (Planning) for Backfeed Loop – North Eagleville to Parking Garage to Storrs Road; Project Budget (Planning) for Bishop Renovation; Project Budget (Planning) for Gully Hall Drainage; Project Budget (Planning) for Historic House Renovations – Phase I; Project Budget (Planning) for Infirmary/CUP Steam and Condensate Replacement; Project Budget (Planning) for a New 16" Water Main – Towers to Glenbrook and North Eagleville; Project Budget (Planning) for OSFM Legacy Code Remediation; Project Budget (Planning) for Parking Garage Repair and Upgrade; Project Budget (Planning) for Psychology Building Renovation/Addition; Project Budget (Planning) Residential Life Facilities – Shippee Hall Elevator Replacement; Project Budget (Planning) for the Residential Life; Facilities – Window Replacement Project for Marcus Holcomb Residence Hall, Edwina Whitney Residence Hall, M. Estella Sprague Residence Hall, Lester E. Shippee Residence Hall, Graduate Residence Halls and Northwood Apartments; Project Budget (Planning) for South Campus Express Line Modifications (Water Distribution); Project Budget (Planning) for Student Union Quadrangle Site Work and Landscape – Phase II; Project Budget (Planning) for Support Facility (Architectural and Engineering Services); Project Budget (Design) for the UConn Health Center (UHC) Negative Pressure Room, Building 4 Renovations; Project Budget (Final) for Meter Installation – Multiple Locations (Phase III); Project Budget (Final) for Beach Hall Renovation of Rooms 245 and 246; Project Budget (Final) for Farm Buildings Repairs/Replacement – Roof, Façade and Other Repairs; and Project Budget (2<sup>nd</sup> Revised Final) for the Law School Renovations/Improvements – Façade Restoration**. Chairman Drotch stated that the budgets recognize the reordering of the named projects in the UCONN 2000 program whose intention is to acknowledge the priority changes that have been discussed with the Board over the past year. Dr. Feldman stated that there were quite a few project budgets in the planning and design stages.

Vice President Feldman stated that the Law School façade repair is approximately 60% complete; however, original construction problems continue to be discovered causing project budget increases. The project consulting engineering firm provided a detailed presentation to the



Buildings, Grounds and Environment Committee at their meeting of September 4. The extent of the repairs needed initially were not anticipated. They were revealed upon removal of the façade. Completion of the work is anticipated by March 2009 and the interior work will be completed in summer 2009. Trustee Ritter, Committee Chair of the Buildings, Grounds and Environment Committee, reminded the committee that this was originally a Department of Public Works project. He requested that project funds to repair the Law Library not come from future Law School projects. Trustee Drotch stated that all project funding must be approved by the Board which would ensure that Trustee Ritter's concerns would be addressed.

Dr. Feldman detailed the planning budget for the OSFM Code Legacy Remediation. He stated that the purpose of the budget is a continued program at Storrs for remediating code violations which have been identified by the Office of State Fire Marshal. This calls for the retention of an architect to develop specific plans and working drawings to be used to bid out the corrective activities.

Chairman Rowe informed the committee that there was an article in the Hartford Courant on September 17, 2008 headlined, "UConn Construction Program Audit Shows Improvement". The article provided an overview of New Haven based, UHY's recent audit of the University's construction program. Their overview was that the program is exemplary. W. Michael Walker, Director of Audit Compliance and Ethics, was a featured speaker at a recent meeting of professional auditors; the topic of his speech was "Extreme Makeovers". Chairman Rowe congratulated the team and particularly Mr. Bradley for his leadership in this significant contribution to the University. He also thanked Trustee Ritter for his contributions in chairing the Construction Management Oversight Committee.

The discussion returned to the project budgets and Trustee Drotch expressed his appreciation for the addition of photos to the project budgets citing that they were extremely helpful. The project budgets, taken as a whole, were recommended to the full Board of Trustees for approval on a motion by Trustee Drotch and seconded by Trustee Martinez.

Dan Upton, Health Center Chief Financial Officer, provided a Health Center financial report through June 30, 2008. He highlighted that the anticipated deficit for the fiscal year would be \$21.9 million; the State provided a deficiency appropriation of \$21.9 million; however, the unaudited results of FY08 close still maintain a deficit of approximately \$900,000. This is caused by \$800,000 reserve adjustment made in June for the Anesthesiology service in the UConn Medical Group. All other areas ended as expected. The Health Center's cash position at the hospital ended at an approximately negative \$17 million with accounts receivables improving by \$5.5 million. The first few months of 2008-09 the hospital, while still in deficit, is ahead of anticipated budget. Admissions are approximately 2% above the previous year; and outpatient visits are below the previous year. The practice is underperforming on volume level by approximately \$500,000.

Mr. Upton detailed the 2010-11 State Appropriation Request for the Health Center. The Health Center Board of Directors and Finance Committee approved the appropriation request. The Health Center is required to submit a Current Services Request to the Office of Policy and Management annually. The request includes a request for fringe benefit differential. Trustee Drotch requested an explanation of the utility costs presented in the request. Dan Penney,

Associate Vice President for Facilities Management at the Health Center, responded that there are two significant energy saving projects planned and underway. A blower electric heat conversion which removes electric resistance heating that preheats on the blower system that supplies fresh air to the building and converts to a hot water gas fired system. This project is estimated to save \$1.1 million annually. This project is anticipated to be online by October 1, 2008. There is one additional energy project for a cooling coil conversion which is anticipated to have a return of \$700,000 annually. The cooling coil conversion project is expected to be completed by year end.

Dr. Feldman provided a brief update on the PeopleSoft products. There are two major parts to the initiative. One of which is Human Capital Management (HCM) to be utilized primarily by the Human Resources Department. It replaces an older non-supported program. It is expected to go live in summer 2009. The second part of the initiative is the Student Admin which has been in place and will soon be upgraded. There is a major effort at the University to implement these two important technologies. Trustee Drotch stated that this is a major cost to the University and asked that Dr. Feldman provide periodic update on the progress of the project.

Committee Chairman Drotch directed the committee to the agenda information items for review. There being no additional questions or other action items appearing on the agenda, Trustee Drotch adjourned the meeting at 11:28 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone  
Secretary to the Committee

**CONTRACTS AGREEMENTS  
FOR INFORMATION**  
November 18, 2008

**REVISED**

[Contracts with a value of \$100,000 - >\$500,000 or with services previously approved on a Project Budget]

PROCUREMENT						
PROCUREMENT - NEW						
No.	Contractor	Amount	Term	Fund Source	Program Director	Purpose
1	Acme Bookbinding	\$100,000.00	07/01/08-2/28/09	Operating Fund - General	Brinley Franklin, Vice Provost for University Libraries	Provide binding service for library materials as required by the Homer Babbidge Library. State of Connecticut Contract 06PSX0026.
2	Cardinal Health	\$350,000.00	07/01/08-6/30/09	Auxiliary Services	Michael Kurland, Dir. Student Health Services	Purchase of Pharmaceuticals for use by the UConn Infirmary. Under State of Connecticut Contract 07PSX0042.
3	Center for Watershed Protection	\$110,000.00	09/01/08-08/30/10	Research Fund	Michael M. Crouch, Ph.D., Executive Director, Office for Sponsored Programs	Collaborate with UCONN's Dr. Arnold of the Cooperative Extension to conduct field survey research of the Eagleville Brook watershed to identify opportunities for reducing effective impervious cover.
4	Chance Management	\$138,042.00	10/13/08-02/01/09	UCONN 2000	Jeffrey Reynolds, Director, CPCA	Access management study for Storrs campus ( <b>Budget approved for study by B&amp;G on 09/19/08 \$170,000.00 - Project No. 901444</b> )
5	Duke University	\$171,326.00	01/01/09-12/31/11	Research Fund	Michael M. Crouch, Ph.D., Executive Director, Office for Sponsored Programs	Collaborate with UCONN's Dr. Silander of the Department of Ecology and Evolutionary Biology in conducting experiments to understand the control and prevention of invasive plant species.
6	Durham Public Schools, LLC	\$120,644.00	07/01/08-06/30/09	Operating Fund - General	Richard Schwab, Dean, Neag School of Education	Contractor to provide staff to deliver on-site training at various schools in CT, WI, and NC supporting the Accelerated Schools model. Attend fairs, conference, meetings, and staff training for the Neag School of Education.
7	FUNDATEC	\$144,683.00	08/01/08-07/31/10	Research Fund	Michael M. Crouch, Ph.D., Executive Director, Office for Sponsored Programs	Collaborate with UCONN's Dr. Chazdon of the Department of Ecology and Evolutionary Biology to provide a project manager at the Costa Rican project site.
8	Fuss & O'Neill	\$535,000.00	10/15/08-01/26/09	UCONN 2000	Jeffrey Reynolds, Director, CPCA	Planning, design and construction administration for the steam distribution systems - modifications, expansion and repair ( <b>Budget for design services approved by the BOT on 01/22/08 \$150,000.00; 06/24/08 \$200,000.00; 09/23/08 \$55,000.00 - Project Nos. 901317, 901372, 901421</b> )
9	Level 3 Communications LLC	\$125,400.00	08/16/08-08/15/09	Operating Fund - General	Michael Kerntke, Assoc VP & CIO, Information Services	One-year lease at Hartford POP (point of presence) allowing the University to connect to the Internet.
10	Lupachino & Salvatore, Inc.	\$229,000.00	10/17/08-01/16/09	UCONN 2000	Jeffrey Reynolds, Director, CPCA	Code remediation - removal of doors and frames, miscellaneous metal work, new one hour rated shaft wall, firestopping and upgrade of fire alarm systems for Trecker Library ( <b>Budget approved by the BOT on 09/23/08 \$229,000.00 - Project No. 901215</b> )
11	Misys Healthcare Systems	\$153,101.00	05/22/08-05/21/08	Auxiliary Services	Richard Schwab, Dean of Neag School of Education	Electronic medical record system package and maintenance for system - RFP PG020507
12	Source One Service Group	\$100,000.00	09/01/08-08/31/09	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	Refinish University owned dormitory furniture, as needed.
13	Tai Soo Kim Partners LLC	\$494,000.00	10/20/08-10/19/10	UCONN 2000	Jeffrey Reynolds, Director, CPCA	Planning, design and construction administration for the Storrs Hall addition on Storrs Campus ( <b>Budget for design services approved by the BOT on 08/1/07 \$550,000.00 - Project No. 901265</b> )
14	UCONN Coop	\$100,000.00	02/01/08-1/31/09	Research Fund	Richard Schwab, Dean of Neag School of Education	Purchase books for reading research study (SEM-R) to include paperback, hardcover and audio. Awarded to vendor via RFP KW022807.
15	University of Maine	102,193.00	07/15/08-07/14/09	Research Fund	Michael M. Crouch, Ph.D., Executive Director, Office for Sponsored Programs	Collaborate with UCONN's Dr. Li of the Department of Plant Science in the evaluation and prominence of invasive plants throughout New England.
16	WB Mason	\$195,000.00	05/01/08-10/31/08	Auxiliary Services	Thomas Callahan, Assoc VP of Admin & Operations	Provide copy paper for the University stocked and distributed through Central Stores. Awarded to vendor via bid, RFQ B815146-7.
17	Western New England College, School of Law	\$154,560.00	08/23/08-05/31/09	Operating Fund - General	Laurie Werling, Assoc Dean, Law School	Provide services of Professor Jill Anderson for AY 2008/09 to the UConn School of Law to teach three law courses entitled Contracts, Principles of Insurance, and Law and Interpretation. In addition to teaching the courses, Dr. Anderson will supervise the upperclass writing requirements of select UConn Law students.

**CONTRACTS AGREEMENTS  
FOR INFORMATION**  
November 18, 2008

**REVISED**

[Contracts with a value of \$100,000 - >\$500,000 or with services previously approved on a Project Budget]

<b>PROCUREMENT - AMENDMENTS</b>									
<b>No.</b>	<b>Contractor</b>	<b>New Approval Amount</b>	<b>Term</b>	<b>Fund Source</b>	<b>Program Director</b>	<b>Total Expenditures to Date</b>	<b>Expenditures FY08</b>	<b>Expenditures FY07</b>	<b>Purpose</b>
1	IKON Office Solutions	\$109,477.83 [Total Contract Amount \$194,910.09]	01/03/06-01/02/10	Auxiliary Services	Thomas Callahan Associate VP Administration and Operations	\$85,432.26	\$26,285.93	\$35,886.02	Maintenance is based on the number of copies made, billed per copy. Increase dollar amount for additional copies made. At the beginning of the contract the number of copies is estimated. <b>Amend for increase in estimated total amount.</b>
2	IntelliWorks	\$98,900.00 [Total Contract Amount \$496,500]	06/30/08-6/30/09	Auxiliary Services	Thomas Callahan Associate VP Administration and Operations	\$398,568.83	\$134,688.40	\$263,880.43	Hosting services, software license fees and consulting services awarded to vendor via RFP PG092305 for the development, testing and deployment of the Intellworks relationship manager system. <b>Amend for FY09 expenses.</b>
3	Tri-Com Consulting	\$133,500.00 [Total Contract Amount \$448,500]	10/08/08-06/30/09	Operating Fund - General	Thomas Callahan Associate VP Administration and Operations	\$315,000.00	\$0.00	\$0.00	Senior JAVA Developer to assist in development of the customer business application group, specifically analyzing, designing, developing and maintaining the facilities asset management information system (FAMIS). Note: \$175,000 on PO # P614258 in 2005 and \$140,000 added to same PO in 2006. No expenditures in FY07 or FY08. <b>Amend for FY09 expenses.</b>
4	Red the Uniform Tailor	\$40,000.00 [Total Contract Amt. \$182,000.00]	01/15/09-01/14/10	Operating Fund - General	Robert Hudd, Assoc VP for Public & Env. Safety	\$142,000.00	\$20,000.00	\$40,000.00	Extension of contract to provide police uniform items (i.e. trousers, shirts) to the University Police Dept. Initial term of contract: 01/15/05-01/14/06 FY05 expenditures \$40,000.00; FY06 expenditures \$42,000.00; <b>Amend to execute fourth and final contract extension.</b>
5	Marketing Systems Group	\$45,000.00 [Total Contract Amt. \$164,000.00]	02/15/09-02/14/10	Research Foundation Fund, Tuition Fund, Misc. Federal Program Funds	Thomas Callahan, Assoc VP of Admin & Operations	\$119,000.00	\$43,000.00	\$42,000.00	Extension of contract to provide Survey Sampling Data for the University. Initial term of contract: 02/15/06-02/14/09; FY06 expenditures \$33,000.00 <b>Amend to execute 1<sup>st</sup> of 3 one-year extensions.</b>
6	Edo Sushi Express	\$140,000.00 [Total Contract Amt. \$465,735.00]	03/1/09-2/28/10	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$325,735.00	\$137,533.00	\$126,258.00	Extension of contract to provide fresh pre-packaged sushi for the University (to be sold in Grab n Go's and used by Catering). Initial term of contract: 03/01/06-02/28/07; FY06 expenditures \$39,640.00 <b>Amend to execute third and final extension.</b>
7	Guida-Seibert Dairy Co.	\$115,000.00 [Total Contract Amt. \$404,768.00]	04/1/09-3/31/10	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$289,768.00	\$112,664.00	\$113,230.00	Extension of contract to provide ice cream products to the University. Initial term of contract: 04/01/06-03/31/07; FY06 expenditures \$33,245.00; <b>Amend to execute third and final extension.</b>
8	Diana's Bakery	\$140,000.00 [Total Contract Amt. \$319,918.00]	03/01/08-2/26/09	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$179,918.00	\$138,776.00	\$40,880.00	Extension of contract to provide Bread and Pastry Products to the University. Initial term of contract: 03/01/07-02/26/08; <b>Amend to execute second of four one-year extensions.</b>
9	The Sunshine Laundry Co. Inc.	\$140,000.00 [Total Contract Amt. \$332,392.00]	04/01/09-03/31/10	Auxiliary Services	John Saddlemire, Vice President for Student Affairs	\$192,392.00	\$143,874.00	\$48,518.00	Extension of contract to provide linen rental program to the University. Initial term of contract: 4/1/07 - 3/31/08 <b>Amend to execute second of four one year extensions.</b>

CONTRACTS AGREEMENTS  
FOR INFORMATION  
November 18, 2008

[Contracts with a value of \$100,000 - >\$500,000 or with services previously approved on a Project Budget]

PROCUREMENT - AMENDMENTS (Continued)

No.	Contractor	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures to Date	Expenditures FY08	Expenditures FY07	Purpose
10	York Telecom	\$125,000.00 [Total Contract Amt. \$253,171.00]	01/01/09-12/31/09	Multiple Sources	Thomas Callahan, Associate VP Administration and Operations	\$128,171.00	\$31,718.00	N/A	Extension of master agreement for entire University for the provision of audio/visual equipment, services & supplies. Initial term of contract: 03/15/07-12/31/07; <b>Amend to execute second of eight one-year extensions.</b>

MEMORANDUM OF AGREEMENT

No.	Licenser	Annual Approval Amount	Term	Fund Source	Program Director	Purpose
1	University of Connecticut Cooperative Corporation	\$43,920.00 Estimated Annually	12/01/06-05/30/12	Auxiliary Services	John Saddleire, Vice President for Student Affairs	University of Connecticut, Department of Dining Services to serve as Concessionaire and operate an approximately 1,000 sq. ft. Café at the site of the UConn Co-op, Storrs, CT. Concessionaire to pay Co-op royalties of \$2K/mo. As well as 8% of gross receipts in excess of \$350K; 10% of gross receipts in excess of 450K; 12% of gross receipts in excess of \$550K.

## **AGENDA**

Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**

Tuesday, November 18, 2008  
Rome Ballroom  
Storrs Campus

9:45 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Approval of the Minutes of the Academic Affairs Committee Meeting of September 23, 2008, as circulated	A	

### **ACTION ITEMS:**

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| 2) Discontinuation of Bachelor of Arts in Sociology Degree in the College of Liberal Arts and Sciences at the Stamford Campus   | 3 |
| 3) Appointment of Kathleen Segerson to the Philip E. Austin Endowed Chair   | 4 |
| 4) Renaming the Department of Natural Resources Management and Engineering to Department of Natural Resources and the Environment in the College of Agriculture and Natural Resources | 5 |

### **INFORMATIONAL ITEM:**

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| 5) Establishment of the Institute for Urban School Improvement | 8 |
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### **PRESENTATION ITEM:**

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| 6) <i>Update on Global House</i> , Dr. Morty Ortega, Professor and Director, Global House |  |
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**MINUTES**  
**MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**September 23, 2008**

Trustees Present: Barry, Burrow, Dennis-LaVigne, Drotch, Gatling, Jacobs, Lobo, Martinez, Rowe, Shepperd

Staff Present: Bradley, Brohinsky, Callahan, Carlson, Evanovich, Feldman, Grava, Laurencin, Munroe, Nicholls, Rhodes, Saddlemire, Singha, Troyer, Urban, Walker

Committee Chairman Jacobs convened the meeting at 9:30 am at the University of Connecticut Avery Point Campus. On a motion by Committee Chairman Jacobs, seconded by Trustee Gatling, the minutes of the April 15, 2008 meeting were approved as circulated.

Dr. Joseph Comprone, Associate Vice Provost and Director, Avery Point Campus, made welcoming remarks. Dr. Comprone stated that since 1999, the campus has expanded to include a unique Coastal Ocean program including underwater research and Maritime Studies. The campus is actively involved in teaching and research and continues to build upon its success as a small but highly successful and unique campus. Committee Chairman Jacobs thanked Dr. Comprone for his remarks.

Provost Nicholls introduced ***Action Item #2, Approval of a Bachelor of Arts degree in English in the College of Liberal Arts and Sciences at the Tri-Campus.*** English is one of the most popular majors at the University of Connecticut. A total of 67 full-time faculty, more than 100 graduate students, and more than 100 adjunct faculty across Storrs and the regional campuses teach close to 700 majors at Storrs.

Numerous regional campus students in the western half of the state would like to obtain their degrees at their home campus. The venues that make up the Tri-campus -- Greater Hartford, Waterbury, and Torrington -- already provide a wide array of courses that would allow students to complete both their general education requirements and the upper-division courses necessary for students to complete an English major.

The creation of an English major for the Tri-campus venues will not have an adverse effect on other UConn students, on students of the Connecticut State University system, or on community college students. Given that the courses for the major already exist, no additional courses or resources will be needed for this program. Committee Chairman Jacobs asked Provost Nicholls if the degree at the Tri-Campus would be the same as in Storrs; Provost Nicholls replied it would. Trustee Gatling asked Provost Nicholls if students at the Torrington Campus would need to travel further than students at other campuses; Provost Nicholls remarked they would not. On a motion by Trustee Gatling, seconded by Trustee Dennis-LaVigne, the Committee recommended the approval of a Bachelor of Arts degree in English at the Tri-Campus to the full Board for approval.

Provost Nicholls introduced ***Action Item #3, Approval of a Graduate Certificate program in Health Promotion and Health Education in the College of Agriculture and Natural Resources.*** Health promotion professionals work in a variety of settings as community educators to promote health and prevent disease and chronic conditions. Programs to prevent cancer, violence, substance abuse or heart disease or to promote healthy lifestyles through physical exercise and proper nutrition are typically designed by health promotion professionals. Greater emphasis on integrating health promotion and disease prevention in managed care health plans is rapidly increasing in primary care settings as well as in community-based outreach programs.

Upon completion of this 12-credit certificate, students will work in the field of community health, health education and health promotion in a wide variety of settings, including, but not limited to, individual, school, community and worksite settings. It will also provide the necessary core requirements for students to get certification as Certified Health Educator Specialists. On a motion by Trustee Gatling, seconded by Trustee Martinez, the Committee recommended the approval of a Graduate Certificate program in Health Promotion and Health Education to the full Board for approval.

Provost Nicholls introduced ***Action Item #4, Renaming the Bachelor of Arts and Bachelor of Science degrees in Geology and Geophysics to Bachelor of Arts and Bachelor of Science degrees in Geoscience.*** Over the past decade, study of the traditional fields of geology and geophysics has evolved to require a more integrative understanding of how the earth system works. Course offerings and course content at UConn have evolved in parallel with this national trend, and the major requirements have recently been updated to allow students to better explore the linkages among the many components of the earth system. Renaming the BA and BS degrees in Geology and Geophysics to BA and BS degrees in Geosciences is requested so that the name of the major accurately reflects this change in emphasis in the coursework for the major. Provost Nicholls commented that the course content would remain the same. On a motion by Trustee Gatling, seconded by Trustee Lobo, the Committee recommended the renaming of the Bachelor of Arts and Bachelor of Science degrees in Geology and Geophysics to Bachelor of Arts and Bachelor of Science degrees in Geoscience to the full Board for approval.

Provost Nicholls introduced ***Action Item #5, Approval of the Academic Plan.*** Provost Nicholls commented that the draft Academic Plan was discussed at the April 15, 2008 meeting of the Board of Trustees Academic Affairs Committee. Based on the conversation at that meeting, the revised Academic Plan is being presented to the Board for approval. The Academic Plan has been an ongoing project for two years and has involved a tremendous amount of faculty, staff and student input. The plan has been the discussion at numerous faculty meetings and input sessions, as well as discussed at the University Senate and student government meetings. It is built upon institutional strengths which gives the vision and roadmap for the University's future. The Provost thanked the Board and the community for their input and comments. These resulted in the final product being an excellent document.

Provost Nicholls stated that the plan promotes the State of Connecticut's economic development and social well being by advancing new science, improving the cultural fabric, protecting the natural environment, enhancing the quality of health care and revitalizing institutions of self governance and world-wide economic exchange. We are seeking to improve our performance in teaching research outreach and to emerge as one of the top 20 public research universities in the nation. Once the Academic Plan is approved by the Board of Trustees, the next step will be to work with all constituent units within Academic Affairs to make sure their strategic plans are developed and fully aligned with this plan.

Trustee Martinez asked if the current ranking of the University is sufficient; Provost Nicholls remarked that it is not. We aspire to a higher ranking and this Academic Plan will help set the trajectory.

Committee Chairman Jacobs thanked Provost Nicholls for a wonderfully laid out plan that provides benchmarks to measure performance. On a motion by Trustee Shepperd, seconded by Trustee Dennis-LaVigne, the Committee recommended the approval of the Academic Plan to the full Board for approval.

There being no further items on the agenda, the meeting adjourned at 10:30 a.m.

Respectfully submitted,



Catherine Dunnaek



CONSTRUCTION PROJECTS STATUS REPORT  
BOARD OF TRUSTEES MEETING - NOVEMBER 18, 2008

Project Name by Construction Phase	Architect	Contractor	Delivery Method	Project Budget	Budgeted Funding Source(s)	Board Approval(s)	Budget Phase	Expenditures & Encumbrances (As of 10/27/08)	Scheduled Completion Date	Construction % Complete	Litigation	Map Location
<b>Planning Phase</b>												
Atwater Exterior Window Replacement	TBD	TBD	GC	\$650,000	UCONN 2000 Phase III - DM	09/23/08	P	\$0	2010	0%	None	39
Avery Point Campus Undergraduate & Library Bldg. - Phase I	TBD	TBD	TBD	\$9,300,000	UCONN 2000 Phase III	06/24/08	P	\$53,078	2012	0%	None	N.A.
Backfeed Loop - North Eagleville to Parking Garage to Storrs Road	TBD	TBD	GC	\$700,000	UCONN 2000 Phase III - DM	09/23/08	P	\$0	2010	0%	None	N.A.
Biobehavioral Complex Replacement (Renovation)	TBD	TBD	TBD	\$2,000,000	UCONN 2000 Phase III	06/24/08	P	\$0	2010	0%	None	40
Bishop Renovation - Phase I	TBD	TBD	TBD	\$1,000,000	UCONN 2000 Phase III	09/23/08	P	\$0	2010	0%	None	47
Budds Building Window Replacement	TBD	TBD	TBD	\$650,000	UCONN 2000 Phase II - DM	09/24/08	P	\$0	2009	0%	None	41
Castellan Building Drainage Repair	TBD	TBD	TBD	\$500,000	UCONN 2000 Phase II - DM	09/25/07	P	\$0	2009	0%	None	30
Compost Facility	Slantec	TBD	TBD	\$600,000	UCONN 2000 Phase II - DM	08/01/07	P	\$59,810	2009	0%	None	N.A.
Engineering Building - Planning & Design	TBD	TBD	TBD	\$1,000,000	UCONN 2000 Phase III	06/24/08	P	\$512	2010	0%	None	42
Filter Press Installation	TBD	TBD	TBD	\$500,000	UCONN 2000 Phase II - DM	06/24/08	P	\$0	2009	0%	None	N.A.
Fine Arts Phase II - Master Plan	TBD	TBD	TBD	\$1,500,000	UCONN 2000 Phase III	06/24/08	P	\$287,556	2008	0%	None	N.A.
Fluoride Greenhouse	TBD	TBD	TBD	\$3,000,000	UCONN 2000 Phase III	06/24/08	P	\$430	2011	0%	None	43
Gant Building Renovations - Phase I	TBD	TBD	TBD	\$8,000,000	UCONN 2000 Phase III	06/24/08	P	\$0	2011	0%	None	44
Gentry Completion	Swigals	TBD	GC	\$10,000,000	UCONN 2000 Phase III	09/25/07	P	\$905,311	2010	0%	None	31
Gray Water Facility - Investigation & Planning	TBD	TBD	TBD	\$1,420,000	UCONN 2000 Phase III - DM	06/24/08	P	\$370,782	2009	0%	None	TBD
Gulley Hall Drainage	TBD	TBD	TBD	\$700,000	UCONN 2000 Phase III - DM	09/23/08	P	\$0	2010	0%	None	26
Historic House Renovations	TBD	TBD	TBD	\$1,400,000	UCONN 2000 Phase III - DM	09/23/08	P	\$0	2011	0%	None	N.A.
Infrared CUP Steam and Condensate Replacement (Glenbrook Road to Central Utility Plant)	TBD	TBD	TBD	\$850,000	UCONN 2000 Phase III - DM	09/23/08	P	\$85,000	2010	0%	None	N.A.
Intramural, Recreational & Intercollegiate Facilities - Recreation Center	TBD	TBD	TBD	\$3,300,000	UCONN 2000 Phase III (\$3M) University Operating Funds (\$300K)	06/24/08	P	\$246,218	2012	0%	None	TBD
Koons Hall Renovation/Addition - Windows, Facade & Mechanical	TBD	TBD	TBD	\$1,000,000	UCONN 2000 Phase III	06/24/08	P	\$0	2010	0%	None	45
Mansfield Road Realignment	Earth Tech	TBD	TBD	\$700,000	UCONN 2000 Phase II - DM	09/25/07	P	\$73,489	2009	0%	None	33
Mansfield Training School Improvements - Existing Condition Survey & Master Planning (Bldgs & Infrastructure)	TBD	TBD	TBD	\$600,000	UCONN 2000 Phase III	06/24/08	P	\$371,070	2010	0%	None	N.A.
Mansfield Training School Improvements (Phase II) - Longley Renovations	TBD	TBD	TBD	\$1,000,000	UCONN 2000 Phase III	09/25/07	P	\$13,931	2009	0%	None	N.A.
New 16" Water Main - Towers to Glenbrook and North Eagleville	TBD	TBD	TBD	\$1,060,000	UCONN 2000 Phase III - DM	09/23/08	P	\$0	2010	0%	None	N.A.
OSFM Legacy Code Remediation	TBD	TBD	TBD	\$680,000	UCONN 2000 Phase III - DM	09/23/08	P	\$471,797	2010	0%	None	N.A.
Parking Garage Repair and Upgrade	TBD	TBD	TBD	\$1,305,000	UCONN 2000 Phase III - DM	09/23/08	P	\$27,500	2009	0%	None	N.A.
Psychology Building Renovation/ Addition	TBD	TBD	CM@R	\$20,000,000	UCONN 2000 Phase III	09/23/08	P	\$0	2013	0%	None	48
Recreational Sport Field Repair/ Replacement Depot Campus	TBD	TBD	TBD	\$867,900	Departmental Funds	11/18/08 Pending	P	\$0	2010	0%	None	N.A.
Replacement of Chemical House (Well Water Treatment Facility)	TBD	TBD	TBD	\$3,500,000	UCONN 2000 Phase III - DM	09/25/07	P	\$212,000	2009	0%	None	N.A.
Residential Life Facilities - Masonry Repairs Holcomb, Whitney, Sprague	TBD	TBD	TBD	\$675,000	UCONN 2000 Phase III	11/18/08 Pending	P	\$0	2009	0%	None	N.A.
Residential Life Facilities - Window Replacement Project for Holcomb, Whitney, Sprague, Shippee, Grad Dorms & Northwood Apts.	TBD	TBD	GC	\$3,000,000	UCONN 2000 Phase III	09/23/08	P	\$49,612	2010	0%	None	N.A.
South Campus Express Line Modifications (Water Distribution)	TBD	TBD	TBD	\$500,000	UCONN 2000 Phase III	09/23/08	P	\$0	2010	0%	None	N.A.
South Campus Steam and Condensate Loop	TBD	TBD	TBD	\$2,075,000	UCONN 2000 Phase III - DM	06/24/08	P	\$55,000	2009	0%	None	N.A.
South Garage Slope & Ramp Repair	H&A	TBD	DBB	\$600,000	UCONN 2000 Phase II - DM	03/13/07	P	\$105,299	11/2009	0%	None	19
Storrs Hall Addition	TBD	TBD	DBB	\$7,000,000	UCONN 2000 Phase III	08/01/07	P	\$500,953	2010	0%	None	29
Student Union Quadrangle Site Work and Landscape - Phase I	TBD	TBD	TBD	\$1,000,000	UCONN 2000 Phase II - DM	09/23/08	P	\$0	2011	0%	None	8
Support Facility (Architectural and Engineering Services)	TBD	TBD	TBD	\$500,000	UCONN 2000 Phase III	09/23/08	P	\$0	2010	0%	None	50
Torrington Campus Improvements - Phase I	TBD	TBD	TBD	\$500,000	UCONN 2000 Phase III - DM	06/24/08	P	\$0	2009	0%	None	N.A.
West Hartford Campus Renovations/Improvements - Electrical Switchgear Replacement	TBD	TBD	TBD	\$550,000	UCONN 2000 Phase III	09/25/07	P	\$0	2009	0%	None	N.A.
Young Building Renovation/Addition	TBD	TBD	TBD	\$10,000,000	UCONN 2000 Phase III	06/24/08	P	\$0	2012	0%	None	46

Project Name by Construction Phase	Architect	Contractor	Delivery Method	Project Budget	Budgeted Funding Source(s)	Board Approval(s)	Budget Phase	Expenditures & Encumbrances (As of 10/27/08)	Scheduled Completion Date	Construction % Complete	Litigation	Map Location
<b>Revised Planning Phase</b>												
Arlona and Monteth (New Classroom Buildings)	Leers Weinzapfel	TBD	CM@R	\$95,000,000	UCONN 2000 Phase III	09/26/06 06/24/08	P-\$86.1M RP-\$95M	\$6,842,739 <sup>(A)</sup>	2011	0%	None	18
Family Studies Renovations - Elevator Replacement	Moser Pilon	TBD	TBD	\$1,285,000	UCONN 2000 Phase III	03/13/07 08/24/08	P-\$800K RP-\$1,285M	\$67,743	2009	0%	None	21
Family Studies (DRM) Renovation - Window Replacement & Facade Repair	TBD	TBD	TBD	\$1,200,000	UCONN 2000 Phase III	09/25/07 06/24/08	P-\$500K RP-\$1.2M	\$61,769	2009	0%	None	21
Old Central Warehouse	TBD	TBD	TBD	\$10,000,000	UCONN 2000 Phase III	08/01/07	P-\$18M	\$125,745	2011	0%	None	27
Torrey Renovation Completion & Biology Expansion	Hillier	TBD	CM@R	\$44,305,000	UCONN 2000 Phase III	08/01/07 06/24/08	RP-\$10M P-\$44.305M RP-\$125m	\$614,117	2012	0%	None	9
<b>Design Phase</b>												
Beach Hall Renovations - Phase I	Moser Pilon	TBD	TBD	\$3,500,000	UCONN 2000 Phase III	09/25/07 06/24/08	P-\$2.5M D-\$3.5M	\$135,270	2009	0%	None	28
Benton State Art Museum Addition	TBD	TBD	TBD	\$1,700,000	UCONN 2000 Phase III	08/01/07 06/24/08	P D	\$100,724	2009	0%	None	25
Jorgensen Renovation - Phase I	Moser Pilon	TBD	TBD	\$2,200,000	UCONN 2000 Phase III	09/25/07 06/24/08	P-\$1.6M D-\$2.2M	\$75,150	2009	0%	None	32
Mansfield Training School Improvements (Phase II) - Merritt Building	URS	TBD	DBB	\$3,250,000	UCONN 2000 Phase III	09/26/06 09/25/07 06/24/08	P-\$3M RP-\$2.51M D-\$3.25M	\$217,305	01/20/09	0%	None	N.A.
Meter Installation - Multiple Locations (Phase III)	TBD	TBD	TBD	\$600,000	UCONN 2000 Phase III - DM	06/24/08	P	\$0	2009	0%	None	N.A.
Replacement of Two Water Towers	TBD	TBD	TBD	\$2,500,000	UCONN 2000 Phase III - DM	09/25/07 11/18/08 Pending	P - \$2M D - \$2.5M	\$141,500	2009	0%	None	34
Residential Life Facilities - Shippee Hall Elevator Replacement	TBD	TBD	GC	\$600,000	UCONN 2000 Phase III	09/23/08	P	\$0	2009	0%	None	49
Residential Life Facilities-Sprinkler Installation & Miscellaneous Repairs at Mansfield Apartment Complex	TBD	TBD	TBD	\$4,000,000	UCONN 2000 Phase III	09/25/07 06/24/08	P D	\$39,122	2009	0%	None	N.A.
Residential Life Facilities-Sprinkler Installation & Miscellaneous Repairs at Northwood Apartment Complex	TBD	TBD	TBD	\$3,000,000	UCONN 2000 Phase III	09/25/07 06/24/08	P D	\$111,696	2009	0%	None	N.A.
Tie In Drum Heater on Boilers at CUP (Central Utility Plant)	NA	TBD	TBD	\$600,000	UCONN 2000 Phase III - DM	09/25/07 02/27/08	P D	\$0	2009	0%	None	5
<b>Revised Design Phase</b>												
North Hillside Road Completion	Fuss & O'Neill	TBD	DBB	\$14,300,000	UCONN 2000 Phase III (\$8.2M) Federal Funds (\$6.1M)	08/02/05 11/15/05 06/24/08	D-11.5M RD-\$11.5M RD-\$14.3M	\$1,241,062	09/2010	0%	None	4
Steam Pit Replacements	TBD	TBD	TBD	\$2,600,000	UCONN 2000 Phase III - DM	09/25/07 01/22/08 01/22/08 06/24/08	P-\$1.3M D-\$1.3M D-\$1.3M RD-\$2.6M	\$01,695	2010	0%	None	N.A.
<b>Final Phase</b>												
Aquatics Facility - Renovations to Alwater Pathobiology Building	TBD	TBD	TBD	\$1,200,000	Research Funds	04/15/08 06/24/08	P F	\$28,000	12/2008	5%	None	39
Beach Hall Renovation of Rooms 245 and 246	Moser Pilon	Academic Renovations	TBD	\$755,000	UCONN 2000 Phase III - DM University Operating Funds	09/23/08	F	\$43,000	01/2009	45%	None	28
Central Utility Plant Emergency Generator System	Bvth	TBD	DBB	\$1,355,000	UCONN 2000 Phase III - DM	09/26/06 12/04/07 01/22/08 06/24/08	P-\$750K D-\$750K RD-\$1.355M F-\$1.355M	\$63,975	01/2009	0%	None	5
Farm Buildings Repairs/Replacement - Roof, Facade & Other Repairs	TBD	TBD	TBD	\$2,000,000	UCONN 2000 Phase III	09/23/08	F	\$120,800	2009	0%	None	N.A.
Hawley Armony Facade and Interior Repairs	URS	TBD	DBB	\$2,720,000	UCONN 2000 Phase III - DM	06/19/07 08/01/07 04/15/08	P-\$2,620M D-\$2,720M F-\$2,720M	\$2,079,388	2009	50%	None	22
Meter Installation - Multiple Locations (Phase II)	TBD	Multiple Vendors	TBD	\$600,000	UCONN 2000 Phase III - DM	09/25/07 12/04/07 01/22/08	P D F	\$73,253	04/2009	30%	None	N.A.
Phase I of the Fire Alarm Upgrade Project	Simplex & Siemens	TBD	State Contract	\$2,300,000	UCONN 2000 Phase III - DM (\$1.7M) Departmental Funds (\$.6M)	06/20/08	F	\$2,014,032	11/2008	87%	None	N.A.
Residential Life Window Replacement Project for Alumni Quad & Buckley	WASA	TBD	DBB	\$2,100,000	Departmental Funding	09/25/07 02/27/08 04/15/08	P D F	\$1,978,954	11/2008	90%	None	35
SCADA (Supervisory Control and Data Analysis) System	Fuss & O'Neill	TBD	TBD	\$1,300,000	UCONN 2000 Phase III - DM	09/25/07 12/04/07 01/22/08	P D F	\$777,144	2008	54%	None	N.A.
Waterbury Campus Property Transfer (Old Campus)	TBD	TBD	TBD	\$725,000	UCONN 2000 Phase II - DM (\$25K) UCONN 2000 Phase III - DM (\$665K) Departmental Funds (\$35K)	06/24/08	F	\$237,248	2009	0%	None	N.A.
West Hartford Campus Renovations/Improvements - Phase I	TBD	TBD	TBD	\$1,500,000	UCONN 2000 Phase III	06/24/08 11/18/08 Pending	P F	\$46,627	2010	0%	None	N.A.
Wilbur Cross Facade Repairs	Fletcher Thompson	TBD	DBB	\$1,754,500	UCONN 2000 Phase II - DM	06/19/07 09/01/07 04/15/08	P D F	\$1,269,246	2008	95%	None	23

Storrs & Regional Campuses

Project Name by Construction Phase	Architect	Contractor	Delivery Method	Project Budget	Budgeted Funding Source(s)	Board Approval(s)	Budget Phase	Expenditures & Encumbrances (As of 10/27/08)	Scheduled Completion Date	Construction % Complete	Litigation	Map Location
<b>Revised Final Phase</b>												
Nayden Clinic Relocation	AES	Sarazin	DBB	\$667,000	UCONN 2000 Phase III - DM	03/13/07 04/10/07 01/22/08 06/24/08	P-\$650K D-\$650K F-\$667K RF-\$743K	\$626,672	2008	95%	None	20
Law School Renovations / Improvements - Facade Restoration	Simpson Gumpertz Heger	Whiting Turner	CM@R	\$19,900,000	UCONN 2000 Phase III <sup>(B)</sup>	04/11/06 09/25/07 06/24/08	D-\$72.5M F-\$19.0M RF-\$19.9M RRF-\$22.1M	\$20,294,184	2009	65%	Pending	N.A.
Street Construction/Sidewalk Repairs and Related Improvements - Phase I	TBD	Multiple Vendors	TBD	\$2,000,000	UCONN 2000 Phase III - DM	04/15/08 06/24/08	F-\$1M RF-\$2M	\$303,820	2009	15%	None	N.A.
<b>Recently Completed Projects</b>												
Agricultural Biotechnology & Advanced Technology Building Code Remediation Projects	Svigals	PDS	DBB	\$984,307	University Plant Funds	06/19/07 12/04/07	D-\$1.6M F-\$964K	\$849,917	06/2008	100%	None	24
Charter Oak Corrective Action Plan - Phase II	URS	JPI	DBB	\$860,000	UCONN Plant Funds	09/26/06	F	\$588,047	2007	100%	Pending	15
Charter Oak Suites-Attic Sprinkler Installation	URS	Whiting Turner	DBB	\$1,000,000	UCONN Plant Funds	04/11/06 11/14/06	F-\$0.6M RF-\$1.0M	\$648,980	03/2007	100%	None	15
Code Safety Inspections-Summer 2007, Corrective Action	URS	Standard	T&M	\$2,200,000	UCONN 2000 Phase III - DM	09/25/07	F	\$2,072,049	11/2008	100%	None	N.A.
East Campus Steam & Condensate Piping Replacement at the Avery Point Campus	Bvh	TBD	TBD	\$2,085,000	UCONN 2000 Phase III - DM	09/26/06 04/10/07 08/01/07 02/27/08	P-\$800K D-\$800K RD-\$1.6M F-\$1.6M RF-\$2,085m	\$1,916,184	2008	100%	None	N.A.
Facilities Condition Analysis (FCA)	ISES	ISES	TBD	\$800,000	UCONN 2000 Phase III - DM	05/15/06	F	\$760,466	10/2006	100%	None	N.A.
Fire Alarm Upgrade in the Graduate Student Residences	Simplex	ECI	TBD	\$754,948	UCONN 2000 Phase III - DM	08/01/07	F-\$688K RF-\$755K	\$745,541	05/2008	100%	None	37
Fire Alarm Upgrade in the West Campus Residences	Simplex	Kennedy	DBB	\$498,273	UCONN 2000 Phase III - DM	8/1/2007 12/04/07	F-\$443.9K RF-\$498.2K	\$497,178	04/2008	100%	None	38
Guiley Hall Roof and Structural Repair	Fletcher Thompson	A and R Development	TBD	\$630,000	UCONN 2000 Phase III - DM	08/01/07	P	\$384,647	07/2008	100%	None	26
Heating Plant Upgrade - Cogeneration Facility	SESI	SESI	DB	\$81,900,000	Caterpillar Financial Capital Lease	12/04/07	F	\$81,900,000	03/2008	100%	None	5
Hilltop Apartments Corrective Action Plan - Phase I	URS	Dimeo Engineering	CM@R	\$14,874,500	University Plant Funds	03/11/05	D	\$14,704,566	09/2005	100%		10
Hilltop Apartments Corrective Action Plan - Phase II	URS	Standard Builders	CM@R	\$9,700,000	University Plant Funds	05/15/06 06/20/06	F-\$8.1M RF-\$9.7M	\$9,899,421	2007	100%	Pending	10
Hilltop Apartments Corrective Action Plan - Phase III	URS	TBD	TBD	\$977,252	University Operating Funds	12/04/07	F	\$802,127	2008	100%	Pending	10
Husky Village (Corrective Action)	Centerbrook Architects	Capstone Building Corp	DB	None Established		N.A.		\$643,748	09/2005	100%		11
Interior Remediation, Demolition and Removal of Pharmacy Buildings	A&C Assoc.	Blanchi	DBB	\$1,105,832	UCONN 2000 Phase III - DM	06/20/06 09/26/06 11/14/06	P-\$1.8M D-\$1.8M F-\$1.8M RF-\$1.1M	\$1,037,624	2007	100%	None	17
Intramural, Recreational & Intercollegiate Facilities (Burton/Sherkman)	Jeter, Cook & Jepson	Dimeo Construction	CM@R	\$48,777,680	UCONN 2000 Phase III (\$31.0M) Departmental Funds (\$2.5M) Gifts (\$15.3M)	08/22/04 08/03/04 08/02/05 09/26/06	P EI F-\$46.6M RF-\$48.8M	\$48,095,241	9/10/2006	100%	None	6
Lakeside Renovation	Alan Dehar	Lupachino and Salvatore	DBB	\$5,237,231	UCONN 2000 Phase III (\$3.8M) Departmental Funds (\$1.4M)	09/20/05 04/11/06	D-\$5.0M F-\$5.2M	\$5,171,149	05/2007	100%	None	1
Law School Renovations / Improvements - Hartranft Elevator	Dubose Associates	Kronenberger & Sons	DBB	\$1,095,600	UCONN 2000 Phase III (\$1.0M) Departmental Funds-Law School (\$1M)	08/02/05	F	\$1,082,775	11/2005	100%	None	N.A.
Meter Installation - Multiple Locations (Phase I)	NA	Multiple Vendors	TBD	\$600,000	UCONN 2000 Phase III - DM	09/26/06	F	\$579,500	06/2008	100%	None	N.A.
Natural History Museum Completion	Arbories King Vlock	Kronenberger & Sons	DBB	\$1,022,997	UCONN 2000 Phase III (\$5.5M) Gifts (\$5M)	09/20/05 01/31/06 04/11/06 09/26/06	P-\$5.98M D-\$5.98M F-\$5.98M RF-\$1.0M	\$999,669	05/2007	100%	None	3
North Hillside Road Tennis Courts	Fuss & O'Neill	O&G Industries	DBB	\$900,000	UConn Operating Funds (\$234K) Departmental Funds-Athletics (\$666K)	08/02/05	F	\$876,122	09/2005	100%	None	12
Repair and Renovation of Avery Point Pool and Gym Facility	Tecton Architects	ACMAT	DBB	\$3,145,273	UConn Operating Funds (\$9M) UCONN 2000 Phase III - DM (\$2.25M)	06/20/06 01/30/07 05/14/07 <sup>C</sup>	P D F	\$3,028,150	07/2008	100%	None	N.A.
Repair & Renovation of the Sherman Athletic Complex	CHA	Clark Co.	DBB	\$2,641,824	UCONN 2000 Phase III - DM (\$1.4M) University Operating Funds (\$160K) Departmental Funds (\$1.07M)	09/25/07 12/04/07 01/22/08	P-\$2.25M D-\$2.64M F-\$2.64M	\$2,607,890	09/2008	100%	None	N.A.
Roof Repair & Rehabilitation - Montelith Hall	In-House	Silk Town Roofing	DBB	\$250,000	UCONN 2000 Phase III - DM	09/20/05 11/15/05	P-\$5M F-\$3M	\$201,735	02/2006	100%	None	7
Ryan Rectory Renovations	Moser Pilon Nelson	Sarazin	DBB	\$2,970,000	UCONN 2000 Phase III - DM	04/11/06 09/26/06	P D	\$2,716,896	02/2008	100%	None	14
School of Pharmacy/Biology Completion	Davis, Brody Bond	Gilbane Building	CM@R	\$94,609,000	UCONN 2000 Phase I, II & III (\$94.5M) State Bond Funds (\$5.1M)	11/14/06 02/03/05	F	\$93,284,356	06/2005	100%	None	13
Sewage Treatment Plant Clarifier Repairs	Fuss & O'Neill	TBD	TBD	\$1,190,000	UCONN 2000 Phase III - DM	07/31/06 09/01/07	RF P-\$1.190M	\$792,904	09/2008	100%	None	2

**Stores & Regional Campuses**

Project Name by Construction Phase	Architect	Contractor	Delivery Method	Project Budget	Budgeted Funding Source(s)	Board Approval(s)	Budget Phase	Expenditures & Encumbrances (As of 10/27/08)	Scheduled Completion Date	Construction % Complete	Litigation	Map Location
<b>Recently Completed Projects (Continued)</b>												
South Campus Fire Alarm Upgrade [2 <sup>nd</sup> Revised Final]	Simplex	ECI	DBB	\$667,085	UCONN 2000 Phase III - DM	12/04/07 01/22/08 04/15/08 12/04/07	F-\$655,765 RF-\$655,765 RRF-\$667,085 F	\$662,227	06/2008	100%	None	36
Stamford Campus Carpet Replacement	AES	BCI	DBB	\$657,504	University Operating Funds	09/20/05 11/14/06 06/19/07	D-\$0.5M F-\$0.2M RF-\$0.4M	\$298,443	06/2008	100%	None	N.A
Stamford Campus Improvements - Parking Garage Repairs	Macchi Engineering	All Phase	DBB	\$435,000	UCONN 2000 Phase III	06/19/07	F-\$0.2M					N.A
Student Union Addition	Canon Associates	Konover	CM@R	\$70,755,303	UCONN 2000 Phase I, II & III (\$57.6M) UConn Operating Funds (\$10.0M) Departmental Funds (\$3.3M)	04/12/01 06/26/01 01/18/02 03/23/04 02/03/05 06/20/06	P - PI&II - \$35M F-PI&II - \$40M F-PI&II - \$45M RF-PI&II - \$47M RF-PH-III - \$62.8M RF-PH-III - \$70.8M	\$70,101,710	06/2008	100%	None	8
Student Union Quadrangle Site Work and Landscape - Phase I	CRJA	Bates	DBB	\$1,400,000	UConn Operating Funds (\$4M) UCONN 2000 Phase III - DM (\$1M)	07/30/07 04/10/07 06/19/07	P-\$1.3M D-\$1.3M F-\$1.4M	\$1,326,210	2008	100%	None	8
Torrey Life Sciences Code Correction	Bvh	Enterprise	DBB	\$500,000	UCONN 2000 Phase III - DM	08/01/07 12/04/07	F-\$750K RF-\$500K	\$500,000	2007	100%	None	9
Torrey Life Sciences Relocation	In-house	In-House	N/A	\$645,000	UCONN 2000 Phase III - DM (\$5.6M) Departmental Funds-CLAS (\$1M)	09/20/05	F	\$628,155	06/2006	100%	None	9
Torrington ADA Improvements	Dubose Associates	Lupachino and Salvatore	DBB	\$650,000	UCONN 2000 Phase III - DM	09/26/06 04/10/07 06/19/07	P-\$55M D-\$65M F-\$65M	\$609,238	11/2008	100%	None	N.A.
West Hartford Campus Renovations / Improvements - Parking Lot	Earth Tech	Kessler Construction	DBB	\$850,000	UCONN 2000 Phase III (\$5M) Town of West Hartford (\$4M)	09/02/05	F	\$765,064	08/2006	100%	None	N.A.
Williamantic River Water Transmission Line - Partial Replacement	URS Engineering	Kroneberger & Sons Rest, Inc.	DBB	\$712,819	UCONN 2000 Phase II & III - DM (\$5M) Departmental Funds (\$2M)	11/15/05 09/26/06	F-\$5.6M RF-\$7.1M	\$675,996	02/2006	100%	None	N.A.
Window Replacement Project for Hale Hall, Ellisworth Hall & Brien McMahon Hall & West Campus	WASA	Cheviot	DBB	\$3,071,600	Departmental Funds (Residential Life)	04/11/06 11/14/06 11/18/08 Pending	D-\$1.165M F-\$3.050M RF-\$3.072M	\$3,034,033	11/2007	100%	None	16
<b>Stores &amp; Regional Campuses</b>												
<b>Planning Phase</b>												
800 Mhz NMR Installation	TBD	TBD	DBB	\$2,800,000	UCHC Capital Budget (\$8M) Grants (\$2M)	(06/09/08*) 06/24/08	P	\$0	TBD	0%	None	N.A.
Academic Building (Bldg A) Roof Replacement	TBD	TBD	DBB	\$1,150,000	UCONN 2000 Phase III - DM	(06/09/08*) 06/24/08	P	\$0	08/2009	0%	None	14
Academic Research Building Heat Pipe Replacement	TBD	TBD	DBB	\$1,250,000	UCONN 2000 Phase III - DM	(06/09/08*) 06/24/08	P	\$0	TBD	0%	None	14
CLAC Renovation	TBD	TBD	DBB	\$14,000,000	UCONN 2000 Phase III	(05/17/06*) 06/20/06	P	\$16,554	TBD	0%	None	3
John Dempsey Hospital Emergency Department Expansion	Moser Pilon Nelson	TBD	DBB	\$1,300,000	UCHC Capital Budget	(07/18/07*) 08/01/07	P	\$64,150	12/2009	0%	None	3
John Dempsey Hospital 2 <sup>nd</sup> Floor - ICU Renovation	TRO Ritchie of CT	TBD	DBB	\$1,546,000	UCHC Capital Budget	(10/31/06*) 11/15/05	P	\$289	On Hold	0%	None	8
John Dempsey Hospital 4 <sup>th</sup> Floor Renovation	Moser Pilon Nelson	TBD	DBB	\$800,000	UCHC Capital Budget	(10/31/06*) 11/15/05	P	\$57,305	On Hold	0%	None	9
Main Building Exterior Façade Joint Sealant Replacement	TBD	TBD	DBB	\$3,300,000	UCONN 2000 Phase III - DM	(06/09/08*) 06/24/08	P	\$0	TBD	0%	None	4
Main Building Renovation <sup>o</sup>	TBD	TBD	DBB	\$75,000,000	UCONN 2000 Phase III	(05/17/06*) 6/20/06	P	\$27,469	TBD	0%	None	3
Support Building Addition/Renovation	Moser Pilon Nelson	TBD	DBB	\$7,800,000	UCONN 2000 Phase III	(07/18/07*) 08/01/07	P	\$572,120	On Hold	0%	None	5
<b>Revised Planning Phase</b>												
ASB Renovation to Medical Services Building	SBA	TBD	DBB	\$18,000,000	UCHC Capital Budget	(10/31/06*) 11/15/05 (09/17/07*) 9/25/07	P-\$13M P-\$13M RP-\$18M RP-\$18M	\$37	On Hold	0%	None	1
Dental School Renovation-Predental Teaching & Prosthetics Labs	Fletcher Thompson	TBD	DBB	\$3,075,000	UCONN 2000 Phase III	(09/09/05*) 09/20/05 (05/17/06*) 06/20/06 (09/17/07*) 09/25/07	P-\$5M P-\$5M RP-\$5M RP-\$5M RP-\$3.075M RP-\$3.075M	\$209,635	07/2009	0%	None	6
Research Tower [2 <sup>nd</sup> Revised Planning]	Goody Clancy	FIP Construction	CM@R	\$50,852,000	UCONN 2000 Phase III	(09/09/05*) 09/20/05 (09/11/06*) 09/26/06 (03/10/08*) 04/15/08	P-\$60M P-\$60M RP-\$46.8M RP-\$46.8M RRP-\$50.9M RRP-\$50.9M	\$13,275,046	03/2010	0%	None	11

Project Name by Construction Phase	Architect	Contractor	Delivery Method	Project Budget	Budgeted Funding Source(s)	Board Approval(s)	Budget Phase	Expenditures & Encumbrances (As of 10/27/08)	Scheduled Completion Date	Construction % Complete	Litigation	Map Location
<b>Final Phase</b>												
Cooling Coil Conversion Project	BVH	Ferguson Mechanical	DBB	\$1,408,000	UCONN 2000 Phase III - DM	(09/08/05*) 11/15/05 (04/04/07) 04/10/07 12/04/07 (12/10/07*)	P-\$0.65M P-\$0.65M D-\$1.7M D-\$1.7M F-\$1.408M F-\$1.408M	\$1,377,152	01/20/09	75%	None	4
Library/Student Computer Center Renovation	Dubois	All Phase Construction	DBB	\$1,216,491	UCONN 2000 Phase III (\$1.1M) Gifts (\$0.1M)	(09/17/06*) 08/20/06 12/4/07 (12/10/07*) (04/14/08*) 4/15/08	P-\$1.065M P-\$1.065M D-\$1,216,491 D-\$1,216,491 F-\$1,216,491 F-\$1,216,491	\$889,685	12/20/08	90%	None	10
Main Cooling System Back-up Chiller Replacement	BVH Engineers	Ferguson Mechanical	DBB	\$935,000	UCONN 2000 Phase III - DM	(07/16/07*) 08/01/07 (04/04/07*) 09/24/07 2/27/08 (03/10/08)*	P-\$0.8M P-\$0.8M D-\$0.8M D-\$0.8M F-\$0.935M F-\$0.935M	\$650,465	01/20/09	0%	None	3
Munson Road Renovation and Expanded Parking	Perkins + Will (Arch); Fuss & O'Neil (Civil)	Sarazin	DBB	\$4,350,000	UCHC Capital Budget	(05/17/06*) 06/20/06 (07/16/07*) 08/01/07 (09/17/07*) 09/25/07	P-\$3.2M P-\$3.2M D-\$4.35M D-\$4.35M F-\$4.35M F-\$4.35M	\$3,899,538	12/20/08	90%	None	5
Negative Pressure Room, Bldg 4 Renovations	In-House/Kohler	TBD	TBD	\$590,000	UCHC Research Use Allowance	(09/15/08*) 09/23/08 (10/27/08*) 11/18/08 Pending	D-\$590K D-\$590K F-\$590K F-\$590K	\$134,728	08/20/09	0%	None	1
<b>Revised Final Phase</b>												
Electric Heat Conversion Project	BVH Engineers	All State Construction	DBB	\$1,620,000	UCONN 2000 Phase III - DM	(05/17/06*) 6/20/06 (04/04/07*) 04/10/07 (09/17/07*) 09/25/07 (03/10/08)* 02/27/08	P-\$1.25M P-\$1.25M D-\$2.74M D-\$2.74M F-\$2.74M F-\$2.74M RF-\$1.62M RF-\$1.62M	\$1,514,141	11/20/08	90%	None	6
<b>Cancelled Project</b>												
Dowling North Renovation	In-House	TBD	DBB	\$905,000	UCHC Capital Budget (\$835K) UCONN 2000 Equipment Funds (\$70K)	(07/16/07*) 08/01/07 12/04/07 (12/10/07*)	P-\$790K P-\$790K D-\$905K D-\$905K	\$38,100	Cancelled	0%	None	1
<b>Recently Completed Projects</b>												
Cooling Tower Replacement	BVH Integrated Services	Nutmeg Mechanical	DBB	\$1,818,600	UCONN 2000 Phase III - DM (\$1.0) UCHC Capital Budget (\$8M)	(09/08/06*) 09/20/05	F F	\$1,853,510	08/20/06	100%	None	12
Dental Implant Center Renovation	dsILVA Architects	Sarazin	DBB	\$2,555,000	UCHC Capital Budget	(05/17/06*) 06/20/06 (03/05/07*) 03/13/07 (09/17/07*) 09/25/07	P-\$1.725M P-\$1.725M D-\$2.75M D-\$2.75M F-\$2.555M F-\$2.555M	\$2,511,829	07/20/08	100%	None	3
John Dempsey Hospital Electro Physiology Lab & Catheterization Lab Renovation, Phase I & II	In-House	Sarazin	DBB	\$5,205,000	UCHC Capital Budget	(09/08/05*) 09/20/05 (03/06/06*) 04/11/06	P-\$3.5M P-\$3.5M F-\$5.2M F-\$5.2M	\$5,181,199	06/20/08	100%	None	7
Main Building Renovation - Clinical Skills Renovation	Svigals & Partners	Sarazin	DBB	\$1,400,000	UCONN 2000 Phase III (\$1.2M) School of Medicine Capital (\$0.2M)	(9/28/05*) 09/20/05 (09/11/06*) 09/26/06 (12/04/06*) 04/10/07	P-\$0.83M P-\$0.83M D-\$1.6M D-\$1.6M F-\$1.4M F-\$1.4M	\$1,014,304	10/20/07	100%	None	3
Medical Arts and Research Building Lab Fit-Out	Svigals & Partners	Sarazin	DBB	\$560,000	UCHC Capital Budget	(03/05/07*) 03/13/07	F-\$0.56M F-\$0.56M	\$493,072	08/20/07	100%	None	10
Medical School Academic Building Renovation-Patterson & Massey Auditoria	Svigals & Partners	PDS Eng	DBB	\$4,350,000	UCONN 2000 Phase III	(09/08/05*) 09/20/05 (04/24/06*) 06/20/06 09/26/06 (09/17/06*) 09/26/06	P-\$3.4M P-\$3.4M D-\$3.6M D-\$3.6M F-\$4.4M F-\$4.4M	\$3,667,813	10/20/08	100%	None	10
Munson Road Reconfiguration - Phase 1	Perkins & Will	Sarazin	DBB	\$2,100,000	UCHC Capital Budget	(10/37/05*) 11/15/05 (11/30/05*) 01/31/06	P P F F	\$2,052,060	05/20/06	100%	None	13

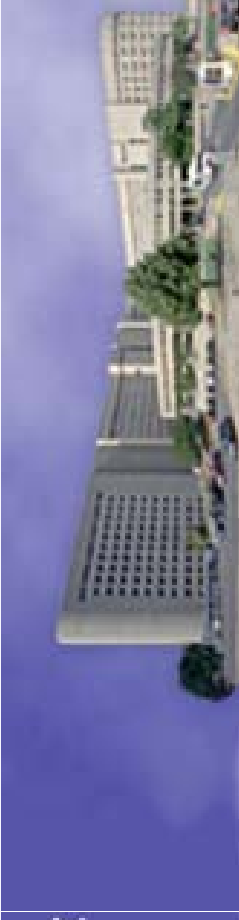
Health Center

Project Name by Construction Phase	Architect	Contractor	Delivery Method	Project Budget	Budgeted Funding Source(s)	Board Approval(s)	Budget Phase	Expenditures & Encumbrances (As of 10/27/08)	Scheduled Completion Date	Construction % Complete	Litigation	Map Location
<b>Recently Completed Projects (Continued)</b>												
Health Center Tomotherapy Equipment Installation	UHC In-House	Sarazin	DBB	\$4,300,000	UHC Capital Budget	(09/11/06) <sup>a</sup> 09/26/06 (10/30/06) <sup>b</sup> 11/14/06 (03/05/07) <sup>c</sup> 03/13/07	P-\$3.7M P-\$3.7M D-\$3.9M D-\$3.9M F-\$4.3M F-\$4.3M	\$3,656,011	2007	100%	None	3
CM@R = Construction Manager at Risk DB = Design Build DBB = Design - Bid - Build TBD = To be determined  P = Planning Budget RP = Revised Planning Budget D = Design Budget RD = Revised Design Budget  F = Final Budget RF = Revised Final Budget EI = Environmental Impact C = Complete SC = Substantial Completion  <i>* University of Connecticut Health Center Board of Directors Reviewed and Recommended for Board of Trustees Approval</i>  <sup>a</sup> Expenditures occurred before July 1, 2005. For all capital projects for which planning commences after July 1, 2005, and for which expenditures are projected to equal or exceed \$500,000, the "three-stage" budgets (planning, design and final) will be presented to the Board of Trustees for approval. <sup>b</sup> Special Act 04-2, Section 2(i) contains an authorization for \$8 million in State General Obligation Bonds for this project. The University has requested that this authorization be put on the Bond Commission agenda for allotment, which would release the funds for use. Funding has been approved by the Bond Commission. UCONN 2000 funding will be reduced. <sup>c</sup> Board of Trustees, Buildings, Grounds and Environment Committee (BGE) approved project on 5/14/07 and confirmed on 5/17/07 per special authorization from the Board of Trustees given 04/10/07. <sup>d</sup> The Main Building Renovation Phase III total funding was decreased from \$75 million to \$73.91 million on August 1, 2007. The Clinical Skills Renovation portion of this total is \$1.2 million. Therefore, this planning budget will be revised to \$72.71 million in the future.												

# **Fiscal Year 2009**

## **Results of Operations**

### **As of October 31, 2008**





## Consolidated Financial Reports Financial Update & Highlights

**TO:** Members, Finance Sub-Committee  
**FROM:** Daniel L. Upton, Chief Financial Officer  
**DATE:** November 17, 2008  
**SUBJECT:** **Unaudited FY 2009 Financial Results for the 4 month period ending October 31, 2008.**

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### Introduction:

The pages that follow provide the significant highlights for the results of operations for the four month period ending October 31, 2008

The actual deficiency for the Fiscal Year to Date is \$5.06 million as compared to a budgeted deficiency of \$5.56 million, for a favorable variance of \$505,000. For the month of October the actual excess of revenue over expenses was \$171,000 as compared to a budgeted deficiency of \$379,000, for a favorable variance of \$550,000. The key drivers of the budget variance by business unit are outlined on page 5. The primary reason for the budget variance year-to-date not being more favorable is that the School of Medicine revenue from billings to participating hospitals for providing residents and interns are below the budget. The impact to the results of operations is a net decrease in revenue of \$1.8 million.

### Significant Highlights and Variance Analysis:

#### John Dempsey Hospital

The year-to-date deficit is \$6.9 million compared to a budgeted deficit of \$7.3 million, for a favorable variance of \$ 458,000. Other significant highlights include:

- Discharges are unfavorable to the budget by 32 cases (1.0%) however there is an 11.7% increase in Medicaid discharges (62 cases).
- Outpatient visits were below budget 2.9% however, are above the same period last year by 6%.
- Inpatient surgical cases were 5.8% above last year.
- Cardiology inpatient volume is above the budget and 20% above last year.
- Expenses are below budget

Additional details can be found in the pages that follow.

#### UConn Medical Group

The year-end deficit is \$2.4 million compared to a budgeted deficit of \$2.2 million, for an unfavorable variance of \$230,000. The primary reason for the unfavorable variance is due to visits being below the budget 2.0%. However, the results of operations for the month of October were favorable to the budget plan. Additional details can be found in the pages that follow.

#### Education, Research & Institutional Support

The result of operations before State Appropriations is unfavorable to the budget by \$941,000 (2.1%). The primary reason is due to the School of Medicine revenue issue outlined above. Other significant highlights include:

- Research revenues are over budget by 1.2% and above the same period last year by 7.4%. However, Research Awards are lower than the same period last year by \$3.9 million (9.6%), however the month of October saw an increase of \$1.6 million in awards compared to last October.
- Utilities expense is over budget 9.4% due to increased Natural Gas rates.



## For the period ending October 31, 2008

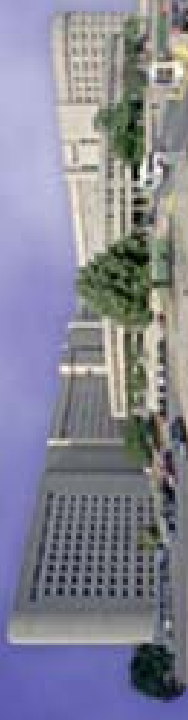
Line #	Category	Current Month				Year - to - Date								
		Actual	Budget	Variance	Percent	Prior Year	Actual	Budget	Variance	Percent				
1	Total UCHC Excess/ Deficiency	\$171,315	(\$378,752)	\$550,067	145.2%	(\$57,492)	(\$5,055,986)	(\$5,561,430)	\$505,444	9.1%	(\$5,100,138)	\$44,152	0.9%	
2	Education, Research & Institutional Support-Excess/(Deficiency)	(\$11,122,236)	(\$11,567,745)	\$445,509	3.9%	(\$10,207,668)	(\$46,562,736)	(\$45,621,472)	(\$941,264)	-2.1%	(\$40,397,907)	(\$6,164,829)	-15.3%	
3	Clinical Operations - Excess/(Deficiency)	(\$1,229,005)	(\$1,193,694)	(\$35,311)	-3.0%	(\$752,801)	(\$9,034,887)	(\$9,852,426)	\$817,539	8.3%	(\$8,118,870)	(\$916,017)	-11.3%	
4	CMHC - Excess/(Deficiency)	\$45,390	(\$1,391)	\$46,781	3363.1%	\$162,732	\$221,649	(\$4,551)	\$226,200	-	(\$328,406)	\$550,055	167.5%	
5	State Appropriation-Block Grant	\$9,043,540	\$8,946,916	\$96,624	1.1%	\$7,793,621	\$36,524,154	\$36,137,667	\$386,487	1.1%	\$32,195,192	\$4,328,962	13.4%	
6	JDH - State Supported Fringe Benefits	\$262,467	\$266,000	(\$3,533)	-1.3%	\$0	\$1,160,062	\$1,197,000	(\$36,938)	-3.1%	\$0	\$1,160,062		
7	Fringe Benefits & Other Adjustments	\$3,171,159	\$3,171,162	(\$3)	0.0%	\$2,946,624	\$12,635,772	\$12,582,352	\$53,420	0.4%	\$11,549,853	\$1,085,919	9.4%	
8	Total State Support	\$12,477,166	\$12,384,078	\$93,088	0.8%	\$10,740,245	\$50,319,988	\$49,917,019	\$402,969	0.8%	\$43,745,045	\$6,574,943	15.0%	
9	Total Revenues (000's)	\$51,769	\$50,815	\$953	1.9%	\$48,984	\$194,087	\$198,330	(\$4,243)	-2.1%	\$181,705	\$12,382	6.8%	
10	Total Expenses (000's)	\$64,074	\$63,578	\$496	0.8%	\$59,782	\$249,463	\$253,809	(\$4,345)	-1.7%	\$230,550	\$18,913	8.2%	
11	Research Awards	\$6,823,013	\$5,685,000	\$1,138,013	20.0%	\$5,195,905	\$36,059,610	\$40,742,500	(\$4,682,890)	-11.5%	\$39,910,551	(\$3,850,941)	-9.6%	
12	Research Revenue Recognition in Financial Statements	\$8,512,699	\$7,421,016	\$1,091,683	14.7%	\$7,872,998	\$29,935,489	\$29,572,349	\$363,140	1.2%	\$27,871,728	\$2,063,761	7.4%	
John Dempsey Hospital (including Dental Clinics)														
13	JDH Excess of Revenues over Expenses/ (Deficiency)	(\$1,120,056)	(\$1,047,421)	(\$72,635)	-6.9%	(\$729,935)	(\$6,585,776)	(\$7,633,043)	\$1,047,267	13.7%	(\$6,765,954)	\$180,178	2.7%	
14	JDH Operating Margin	-7.06%	-5.12%	-1.94%	-38.0%	-3.42%	-8.81%	-9.31%	0.49%	5.3%	-8.93%	0.11%	1.3%	
15	Inpatient Admissions	771	870	(99)	-11.4%	840	3,314	3,383	(69)	-2.0%	3,315	(1)	0.0%	
16	Outpatient Visits	26,887	28,267	(1,380)	-4.9%	26,621	99,844	102,774	(2,930)	-2.9%	96,751	3,093	3.2%	
17	Dental Clinic Visits	8,481	8,364	117	1.4%	8,489	30,202	30,332	(130)	-0.4%	30,794	(582)	-1.9%	
18	Total Revenue per Adjusted Discharge	\$11,859	\$11,688	\$171	1.5%	\$11,325	\$11,336	\$11,662	(\$326)	-2.8%	\$10,646	\$690	6.5%	
19	Cost per Adjusted Discharge	\$12,494	\$12,279	\$215	1.8%	\$11,735	\$12,263	\$12,766	(\$503)	-3.9%	\$11,629	\$634	5.5%	
20	Days Revenue in Accounts Receivable	49	53	(4)	-7.5%	58	49	53	(4)	-7.5%	58	(9)	-15.5%	
21	Case Mix Index	1.5665	1.4421	0.1244	8.6%	1.4810	1.4760	1.4421	0.0339	2.4%	1.3921	0.0839	6.0%	
22	FTE's per Adjusted Occupied Bed	4.43	4.22	0.21	5.0%	4.17	4.40	4.34	0.06	1.4%	4.24	0.16	3.8%	
UConn Medical Group														
23	Excess of Revenues over Expenses/ (Deficiency)	(\$108,949)	(\$146,273)	\$37,324	25.5%	(\$22,867)	(\$2,449,111)	(\$2,219,383)	(\$229,728)	-10.4%	(\$1,352,917)	(\$1,096,194)	-81.0%	
24	Operating Margin	-1.32%	-1.85%	0.53%	28.5%	-0.31%	-8.35%	-7.53%	-0.82%	-10.8%	-4.94%	-3.41%	-69.0%	
25	Unique Patient Visits	50,494	52,483	(1,989)	-3.8%	50,770	187,153	191,010	(3,857)	-2.0%	182,182	4,971	2.7%	
26	Net Revenue Per Unique Patient Visit	\$163.18	\$150.75	\$12.43	8.2%	\$145.49	\$169.79	\$154.21	\$2.49	1.6%	\$150.30	\$6.40	4.3%	
27	Cost per Unique Patient Visit	\$165.33	\$153.53	\$11.80	7.7%	\$145.94	\$163.79	\$165.83	\$3.96	2.4%	\$157.73	\$12.06	7.6%	
28	Days Revenue in Accounts Receivable	54	58	(4)	-6.9%	54	54	58	(4)	-6.9%	54	0	0.0%	
												3	0.0%	

# University of Connecticut Health Center

## Consolidated Statement of Revenues and Expenses (with Eliminations)

### October 31, 2008

	Consolidated UConn Health Center YTD October 2008				Consolidated UConn Health Center YTD October 2007			
	Actual	Budget	Variance	Percent Variance	Actual	Variance	Percent Variance	
<b><u>Revenues:</u></b>								
Tuition	\$ 3,358,894	\$ 3,409,834	\$ (50,940)	-1.5%	\$ 3,248,344	\$ 110,550	3.4%	
Fees	1,949,876	1,850,395	99,481	5.4%	1,885,628	64,248	3.4%	
Federal Research Grants and Contracts	23,753,357	23,943,210	(189,853)	-0.8%	23,037,390	715,967	3.1%	
Non-Federal Research Grants and Contracts	6,202,266	5,629,139	573,127	10.2%	4,834,823	1,367,443	28.3%	
Auxiliary Enterprises	4,614,256	4,534,835	79,421	1.8%	3,992,769	621,487	15.6%	
Interns and Residents	11,674,453	14,151,400	(2,476,947)	-17.5%	10,850,145	824,308	7.6%	
Net Patient Care	105,040,300	106,146,059	(1,105,759)	-1.0%	96,389,834	8,650,466	9.0%	
Correctional Managed Health Care	34,112,044	35,112,044	(1,000,000)	-2.8%	33,386,429	725,615	2.2%	
Endowment/Foundation Income	1,198,429	1,283,429	(85,000)	-6.6%	1,291,426	(92,997)	-7.2%	
Investment Income	436,100	681,614	(245,514)	-36.0%	1,167,992	(731,892)	-62.7%	
Other Income	1,747,433	1,588,212	159,221	10.0%	1,620,476	126,957	7.8%	
<b>Total Revenues</b>	<b>\$ 194,087,409</b>	<b>\$ 198,330,171</b>	<b>\$ (4,242,762)</b>	<b>-2.1%</b>	<b>\$ 181,705,256</b>	<b>\$ 12,382,153</b>	<b>6.8%</b>	
<b><u>Expenses:</u></b>								
Personal Services	\$ 123,606,971	\$ 125,818,757	\$ (2,211,786)	-1.8%	\$ 116,198,656	\$ 7,408,315	6.4%	
State Supported Fringe Benefits	12,635,772	12,546,430	89,342	0.7%	11,549,853	1,085,919	9.4%	
Fringe Benefits	22,645,065	22,282,455	362,610	1.6%	20,811,637	1,833,428	8.8%	
Medical Contractual Support	5,424,056	5,122,959	301,097	5.9%	6,669,100	(1,245,044)	-18.7%	
Medical/Dental House Staff	12,548,263	12,532,020	16,243	0.1%	10,310,977	2,237,286	21.7%	
Outside Agency Per Diems	644,438	664,749	(20,311)	-3.1%	691,955	(47,517)	-6.9%	
Drugs	11,841,988	11,319,565	522,423	4.6%	11,036,425	805,563	7.3%	
Medical Supplies	14,517,142	16,429,292	(1,912,150)	-11.6%	12,816,760	1,700,382	13.3%	
Utilities	6,625,985	6,059,173	566,812	9.4%	5,875,032	750,953	12.8%	
Outside & Other Purchased Services	17,132,609	18,575,401	(1,442,792)	-7.8%	15,312,704	1,819,905	11.9%	
Insurance	1,993,086	2,006,391	(13,305)	-0.7%	1,749,518	243,568	13.9%	
Repairs & Maintenance	3,402,090	3,646,469	(244,379)	-6.7%	2,690,238	711,852	26.5%	
Other Expenses	7,489,012	7,415,289	73,723	1.0%	6,562,326	926,686	14.1%	
Depreciation	8,956,906	9,389,670	(432,764)	-4.6%	8,275,258	681,648	8.2%	
<b>Total Expenses</b>	<b>\$ 249,463,383</b>	<b>\$ 253,808,620</b>	<b>\$ (4,345,237)</b>	<b>-1.7%</b>	<b>\$ 230,550,439</b>	<b>\$ 18,912,944</b>	<b>8.2%</b>	
<b><u>Excess/(Deficiency) of Revenues over Expenses Prior to State Appropriations</u></b>	<b>\$ (55,375,974)</b>	<b>\$ (55,478,449)</b>	<b>\$ 102,475</b>	<b>0.2%</b>	<b>\$ (48,845,183)</b>	<b>\$ (6,530,791)</b>	<b>-13.4%</b>	
State Appropriation-Block Grant	\$ 36,524,154	\$ 36,137,667	\$ 386,487	1.1%	\$ 32,195,192	\$ 4,328,962	13.4%	
JDH - State Supported Fringe Benefits	1,160,062	1,197,000	(36,938)	-3.1%	-	1,160,062	100.0%	
State Supported Fringe Benefits and Other Adjustments	12,635,772	12,582,352	53,420	0.4%	11,549,853	1,085,919	9.4%	
<b>Excess/(Deficiency)</b>	<b>\$ (5,055,986)</b>	<b>\$ (5,561,430)</b>	<b>\$ 505,444</b>	<b>9.1%</b>	<b>\$ (5,100,138)</b>	<b>\$ 44,152</b>	<b>0.9%</b>	
Total State Support	50,319,988	49,917,019	402,969	0.8%	43,745,045	6,574,943	15.0%	
Percent of Total Revenues	20.59%	20.11%	0.00	2.4%	19.40%	0	6.1%	
Total State Support without Fringe Benefits	36,524,154	36,137,667	386,487	1.1%	32,195,192	4,328,962	13.4%	



# University of Connecticut Health Center

## Fiscal Year 2009, for the 4 month period ending October 31, 2008

### KEY DRIVERS

#### Variances from Budget

##### John Dempsey Hospital

Hospital results of operations  
Dental Clinics results of operations  
Subtotal

Month	Year - to - Date
(\$388,000)	\$458,000
315,000	589,000
<u>(73,000)</u>	<u>1,047,000</u>

##### Correctional Managed Health Care

47,000

226,000

##### Faculty Practice Plans

Dental Faculty Practice Plan results of operations  
Dental Implant Center  
UConn Medical Group results of operations

121,000  
(208,000)  
37,000

97,000  
(285,000)  
(230,000)

##### Research

Results of operations

488,000

190,000

##### School of Medicine

##### School of Dental Medicine

##### Institutional Support

##### State Support

(437,000)

12,000

470,000

93,000

(2,532,000)

163,000

1,427,000

403,000

Total

\$550,000

\$506,000



# John Dempsey Hospital (JDH)

## Executive Summary - Highlights

- Year - to - date the actual deficit is favorable to the budget plan by \$458,000.
- The month of October saw a unfavorable budget variance in volume of 7.6 % for inpatient discharges and a 4.9 % decrease in outpatient visits. However, net patient revenue was only 0.5% below budget.
- The unfavorable variance for inpatient cases is caused by a decrease in Medicare case of 7.5% and Managed Care cases of 15%. However, the hospital continues to see an increase in Medicaid cases of another 11%.
- The service line decrease in inpatient cases was from 29 from Ob/Gyn, NICU and Nursery and 13 in psychiatry. This shift has enabled the case mix index to increase to 1.57 for the month of October.
- Expenses are favorable to the budget, and the expense per adjusted discharge is below the budget by 4.1% and above last year by 5.8%.
- Cash collections for the month of October exceeded the same period last year by 25% and year to date, cash collections are ahead of the same four months last year by 7.8%.
- Days revenue in accounts receivable decreased to 49 days in October as compared to 48 at June 2008.



# John Dempsey Hospital (excluding Dental Clinics)

## Results of Operations:

Year-to-date the deficit is \$6.9 million compared to a budgeted deficit of \$7.3 million, for an favorable variance of \$458,000. The deficit for the same period last year was \$6.4 million.

The Month of October shows a deficit of \$1.4 million compared to a budgeted deficit of \$1.0 million, for a unfavorable variance of \$388,000. The deficit for the same period last year was \$669,000.

## Significant Highlights:

**The month**, discharges were below budget 7.6% and below the same month last year by 7.0%. Outpatient visits are below budget 4.9% and above the same month last year by 3.6%.

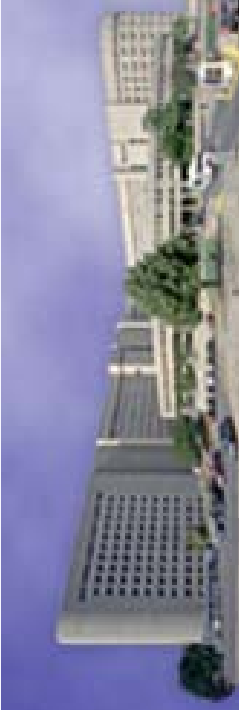
**Year-to-date**, has seen an increase in discharges of .6% compared to last year, however the increase is primarily from Medicaid which increased 62 cases (12%). Outpatient visits are below budget 2.9% however, are above the same period last year by 6.0% .

The items above resulted in net patient revenue having an unfavorable variance of 1.3% to the budget, however net patient revenue is 9.1% above the same period last year.

Expenses tracked the revenue shortfall and were favorable to the budget by 1.4% which resulted in favorable budget variance in the budgeted bottom line.

JOHN DEMPSEY HOSPITAL (Excludes Dental Clinics)  
OPERATING STATEMENT  
OCTOBER 2008

	Year to Date (7/1/08 - 10/31/08)						Prior Year	YTD %	YTD %
	Actual '09	Budget '09	Budget	Variance	YTD	Change	Variance	Change	Change
Gross Inpatient Revenue	\$ 67,408,598	\$ 67,524,392	\$	(115,794)	\$	-0.2%	\$ 5,256,756	8.5%	
Gross Outpatient Revenue	\$ 72,662,028	\$ 67,689,231		4,972,797	\$	7.3%	8,944,378	14.0%	
Total Gross Patient Revenue	140,070,626	135,213,623		4,857,003		3.6%	14,201,134	11.3%	
Provision for Bad Debt	(907,276)	1,657,903		(2,565,179)		-154.7%	(2,261,996)	-167.0%	
Less: Contractual Allowances	64,477,539	56,080,376		8,397,163		15.0%	10,066,922	18.5%	
Net Patient Revenue	76,500,363	77,475,344		(974,981)		-1.3%	6,396,208	9.1%	
Total Other Operating Income	1,358,488	1,166,473		192,015		16.5%	240,871	21.6%	
Total Revenue	77,858,851	78,641,817		(782,966)		-1.0%	6,637,079	9.3%	
Variable Expenses:									
Salaries and Wages	32,283,629	32,579,076		(295,447)		-0.9%	1,802,640	5.9%	
Medical Contractual Support	2,132,318	2,041,902		90,416		4.4%	47,510	2.3%	
Medical/Dental House Staff	3,906,438	4,350,833		(444,395)		-10.2%	442,847	12.8%	
Outside Agency Per Diems	423,461	401,143		22,318		5.6%	62,441	17.3%	
Drugs	5,640,881	5,141,216		499,665		9.7%	668,522	13.4%	
Medical Supplies	10,017,271	11,084,899		(1,067,628)		-9.6%	1,290,769	14.8%	
Outside & Other Purchased Services	8,747,551	8,852,735		(105,184)		-1.2%	1,015,046	13.1%	
Other Expenses	898,131	927,132		(29,001)		-3.1%	(48,028)	-5.1%	
Total Variable Expenses	64,049,680	65,378,936		(1,329,256)		-2.0%	5,281,747	9.0%	
Fixed Expenses									
Fringe Benefits	13,272,246	13,131,672		140,574		1.1%	791,595	6.3%	
Utilities	1,393,095	1,319,575		73,520		5.6%	270,521	24.1%	
Insurance	978,659	972,020		6,639		0.7%	(19,157)	-1.9%	
Repairs & Maintenance	1,546,193	1,767,528		(221,335)		-12.5%	209,931	15.7%	
Depreciation Expense	3,481,467	3,392,700		88,767		2.6%	607,030	21.1%	
Total Fixed Expenses	20,671,660	20,583,495		88,165		0.4%	1,859,920	9.9%	
Total Operating Expenses	84,721,340	85,962,431		(1,241,091)		-1.4%	7,141,667	9.2%	
Net Operating Gain (Loss)	\$ (6,862,489)	\$ (7,320,614)	\$	458,125	\$	-6.3%	(504,588)	-7.9%	
Discharges	3,330	3,362		(32)		-1.0%	3,309	0.6%	
JDH - Outpatient Visits	99,844	102,774		(2,930)		-2.9%	5,587	5.9%	
Adjusted Discharges	6,920	6,732		187		2.8%	218	3.3%	
Inpatient Gross Charge per Discharge	\$ 20,243	\$ 20,085		158		0.8%	1,460	7.8%	
Outpatient Gross Charge per Visit	\$ 728	\$ 659		69		10.5%	52	7.7%	
Net Revenue Per Adjusted Discharge	\$ 11,056	\$ 11,508		(452)		-3.9%	595	5.7%	
Realization Rate	54.62%	57.30%		-2.68%		-4.7%	-1.08%	-1.9%	
Case Mix Index	1.4760	1.4421		0.03		2.4%	0.08	6.0%	
Total Expense Per Adjusted Discharge	\$ 12,244	\$ 12,769		(525)		-4.1%	667	5.8%	
Variable Expense per Adjusted Discharge	\$9,256	\$9,711		(455)		-4.7%	487	5.6%	
Fixed Expense per Adjusted Discharge	\$2,987	\$3,057		(70)		-2.3%	180	6.4%	
FTE's	1,461	1,452		9		0.6%	44	3.1%	
FTE's per Adjusted Occupied Bed	4.40	4.34		0.06		1.4%	0.16	3.8%	
Total Expense Per Adjusted Discharge (CMI Adj)	8,295.27	8,854.33		(559)		-6.3%	(21)	-0.2%	
FTE's per Adjusted Occupied Bed (CMI Adj)	2.98	3.01		(0.03)		-0.9%	(0.06)	-2.1%	



# John Dempsey Hospital

## Volume:

Year-to-date, inpatient discharges are unfavorable to the budget plan by 32 cases (1.1%) and are above last year by 21 cases (0.6%).

Year-to-date, outpatient visits are unfavorable to the budget plan by 2,930 cases (2.9%) and are above last year by 5,587 cases (5.9%).

The key drivers for inpatient volume year-to-date are: (see next page, Discharges by Service)

- Cardiology is 8 cases above budget and 72 cases above last year
- OB/GYN; Newborn and NICU are 48 cases above budget and 2 below last year
- Psychiatry is below budget 30 cases and below last year 68 cases

The key drivers for outpatient volume year-to-date are:

- Compared to Budget – Areas that are below the budget are ;Outpatient surgery (2.7%) , Emergency Department (6%); MRI (5%) – Areas that are above budget are Cardiology related tests (EKG's – 28%; EP Lab – 37%); Physical Therapy 17%; Laboratory 5%

The Month of October shows inpatient discharges unfavorable to the budget plan by 66 cases (7.6%) and above last October by 60 cases (7.0%).

The Month of October shows outpatient visits unfavorable to the budget plan by 1,380 visits (4.9%) and above last October by 931 visits (3.6%).



John Dempsey Hospital														
Discharges by Service														
Oct / Oct YTD FY08/09														
Prod Line	October Actual	October Budget	Act/Bud Var	Act/Bud %Var	October Prior Yr	Act/PY Var	Act/PY %Var	YTD Actual	YTD Budget	Act/Bud Var	Act/Bud %Var	YTD Prior Yr	Act/PY Var	Act/PY %Var
CARDIOLOGY	102	97	5	6%	81	21	26%	393	376	17	4%	328	65	20%
CARDIOTHORACIC SURG	10	13	-3	-23%	10	0	0%	41	50	-9	-18%	34	7	21%
DENTAL/ORAL SURGERY	0	1	-1	-100%	1	-1	-100%	5	5	0	-1%	7	-2	-29%
DERMATOLOGY	1	1	0	-27%	1	0	0%	8	5	3	49%	5	3	60%
GASTROENTEROLOGY	47	53	-6	-12%	51	-4	-8%	192	207	-15	-7%	207	-15	-7%
GYNECOLOGY	17	23	-6	-27%	22	-5	-23%	104	91	13	14%	86	18	21%
HIV	3	3	0	-3%	2	1	50%	4	12	-8	-67%	13	-9	-69%
MED ONCOLOGY	20	21	-1	-4%	21	-1	-5%	61	81	-20	-25%	81	-20	-25%
MEDICINE GENERAL	135	152	-17	-11%	157	-22	-14%	569	592	-23	-4%	580	-11	-2%
NEONATOLOGY	48	53	-5	-9%	55	-7	-13%	208	205	3	1%	222	-14	-6%
NEUROLOGY	21	22	-1	-4%	25	-4	-16%	84	85	-1	-1%	78	6	8%
NEUROSURGERY	49	43	6	13%	39	10	26%	184	169	15	9%	149	35	23%
NORMAL NEWBORNS	23	29	-6	-20%	31	-8	-26%	129	112	17	15%	127	2	2%
OBSTETRICS	60	72	-12	-17%	65	-5	-8%	297	282	15	5%	305	-8	-3%
OPHTHALMOLOGY	1	0	1	190%	0	1	0%	1	1	0	-25%	0	1	0%
ORTHOPEDICS	72	75	-3	-4%	70	2	3%	286	292	-6	-2%	279	7	3%
OTOLARYNGOLOGY	11	12	-1	-11%	8	3	38%	62	48	14	29%	28	34	121%
PEDIATRICS	-	1	-1	-100%	-	0	0%	0	4	-4	-100%	6	-6	-100%
PLASTIC SURGERY	8	4	4	98%	5	3	60%	21	16	5	33%	16	5	31%
PSYCHIATRY	68	81	-13	-16%	95	-27	-28%	287	317	-30	-10%	355	-68	-19%
RHEUMATOLOGY	3	3	0	16%	1	2	200%	13	10	3	29%	11	2	18%
SUBSTANCE ABUSE	7	4	3	66%	6	1	17%	20	16	4	22%	19	1	5%
SURG ONCOLOGY	4	5	-1	-23%	5	-1	-20%	19	20	-1	-6%	13	6	46%
SURGERY GENERAL	53	56	-3	-5%	70	-17	-24%	202	217	-15	-7%	238	-36	-15%
TRAUMA	6	2	4	168%	6	0	0%	15	9	6	72%	13	2	15%
UROLOGY	11	14	-3	-23%	12	-1	-8%	51	55	-4	-8%	48	3	6%
VASCULAR SURGERY	14	16	-2	-10%	12	2	17%	57	61	-4	-6%	49	8	16%
VENTILATOR SUPPORT	3	6	-3	-46%	6	-3	-50%	17	22	-5	-22%	12	5	42%
Grand Total	797	863	-66	-8%	857	-60	-7%	3,330	3,362	-32	-1%	3,309	21	1%



JOHN DEMPSEY HOSPITAL

Percent Occupancy		October						June 2008	
Public Service		July		August		September		October	
	Beds							YTD	Prior Year
Psychiatry	34	66.2%	58.1%	65.0%	68.8%	64.5%	83.6%	83.0%	73.2%
OB/GYN	20	60.0%	61.8%	76.5%	52.1%	62.5%	66.4%	65.4%	71.7%
NICU	40	85.7%	82.8%	79.5%	74.0%	80.5%	79.0%	78.7%	78.5%
Nursery	10	76.5%	80.3%	86.0%	68.7%	77.8%	85.8%	87.8%	84.9%
Corrections (med/surg 5)	12	47.8%	57.0%	68.6%	51.9%	56.2%	57.0%	55.5%	66.5%
Totals	116	70.9%	69.0%	74.2%	66.0%	70.0%	76.5%	76.1%	75.1%

Medical Surgical									
Cardiac Unit	14	81.8%	71.9%	81.0%	71.4%	76.5%	75.3%	71.6%	69.9%
ICU	15	72.5%	65.4%	78.2%	76.1%	73.0%	65.0%	63.5%	65.1%
Medical 4th Floor	29	81.1%	70.6%	82.4%	71.0%	76.2%	79.9%	79.3%	80.3%
Oncology 6	22	72.1%	73.0%	65.0%	61.3%	67.9%	68.6%	68.0%	67.3%
Surgery 7	28	69.7%	67.4%	74.9%	70.6%	70.6%	71.0%	68.4%	72.3%
Totals	108	75.2%	69.7%	76.1%	69.7%	72.7%	72.6%	71.0%	72.1%

Totals	224	73.0%	69.4%	75.1%	67.8%	71.3%	74.6%	73.6%	73.7%
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# John Dempsey Hospital

## Net Revenue:

Year-to-date, total net patient revenue is unfavorable to the budget plan by \$975,000 (1.3%) and is above last year by \$6.4 million (9.1%).

The Month of October shows net revenue unfavorable to the budget plan by \$106,000 (0.5%) and is above last year by \$391,000 (2.0%) . Case mix increased to 1.57 compared to a budget of 1.44 and same month last year 1.48.

The key drivers for net revenue are:

- Compared to Budget		
•	Inpatient discharges are below budget 32 cases (1.0%) -	\$ (400,000)
•	Outpatient visits are below budget 2,930 cases (2.9%)-	(800,000)
- Compared to Last Year		
•	Outpatient visits are above last year by 5,587 visits (6.0%)	\$ 1,700,000
•	Inpatient discharges are above last year 21 cases (0.6%)	300,000
•	Net Revenue per adjusted discharge is above last year by \$595 (7.1%) which creates a favorable price variance.	4,400,000



# John Dempsey Hospital

## Net Revenue: (Payer Mix)

The significant year-to-date variances for inpatient payer mix:

- Compared to Budget
  - Medicare discharges are below the budgeted plan by 3 cases (0.2%)
  - Medicaid discharges are ahead of the budgeted plan by 50 cases (9.3%)
  - Managed Care discharges are slightly below budget 63 cases (5.0%)
  - Correctional Managed Health Care is below the budgeted plan by 17 cases (13.0%)
- Compared to the same period last year
  - Medicare discharges are above last year by 17 cases (1.3%)
  - Medicaid discharges are above last year by 62 cases (11.7%)
  - Managed Care discharges are below last year by 42 cases (3.4%)
  - Correctional Managed Health Care is below last year by 15 cases (11.8%)

## The Month of October :

**Medicare** discharges were below budget 27 cases and below the same month last year by 24 cases. The month to month budget variances are not consistent ; July was over budget 22 cases, August was under budget 12 cases, and September was over budget 13 cases. The comparison to the same period last year also provides a inconsistent pattern ; July was ahead of last year by 30 cases, August was below last year 19 cases, and September was above last year by 30 cases.

**Medicaid** discharges were over budget 15 cases and above the same month last year by 16 cases. The month to month budget variances are not consistent ; July was over budget 11 cases, August was over budget 28 cases, and September was under budget 3 cases. The comparison to the same period last year also provides a inconsistent pattern ; July was ahead of last year by 15 cases, August was ahead of last year 256 cases, and September was ahead of last year by 5 cases.

John Dempsey Hospital  
Payor Mix Analysis

Inpatient Discharge Summary															
Cases	Month			Month			Month			Year-to-Date					
	June 2008 YTD	Actual	Budget	Variance	Percent	Prior Year	Variance	Percent	Actual	Budget	Variance	Percent	Prior Year	Variance	Percent
Medicare	3,861	335	362	(27)	-7.5%	359	(24)	-6.7%	1,314	1,317	(3)	-0.2%	1,297	17	1.3%
Medicaid	1,684	154	139	15	10.8%	138	16	11.6%	593	543	50	9.3%	531	62	11.7%
Managed Care (HMO/PPO)	3,513	269	318	(49)	-15.4%	315	(46)	-14.6%	1,198	1,261	(63)	-5.0%	1,240	(42)	-3.4%
Commercial	47	3	5	(2)	-40.0%	5	(2)	-40.0%	16	20	(4)	-21.5%	21	(5)	-23.8%
Workers Comp	77	6	4	2	50.0%	4	2	50.0%	23	21	2	7.7%	20	3	15.0%
Correctional Managed Health Care	369	22	21	1	4.8%	21	1	4.8%	112	129	(17)	-13.0%	127	(15)	-11.8%
Self Pay	113	4	6	(2)	-33.3%	6	(2)	-33.3%	27	33	(6)	-18.6%	33	(6)	-18.2%
TRICARE (formerly CHAMPUS)	57	0	2	(2)	-100.0%	2	(2)	-100.0%	28	21	7	30.3%	21	7	33.3%
Other	55	4	6	(2)	-33.3%	7	(3)	-42.9%	19	16	3	15.9%	19	0	0.0%
Total	9,776	797	863	(66)	-7.6%	857	(60)	-7.0%	3,330	3,362	(32)	-1.0%	3,309	21	0.6%
Percent	Month			Month			Month			Year-to-Date			Year-to-Date		
	June 2008	Actual	Budget	Variance	Percent	Prior Year	Variance	Percent	Actual	Budget	Variance	Percent	Prior Year	Variance	Percent
Medicare	39.49%	42.03%	41.95%	0.09%	41.89%	0.14%	0.14%	2.54%	39.46%	39.18%	0.28%	0.28%	39.20%	0.26%	-0.04%
Medicaid	17.23%	19.32%	16.11%	3.22%	16.10%	3.22%	3.22%	2.10%	17.81%	16.14%	1.67%	1.67%	16.05%	1.76%	0.58%
Managed Care (HMO/PPO)	35.93%	33.75%	36.85%	-3.10%	36.76%	-3.00%	-3.00%	-2.18%	35.98%	37.50%	-1.53%	-1.53%	37.47%	-1.50%	0.04%
Commercial	0.48%	0.38%	0.58%	-0.20%	0.58%	-0.20%	-0.21%	-0.10%	0.48%	0.61%	-0.13%	-0.13%	0.63%	-0.15%	0.00%
Workers Comp	0.79%	0.75%	0.46%	0.29%	0.47%	0.29%	0.29%	-0.03%	0.69%	0.64%	0.06%	0.06%	0.60%	0.09%	-0.10%
Correctional Managed Health Care	3.77%	2.76%	2.43%	0.33%	2.45%	0.31%	0.31%	-1.01%	3.36%	3.83%	-0.46%	-0.46%	3.84%	-0.47%	-0.41%
Self Pay	1.16%	0.50%	0.70%	-0.19%	0.70%	-0.20%	-0.20%	-0.65%	0.81%	0.99%	-0.18%	-0.18%	1.00%	-0.19%	-0.35%
TRICARE (formerly CHAMPUS)	0.58%	0.00%	0.23%	-0.23%	0.23%	-0.23%	-0.23%	-0.58%	0.84%	0.64%	0.20%	0.20%	0.63%	0.21%	0.26%
Other	0.56%	0.50%	0.70%	-0.19%	0.82%	-0.19%	-0.31%	-0.06%	0.57%	0.49%	0.08%	0.08%	0.57%	0.00%	0.01%
Total	100.00%	100.00%	100.00%		100.00%				100.00%	100.00%			100.00%		
Medicaid & Self Pay	18.38%	19.82%	16.80%	3.02%	16.80%	3.02%	3.02%	1.44%	18.62%	17.12%	1.50%	1.50%	17.04%	1.57%	0.24%

John Dempsey Hospital  
Payor Mix Analysis

Outpatient Visits Summary

Cases

	June 2008 YTD	Month			Month			Year-to-Date			Year-to-Date		
		Actual	Budget	Variance	Percent	Prior Year	Variance	Percent	Actual	Budget	Variance	Percent	Variance
Medicare	78,124	7,730	7,870	(140)	-1.8%	7,236	494	6.8%	28,591	28,742	(151)	-0.5%	2,229
Medicaid	38,781	3,768	3,688	80	2.2%	3,404	364	10.7%	13,764	13,724	40	0.3%	1,164
Managed Care (HMO/PPO)	151,521	13,831	15,115	(1,284)	-8.5%	13,861	(30)	-0.2%	51,637	54,432	(2,795)	-5.1%	1,727
Commercial	1,756	194	184	10	5.7%	165	29	17.6%	633	648	(15)	-2.3%	43
Workers Comp	2,564	178	268	(90)	-33.5%	249	(71)	-28.5%	853	947	(94)	-9.9%	(20)
Correctional Managed Health Care	2,744	314	243	71	29.2%	224	90	40.2%	1,162	878	284	32.4%	358
Self Pay	5,042	557	501	56	11.2%	444	113	25.5%	1,973	1,937	36	1.9%	215
TRICARE (formerly CHAMPUS)	1,610	162	157	5	3.5%	145	17	11.7%	621	585	36	6.2%	83
Other	2,580	157	245	(88)	-36.0%	228	(71)	-31.1%	614	884	(270)	-30.5%	(205)
Total	284,722	26,891	28,270	(1,379)	-4.9%	25,956	935	3.6%	99,948	102,776	(2,828)	-2.8%	5,594

Percent

	June 2008	Month			Variance	June 2008			Variance
		Actual	Budget	Variance		Actual	Budget	Variance	
Medicare	27.44%	28.75%	27.84%	0.91%		28.63%	27.97%	0.67%	
Medicaid	13.62%	14.01%	13.05%	0.97%		13.78%	13.35%	0.43%	
Managed Care (HMO/PPO)	53.22%	51.43%	53.47%	-2.03%		51.72%	52.96%	-1.25%	
Commercial	0.62%	0.72%	0.65%	0.07%		0.63%	0.63%	0.00%	
Workers Comp	0.90%	0.66%	0.95%	-0.29%		0.85%	0.92%	-0.07%	
Correctional Managed Health Care	0.96%	1.17%	0.86%	0.31%		1.16%	0.85%	0.31%	
TRICARE (formerly CHAMPUS)	1.77%	2.07%	1.77%	0.30%		1.98%	1.88%	0.09%	
Self Pay	0.57%	0.60%	0.55%	0.05%		0.62%	0.57%	0.05%	
Other	0.91%	0.58%	0.87%	-0.28%		0.61%	0.86%	-0.25%	
Total	100.00%	100.00%	100.00%			100.00%	100.00%		

Medicaid & Self Pay

	14.19%	16.08%	14.82%	1.27%		15.76%	15.24%	0.52%		15.23%	0.53%	1.57%
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# John Dempsey Hospital

## Expenses:

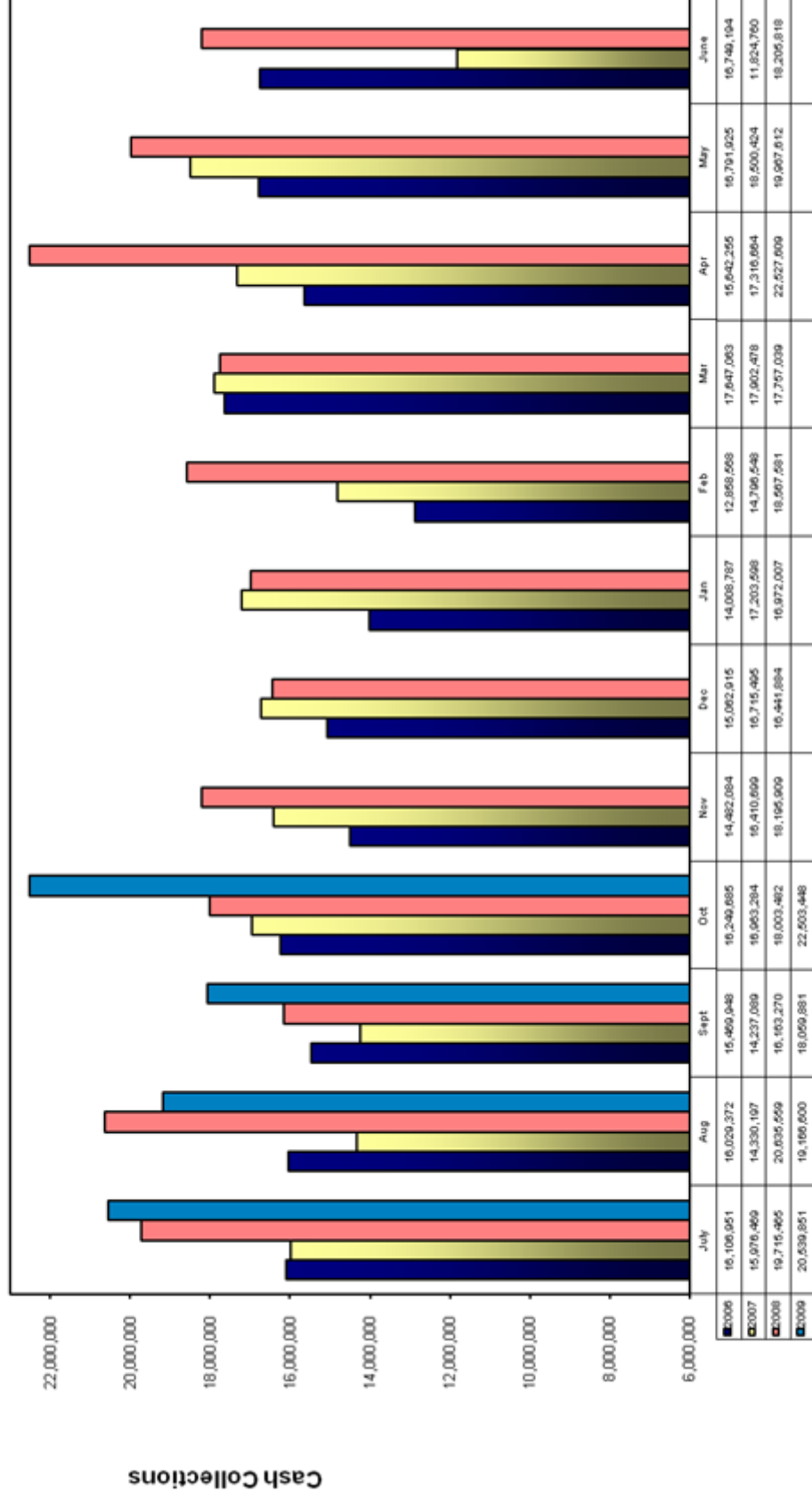
Year-to-date, total expense is favorable to the budget plan by \$1.24 million (1.4%) and is above last year by \$7.1 million (9.2%).

The Month of October shows expenses unfavorable to the budget plan by \$388,000 (1.6%) and is above the same month last year by \$1.3 million (6.3%).

The key drivers for expenses year-to-date are:

- Salaries and fringe benefits are favorable to the budget by 1.3%  
(FTE's per adjusted occupied bed when Case mix adjusted is on budget) 155,000
- Medical Supplies favorable to the budget by 9.6% 1,067,000
- Drugs are unfavorable to the budget by 9.7% (499,000)  
(This is due to patients requiring hemophilia clotting factors that are paid by the insurers)
- Medical Dental Staff is favorable to the budget due to not billing for 15% Indirect Expenses 444,000

# John Dempsey Hospital/Dental Clinics Patient Cash Collections by Month



2009

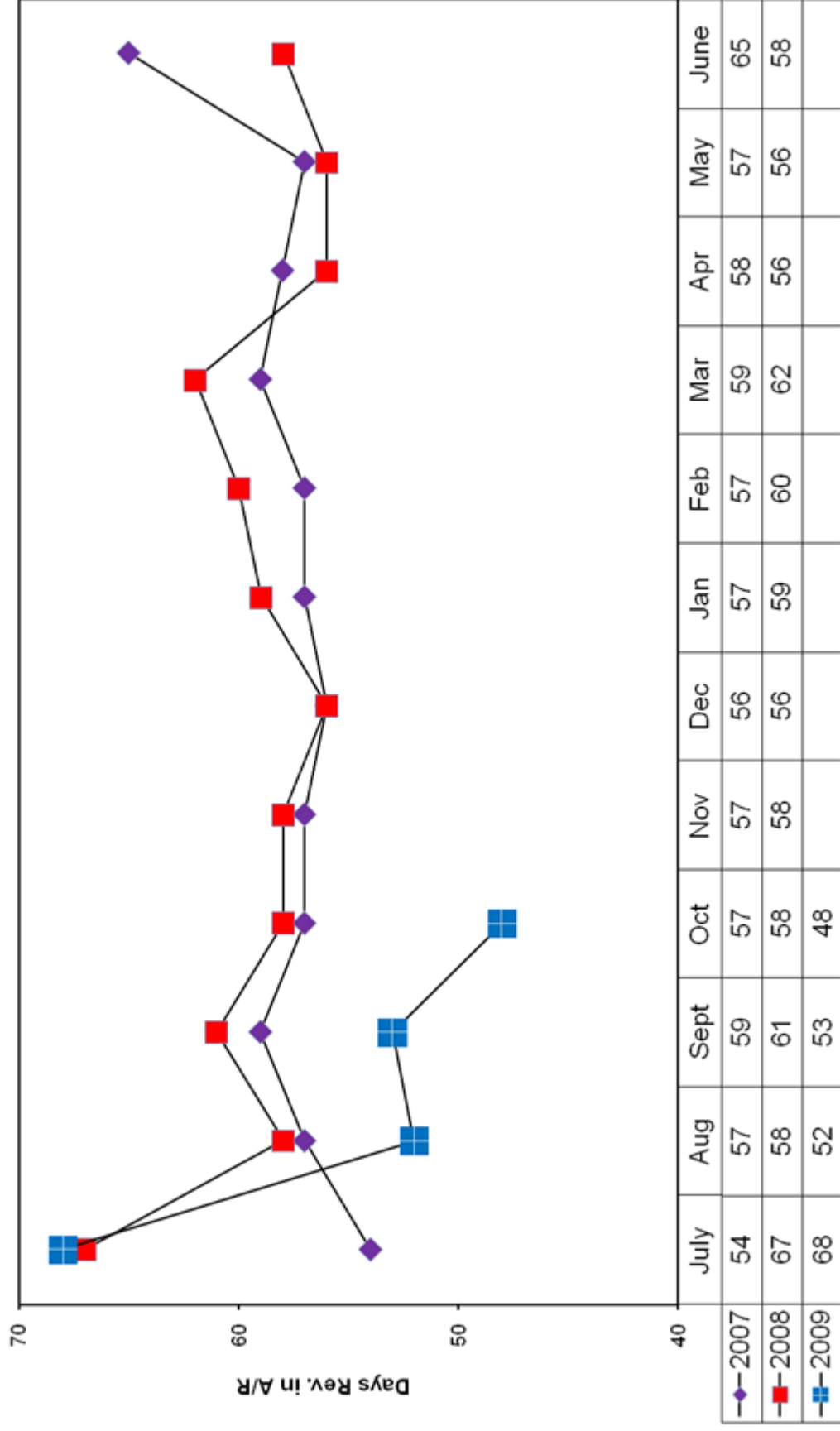
2008

2007

2006

# John Dempsey Hospital/Dental Clinics

## Days Revenue in Accounts Receivable by Month







# UConn Medical Group (UMG)

## Executive Summary - Highlights

- Year - to - date the actual deficit is unfavorable to the budget plan by \$230,000. The primary reason for the unfavorable variance is that visits are below budget by 2.0%.
- The unfavorable variance for visits for the month of October represented 51% of the YTD variance and was below last October by 0.5%.
- Net Revenue per visit is above the budget by 1.6% and above last year by 4.3%.
- While expenses are essentially on budget, the expense per visit is above the budget by 2.4% and above last year by 7.6%.
- Cash collections for the month of October exceeded the same period last year by 21.6% and year to date, cash collections are ahead of the same four months last year by 13.2%. Net revenue has increased 5.6% from last year
- Days revenue in accounts receivable decreased to 54 days in October as compared to 60 at June 2008.



# UConn Medical Group

## Results of Operations

Year-to-date the deficit is \$2.4 million compared to a budgeted deficit of \$2.2 million, for an unfavorable variance of \$230,000. The deficit for the same period last year was \$1.4 million a unfavorable change of \$1.1 million

The Month of October shows a deficit of \$109,000 compared to a budgeted deficit of \$146,000, for a favorable variance of \$37,000.

The drivers for the year-to-date deficit are:

- Visits are under budget 3,857 cases (2.0%). The volume variance for net patient revenue is valued at \$595,000.
- Anesthesiology is unfavorable to the budget plan by \$343,000.
- Expenses are over budget \$101,000, all of which is Physician expense.

# UConn Medical Group

## Key Performance Indicators

### Includes Anesthesiology

Description of Key Indicator	Year - to - Date				
	Actual	Budget	Variance	Percent	Actual
	OCT 2008	FY 2009			OCT 2007
<b>Volume:</b>					
Unique Visits	187,153	191,010	(3,857)	-2.02%	182,182
Visits, Consults & Procedures Invoices	173,084	177,344	(4,260)	-2.40%	166,298
Other Services Invoices	88,362	91,028	(2,666)	-2.93%	86,167
Total Invoices	261,446	268,372	(6,926)	-2.58%	252,465
Invoices per Unique Visit	1.40	1.41	-0.01	-0.57%	1.39
Physician Worked RVU's	288,423	294,541	(6,118)	-2.08%	274,465
RVU's per Visit	1.54	1.54	(0.00)	-0.06%	1.51
<b>Revenues:</b>					
Total Gross Revenues	66,024,876	64,015,087	2,009,789	3.14%	57,995,309
Total Net Revenues	29,327,259	29,456,334	(129,075)	-0.44%	27,382,661
<b>Expenses:</b>					
Total Direct Expenses	9,430,754	9,403,086	27,668	0.29%	8,423,167
Total Indirect Expenses	6,595,403	6,649,240	(53,837)	-0.81%	6,436,207
Total Physician Expenses	15,750,213	15,623,391	126,822	0.81%	13,876,204
Total Expenses	31,776,370	31,675,717	100,653	0.32%	28,735,578
Excess/ (Deficiency)	(2,449,111)	(2,219,383)	(229,728)	-10.35%	(1,352,917)
<b>Per Unit Indicators:</b>					
Gross Charge per Unique Visit	352.79	335.14	17.65	5.27%	318.34
Gross Charge per Invoice	252.54	238.53	14.01	5.87%	229.72
Gross Charge per RVU	228.92	217.34	11.58	5.33%	211.30
Net Revenue per Unique Visit	156.70	154.21	2.49	1.61%	150.30
Net Revenue per Invoice	112.17	109.76	2.41	2.20%	108.46
Net Revenue per RVU	101.68	100.01	1.67	1.67%	99.77
Expense per Unique Visit	169.79	165.83	3.96	2.39%	157.73
Expense per Invoice	121.54	118.03	3.51	2.98%	113.82
Expense per RVU	110.17	107.54	2.63	2.45%	104.70



# UConn Medical Group

## Volume:

Year-to-date, visits are unfavorable to the budget plan by 3,857 cases (2.0%) and are above last year by 4,971 visits (2.7%).

The results without Anesthesiology shows that visits are unfavorable to the budget plan by 4,352 cases (2.3%) and above last year by 4,343 visits (2.4%).

The Month of October shows visits unfavorable to the budget plan by 1,989 (3.8%) and below last October by 276 visits (0.5%).

The results without Anesthesiology is that the visits for the month are unfavorable to the budget plan by 2,093 (4.1%) and below last October by 255 visits (0.5%).

The key drivers for volume are:

- Budget
  - Primary care visits are below budget 2,529 cases (13.0%)
  - The departments with significant unfavorable budget variances are Radiology (6.9%), Psychiatry (53%).
  - The only departments with a significant favorable budget variance was Cardiology (32%), Dermatology (8%)
- Prior Year.
  - The departments with significant increase in visits from the prior year are Cardiology (44%), Ophthalmology (8%), Orthopedics (15%), Cancer Center (22%), and Radiology (8%).
  - The departments with significant decreases in visits from the prior year are Primary Care (8%), and Psychiatry (38%)

# UConn Medical Group

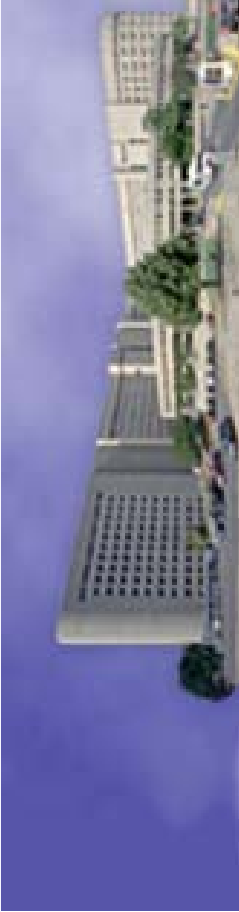
Encounter Analysis by Module - Comparison to the same period last year  
For the months ended September 2009 & 2008

Dept	September FY 2009		September FY 2008		September FY 2008		Month FY09 vs FY08		Month Encounter % Change		YTD FY09 vs FY08		YTD Encounter % Change	
	Encounters	YTD	Encounters	Month	Encounters	YTD	Encounters	Month	Encounter % Change	Encounters	Month	Encounter % Change	Encounters	Month
46,884	136,222	42,178	131,411	4,706	11.16%	4,811	3.66%							
ENT	1,731	5,008	1,420	5,132	311	21.88%	-124	-2.41%						
TASTE AND SMELL	33	81	21	81	12	55.79%	0	0.54%						
NEUROSURGERY	600	1,600	507	1,601	93	18.44%	-1	-0.05%						
OPHTHALMOLOGY	1,310	4,046	1,084	3,743	226	20.82%	303	8.10%						
SURGERY	619	2,007	556	1,909	63	11.42%	98	5.15%						
REI/IVF	21	67	21	62	0	0.51%	5	8.36%						
ORTHOPAEDICS	3,135	9,001	2,548	7,822	587	23.06%	1,179	15.08%						
OCCUPATIONAL MEDICINE	225	622	195	718	30	15.27%	-96	-13.38%						
HOLFELDER CENTER	698	2,148	797	2,309	-99	-12.40%	-161	-6.97%						
OB/GYN	1,018	3,017	916	2,709	102	11.14%	308	11.37%						
NEUROLOGY	705	2,069	672	2,022	33	4.90%	47	2.35%						
RHEUMATOLOGY	689	1,675	482	1,524	207	42.97%	151	9.88%						
NEPHROLOGY	440	1,686	606	1,733	-166	-27.38%	-47	-2.73%						
DERMATOLOGY	3,458	10,156	3,208	10,224	250	7.80%	-68	-0.67%						
DERMATOPATHOLOGY	3,445	9,756	3,150	9,893	295	9.36%	-137	-1.38%						
INTERNAL MEDICINE ASSOCIATES	2,542	7,422	2,335	8,004	207	8.86%	-582	-7.27%						
GENERAL MEDICINE	519	1,492	543	1,279	-24	-4.38%	213	16.68%						
SIMSBURY-IMA	844	2,095	794	2,697	50	6.24%	-602	-22.32%						
GERIATRICS	680	2,052	743	2,328	-63	-8.53%	-276	-11.85%						
ENDO/OSTEO-MARB	532	1,437	389	1,169	143	36.74%	268	22.96%						
ENDO/OSTEO-DOWLING	237	696	203	724	34	16.64%	-28	-3.91%						
GASTROENTEROLOGY	591	1,672	419	1,418	172	41.05%	254	17.95%						
UROLOGY	583	1,681	411	1,308	172	41.77%	373	28.52%						
INFECTIOUS DISEASES	229	760	280	1,089	-51	-18.21%	-329	-30.17%						
CARDIOLOGY	3,044	8,510	2,250	5,899	794	35.30%	2,611	44.25%						
PULMONARY	589	1,628	477	1,502	112	23.45%	126	8.37%						
UNIVERSITY CANCER CENTER	1,647	4,977	1,312	4,074	335	25.56%	903	22.16%						
CANCER PREVENTION PROGRAM	137	418	91	334	46	50.76%	84	25.26%						
PSYCHIATRY	603	2,058	975	3,345	-372	-38.20%	-1,287	-38.47%						
PEDIATRICS	1,199	3,877	1,373	3,852	-174	-12.68%	25	0.64%						
RADIOLOGY	6,402	18,118	5,516	16,732	886	16.05%	1,386	8.29%						
ORAL PATHOLOGY LABORATORY	367	1,001	325	1,043	42	13.00%	-42	-4.06%						
PATHOLOGY	1,075	3,010	844	2,584	231	27.41%	426	16.49%						
MATERNAL FETAL AT NBGH	179	585	177	611	2	1.36%	-26	-4.20%						
ADLT INTENSIVE CARE SERV	300	853	238	662	62	25.93%	191	28.86%						
LABORATORY MEDICINE	1	3	1	3	0	5.53%	0	1.79%						
ANESTHESIOLOGY	1,120	3,216	827	2,567	293	35.43%	649	25.28%						
OTHER	91	440	235	550	-144	-61.38%	-110	-20.04%						
UCHP-INTERNAL MEDICINE	2,114	5,795	1,859	5,916	255	13.74%	-121	-2.05%						
UCHP-PEDIATRY	283	964	318	1,065	-35	-11.06%	-101	-9.48%						
UCHP-OB/GYN	665	1,919	798	2,347	-133	-16.64%	-428	-18.24%						
UCHP-PEDIATRICS	1,380	3,842	1,284	3,952	96	7.50%	-110	-2.79%						
UCHP-DERMATOLOGY	803	2,760	978	2,875	-175	-17.88%	-115	-4.01%						

# UConn Medical Group

Encounter Analysis by Module - Budget Comparison  
For the months ended September 2009 & 2008

Dept	MONTH			Year-To-Date			
	Sept FY09 Actual Encounters	Sept FY09 Budget Encounters	Sept FY09 Act vs Bud Encounters	Sept FY09 Actual Encounters	Sept FY09 Budget Encounters	Sept FY09 Act vs Bud Encounters	Encounter % Change
	46,884	45,515	1,369	136,222	138,527	-2,305	-1.66%
ENT	1,731	1,609	122	5,008	4,900	108	2.21%
TASTE AND SMELL	33	27	6	81	82	-1	-0.69%
NEUROSURGERY	600	526	74	1,600	1,598	2	0.14%
OPHTHALMOLOGY	1,310	1,224	86	4,046	3,728	318	8.54%
SURGERY	619	624	-5	2,007	1,903	104	5.49%
REI/IVF	21	24	-3	67	72	-5	-6.69%
ORTHOPAEDICS	3,135	2,918	217	9,001	8,894	107	1.21%
OCCUPATIONAL MEDICINE	225	247	-22	622	755	-133	-17.62%
HOLFELDER CENTER	698	185	513	2,148	560	1,588	283.59%
OB/GYN	1,018	1,083	-65	3,017	3,297	-280	-8.49%
NEUROLOGY	705	750	-45	2,069	2,281	-212	-9.27%
RHEUMATOLOGY	689	505	184	1,675	1,541	134	8.66%
NEPHROLOGY	440	568	-128	1,686	1,729	-43	-2.50%
DERMATOLOGY	3,458	3,138	320	10,155	9,560	595	6.23%
DERMATOPATHOLOGY	3,445	2,986	459	9,757	9,094	663	7.29%
INTERNAL MEDICINE ASSOCIATES	2,542	2,680	-138	7,422	8,160	-738	-9.05%
GENERAL MEDICINE	519	880	-361	1,492	2,682	-1,190	-44.36%
SIMSBURY-IMA	843	722	121	2,095	2,198	-103	-4.69%
GERIATRICS	680	796	-116	2,052	2,424	-372	-15.34%
ENDO/OSTEO-MARB	532	465	67	1,437	1,415	22	1.58%
ENDO/OSTEO-DOWLING	237	309	-72	696	945	-249	-26.39%
GASTROENTEROLOGY	591	860	-269	1,672	2,622	-950	-36.21%
UROLOGY	583	473	110	1,681	1,440	241	16.74%
INFECTIOUS DISEASES	229	353	-124	760	1,076	-316	-29.33%
CARDIOLOGY	3,044	2,120	924	8,510	6,454	2,056	31.85%
PULMONARY	589	611	-22	1,628	1,859	-231	-12.44%
UNIVERSITY CANCER CENTER	1,647	1,414	233	4,977	4,310	667	15.47%
CANCER PREVENTION PROGRAM	137	151	-14	418	461	-43	-9.25%
PSYCHIATRY	603	1,449	-846	2,058	4,416	-2,358	-53.39%
PEDIATRICS	1,199	1,184	15	3,877	3,604	273	7.57%
RADIOLOGY	6,402	6,421	-19	18,118	19,461	-1,343	-6.90%
ORAL PATHOLOGY LABORATORY	367	318	49	1,001	970	31	3.16%
PATHOLOGY	1,075	1,069	6	3,010	3,255	-245	-7.52%
MATERNAL FETAL AT NBGH	179	189	-10	585	575	10	1.79%
ADLT INTENSIVE CARE SERV	300	318	-18	853	963	-110	-11.42%
LABORATORY MEDICINE	1	1	0	3	3	0	1.79%
ANESTHESIOLOGY	1,120	943	177	3,216	2,872	344	11.98%
OTHER.	91	57	34	440	171	269	157.17%
UCHP-INTERNAL MEDICINE	2,114	2,066	48	5,795	6,293	-498	-7.92%
UCHP-PODIATRY	283	308	-25	964	937	27	2.88%
UCHP-OB/GYN	665	676	-11	1,919	2,059	-140	-6.80%
UCHP-PEDIATRICS	1,380	1,274	106	3,842	3,881	-39	-1.01%
UCHP-DERMATOLOGY	803	994	-191	2,760	3,027	-267	-8.83%



# UConn Medical Group

## Net Revenue:

Year-to-date, total net revenue is unfavorable to the budget plan by \$129,000 (0.4%) and is above last year by \$1.9 million (7.1%).

The results without Anesthesiology shows that revenue is favorable to the budget plan by \$138,000 (0.5%) and is above last year by \$2.0 million (7.9%).

The Month of October shows net revenue favorable to the budget plan by \$338,000 (4.3%) and is above the same month last year by 932,000 (12.9%).

The results without Anesthesiology is that net revenue for the month is favorable to the budget plan by \$329,000 (4.6%) and is above the same month last year by \$562,000 (8.0%)

The key drivers for year – to – date net revenue are:

- Anesthesiology below the budgeted plan by \$267,000, however, is on budget for the month of October.
- Visits being under budget 3,857 cases (2.0%) – Volume variance valued at \$ 595,000
- Compared to Budget – Price Variance is favorable to the budget by \$ 466,000 and Volume Variance is an unfavorable \$ 595,000. The net revenue per unit was \$2.49 higher than what was budgeted.
- Compared to Last Year – Price Variance is favorable by \$ 1,197,000 and the Volume Variance is favorable \$ 747,000. The net revenue per unit was \$6.40 (4.3%) higher than the same period last year.

# UConn Medical Group

FOR YEAR ENDED JUNE 30, 2009

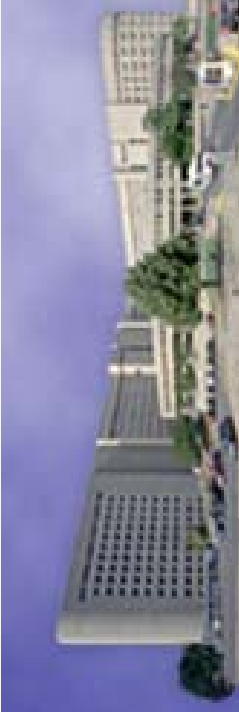
STATISTICS BY PAYOR

October 31, 2008

## Unique Patient Visits

Payor	Fiscal Year 2009	Percent to		Fiscal Year 2008	Percent to		Variance to		Percent Change
		Total			Total		Prior Year		
BLUE CROSS BLUE SHIELD	18,596	9.94%		18,471	10.14%		125		0.68%
COMMERCIAL INSURANCE	2,163	1.16%		2,062	1.13%		100		4.87%
CONTRACT REVENUE	1,656	0.88%		1,688	0.93%		(32)		-1.91%
CORRECTIONAL MANAGED HEALTH	3,035	1.62%		3,078	1.69%		(43)		-1.41%
DEPT OF CORRECTIONS	2,500	1.34%		2,080	1.14%		420		20.19%
HMO - AETNA	8,855	4.73%		8,625	4.73%		230		2.67%
HMO - CONNECTICARE	10,380	5.55%		11,002	6.04%		(622)		-5.65%
HMO - CIGNA	8,337	4.45%		7,928	4.35%		409		5.16%
HMO - BLUE CARE HEALTH	24,071	12.86%		23,627	12.97%		444		1.88%
HMO - HEALTH NET	4,008	2.14%		4,763	2.61%		(755)		-15.86%
HMO - UNITED HEATHCARE	5,392	2.88%		5,277	2.90%		115		2.17%
HMO - OXFORD HEALTHPLAN	3,517	1.88%		3,425	1.88%		92		2.69%
HMO - OTHER	2,694	1.44%		2,403	1.32%		291		12.09%
MEDICAID	12,343	6.60%		10,561	5.80%		1,781		16.87%
MEDICAID MANAGED CARE	12,750	6.81%		12,797	7.02%		(47)		-0.37%
MEDICARE	54,051	28.88%		52,666	28.91%		1,384		2.63%
MEDICARE MANAGED CARE	5,678	3.03%		4,815	2.64%		863		17.92%
OTHER THIRD PARTY	296	0.16%		282	0.15%		14		4.79%
SELF PAY	4,446	2.38%		4,245	2.33%		201		4.73%
SELF PAY PENDING MEDICAID	647	0.35%		94	0.05%		554		591.43%
STUDENT HEALTH PLAN	0	0.00%		569	0.31%		(569)		-100.00%
WORKER'S COMPENSATION	1,718	0.92%		1,705	0.94%		13		0.76%
<b>TOTAL</b>	<b>187,153</b>	<b>100.00%</b>		<b>182,182</b>	<b>100.00%</b>		<b>4,971</b>		<b>2.73%</b>





# UConn Medical Group

## Expenses:

Year-to-date, total expenses are unfavorable to the budget plan by \$101,000 (0.3%) and is above last year by \$3.0 million (10.6%).

The results without Anesthesiology shows that expenses are favorable to the budget plan by \$25,000 (0.1%) and above last year by \$2.8 million (10.8%).

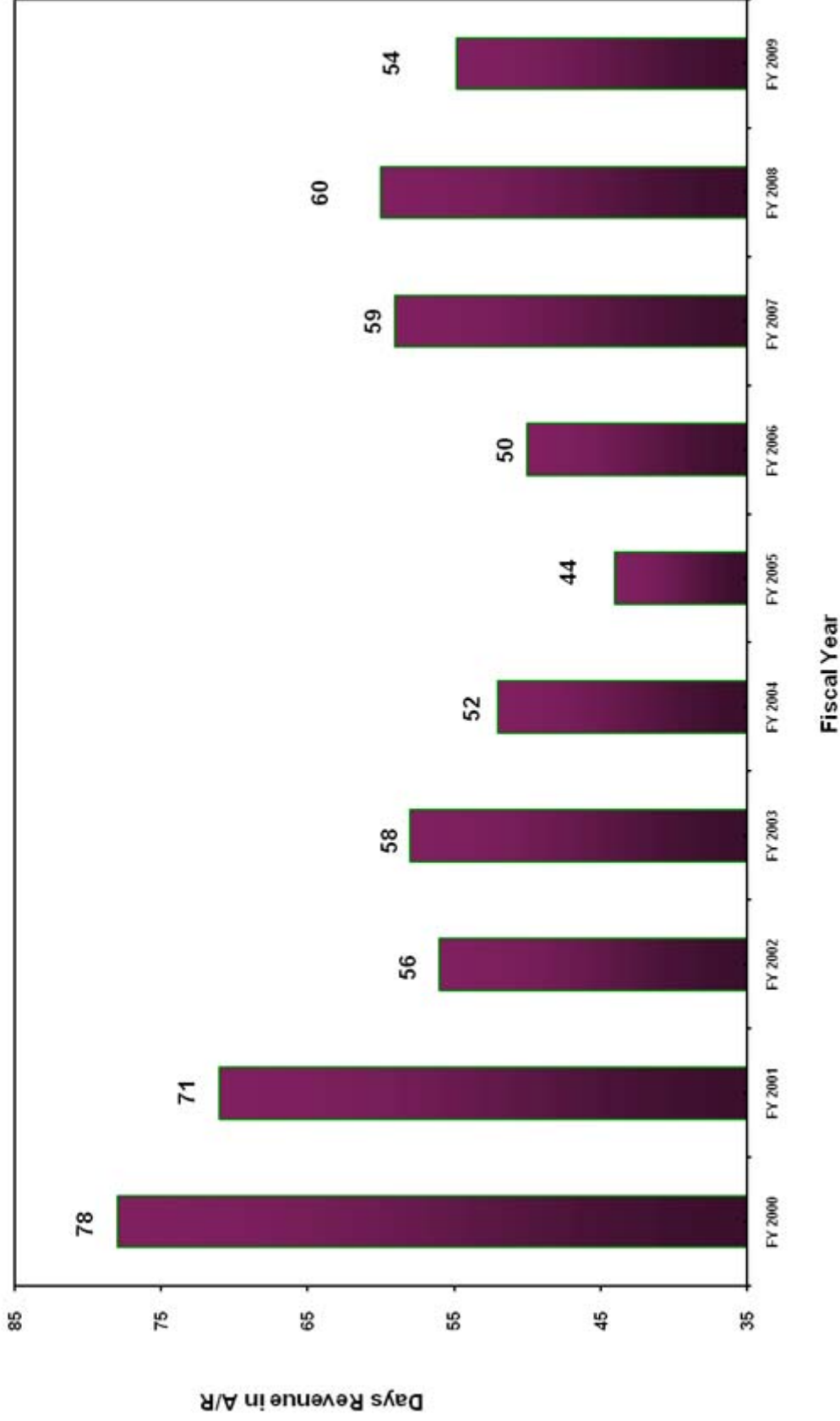
The Month of October shows expenses unfavorable to the budget plan by 290,000 (3.6%) and above the same month last year by \$939,000 (12.7%).

The results without Anesthesiology is that expenses for the month are unfavorable to the budget plan by \$234,000 (3.2%) and above the same month last year by \$808,000 (12.1%).

- Key Drivers for Expenses year – to – date are:
  - Physician Expense – Contract Physicians
- Key Drivers for Expenses for the month are:
  - Direct module Expenses - \$ 240,000
  - Physician expense - \$ 71,000

# UConn Medical Group

Days in Accounts Receivable by Fiscal Year



# UConn MEDICAL GROUP

## Cash Collections by Month

